

A composite image featuring a planet's horizon on the left side, showing a dark brownish surface and a bright blue atmospheric glow. The background is a deep black space filled with numerous small white stars and some faint, colorful nebulae or galaxy structures.

# Transit Spectroscopy

**Jacob Bean**

University of Chicago

## Some recent reviews:

- *“Exoplanetary Atmospheres—Chemistry, Formation Conditions, and Habitability”* Madhusudhan+ 2016
- *“Observations of Exoplanet Atmospheres”* Crossfield 2015

# Spectral Retrievals

Key references:

- Madhusudhan & Seager 2009
- Benneke & Seager 2012, 2013 ← for super-Earths
- Benneke 2015, arXiv:1504.07655
- Line et al. 2013ac, 2014a, 2016
- Line et al. 2014b, 2015 ← for brown dwarfs
- Lee et al. 2013; Todorov et al. 2016 ← for directly imaged planets
- Barstow et al. 2013ab

# Why High Precision Spectroscopy? Effect of the priors



“Too few data points in  
pursuit of too many  
quantities”

Burrows 2014b

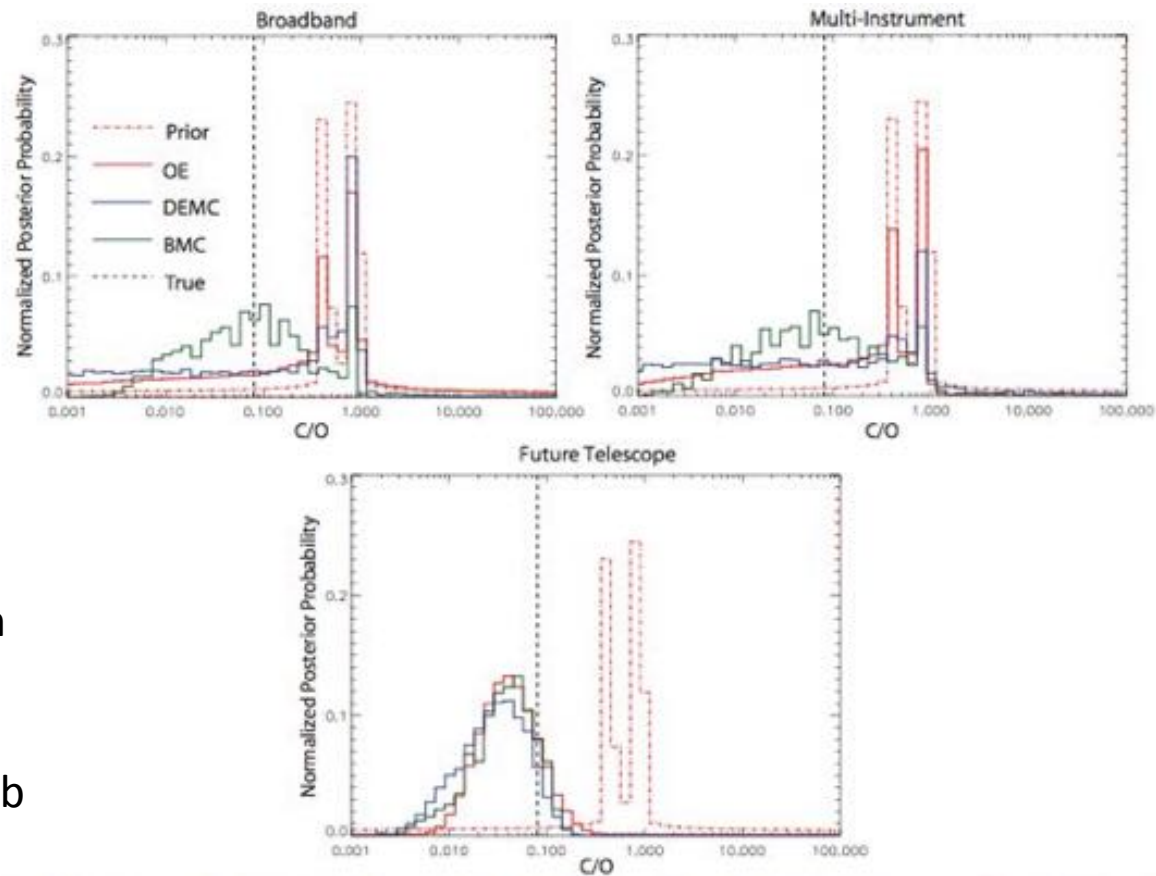
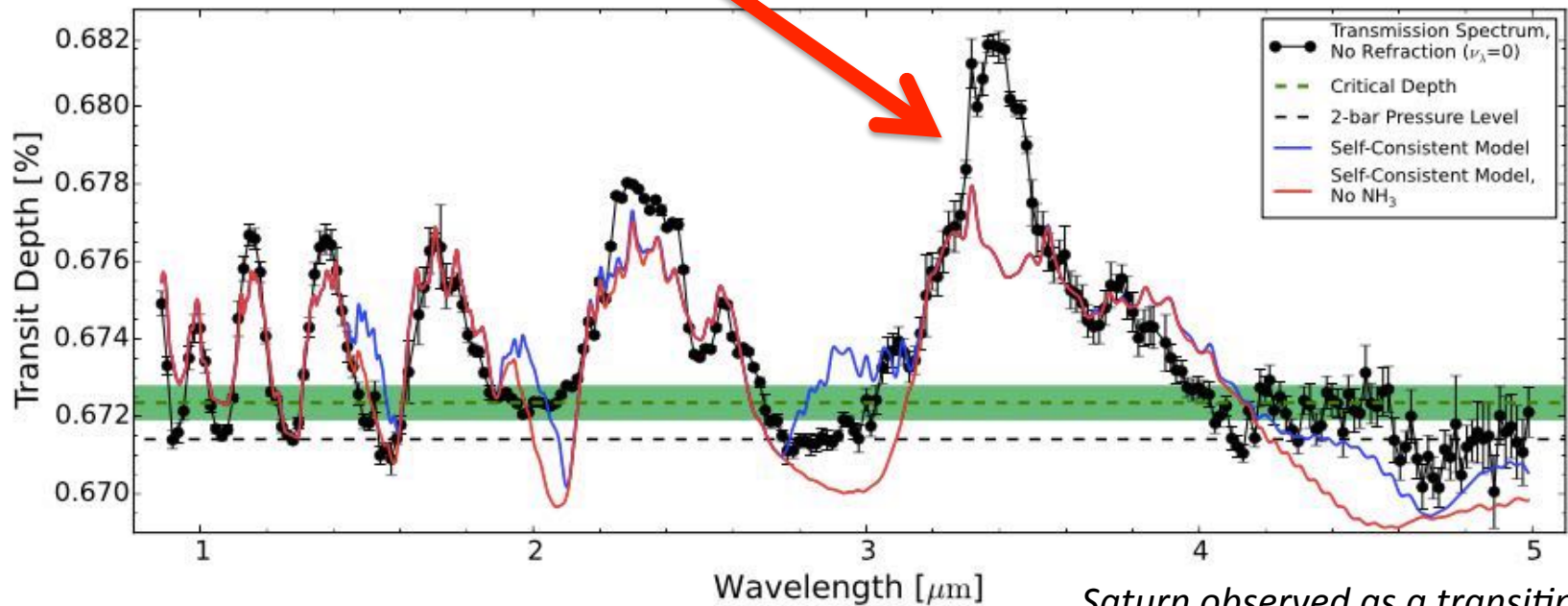
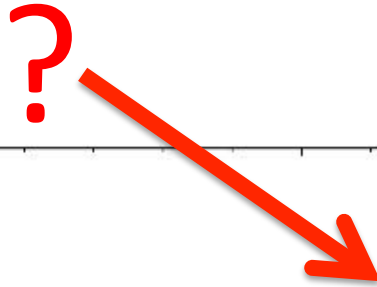


Figure 10. C to O ratio posteriors. The dot-dashed red curve is the prior, the solid red curve is from OE, blue is from DEMC, and green is from BMC. The vertical dashed line is the true C/O. In the top left panel are the C/O's derived from the broadband observational scenario, in the top right are the C/O's derived from the multi-instrument scenario, and in the bottom are the C/O's derived from the future spaceborne telescope scenario. Though it appears that the BMC characterizes the C/O errors well, it is for the wrong reasons. See Section 3.3.3.

# Why High Precision Spectroscopy?

## The unknown unknowns



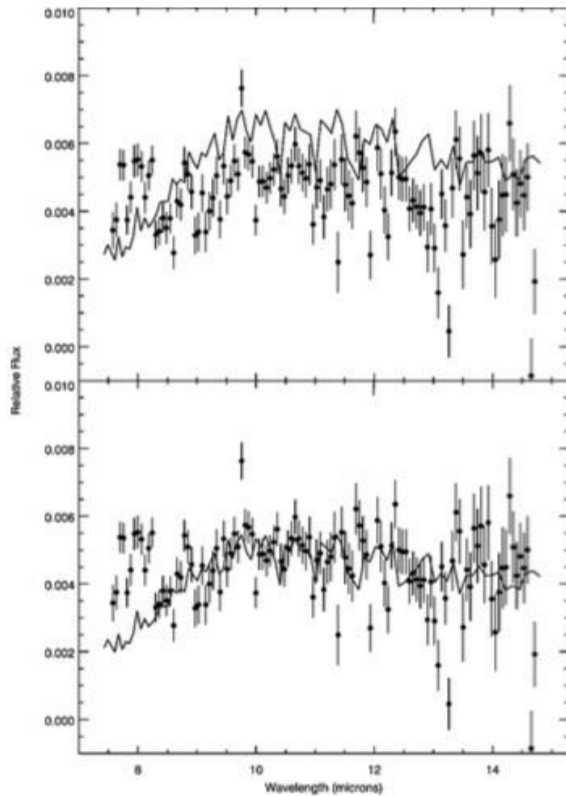
*Saturn observed as a transiting exoplanet with Cassini*



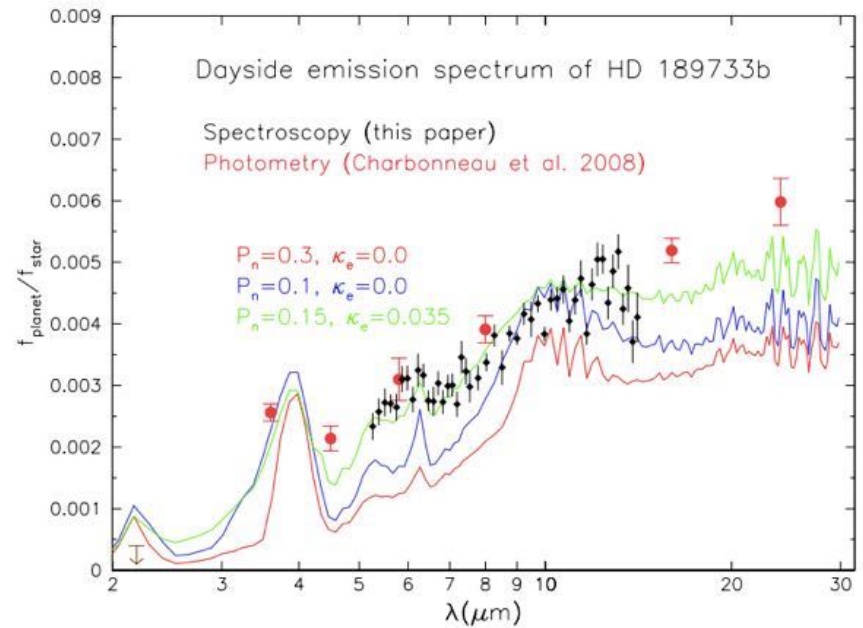
# Why High Precision Spectroscopy?

## I mean, truly high precision data

2 eclipses



10 eclipses



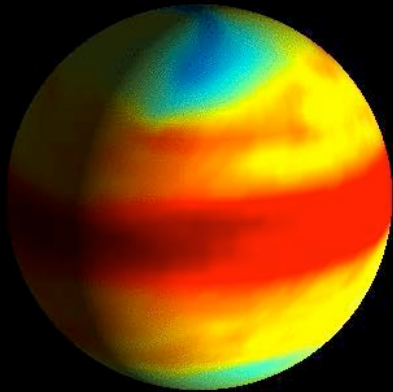
Grillmair+ 2008

FIG. 3.— Comparison of the flux ratios measured at slit center (filled circles) with a model of HD 189733b from Burrows et al. (2006). The model shown is for superior conjunction, with no clouds and 50% energy redistribution to the night side of the planet. The upper panel shows the model as published by Burrows et al. (2006), while in the lower panel the model has been scaled to match the data at  $\lambda > 9.5 \mu\text{m}$ . Intriguingly, the observed spectrum does not show the expected decrease in relative flux at short wavelengths due to increasing water opacity.

Grillmair+ 2007



# Fundamental Themes for Exoplanet Atmosphere Characterization



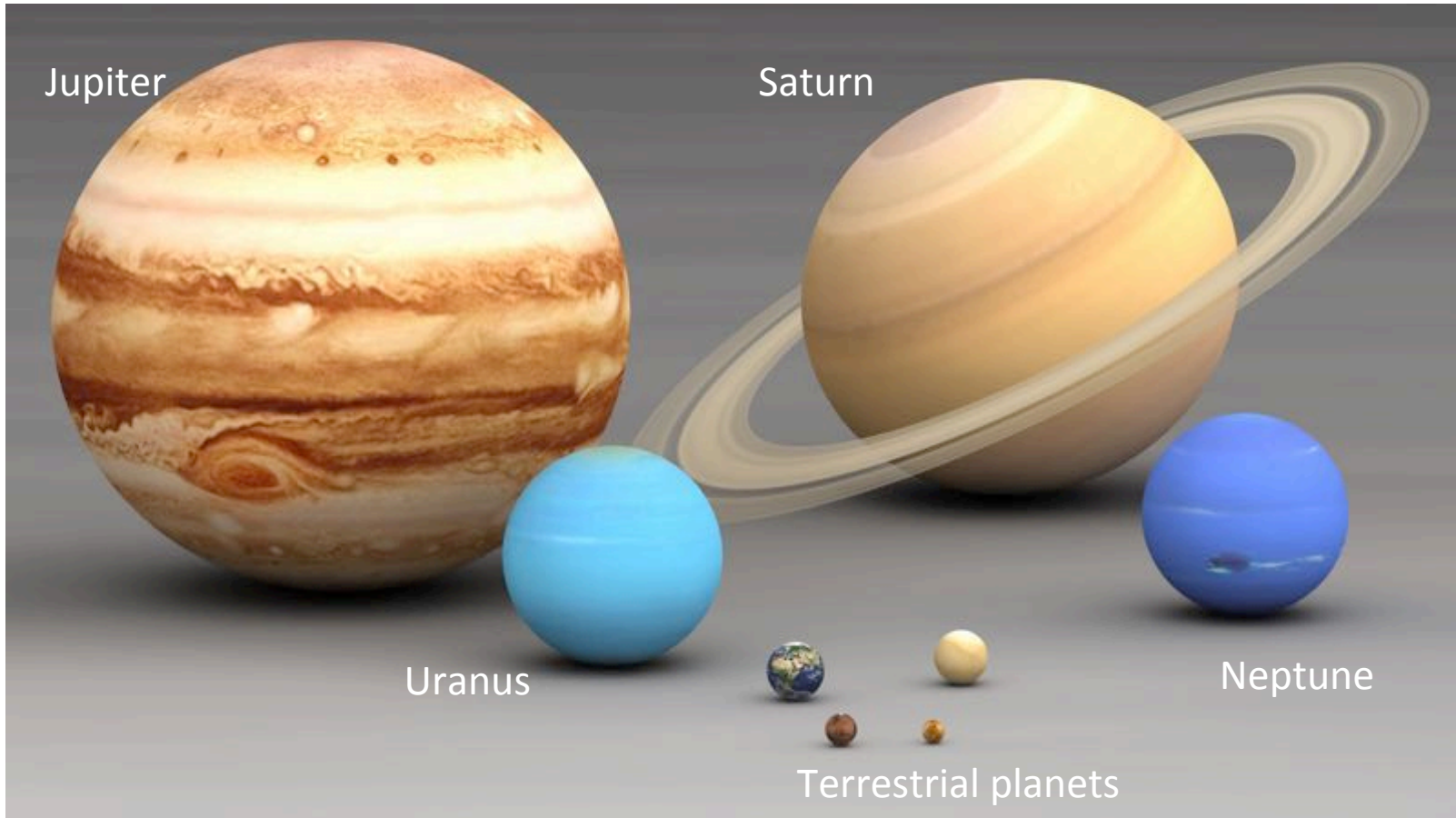
Determining thermal structures, energy budgets, and dynamics to understand planetary physics.



Measuring compositions to trace planet formation and evolution.

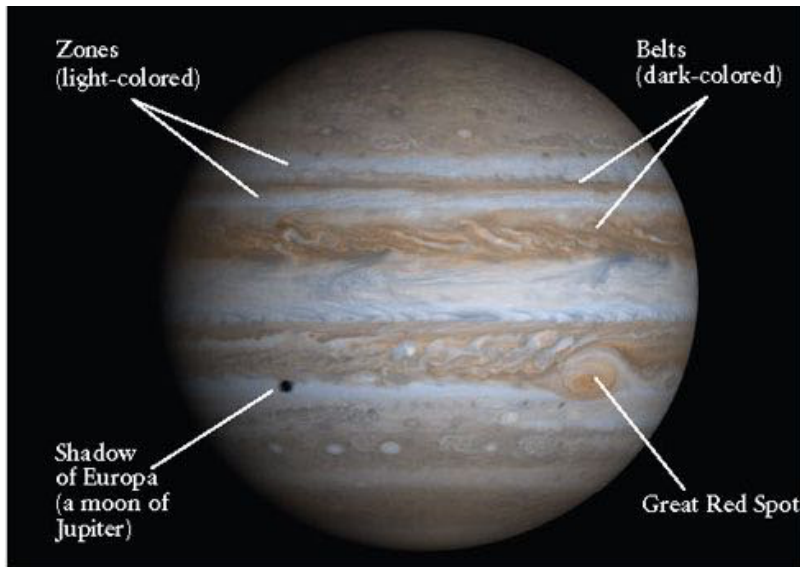
Connected questions motivates holistic studies.

# Comparative planetology in the Solar System

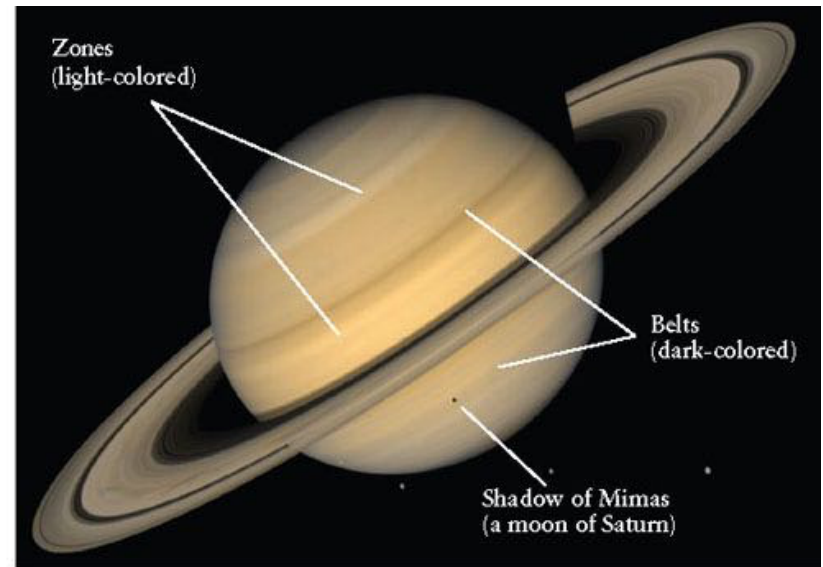




# Comparative planetology: Jupiter and Saturn



(a) Jupiter

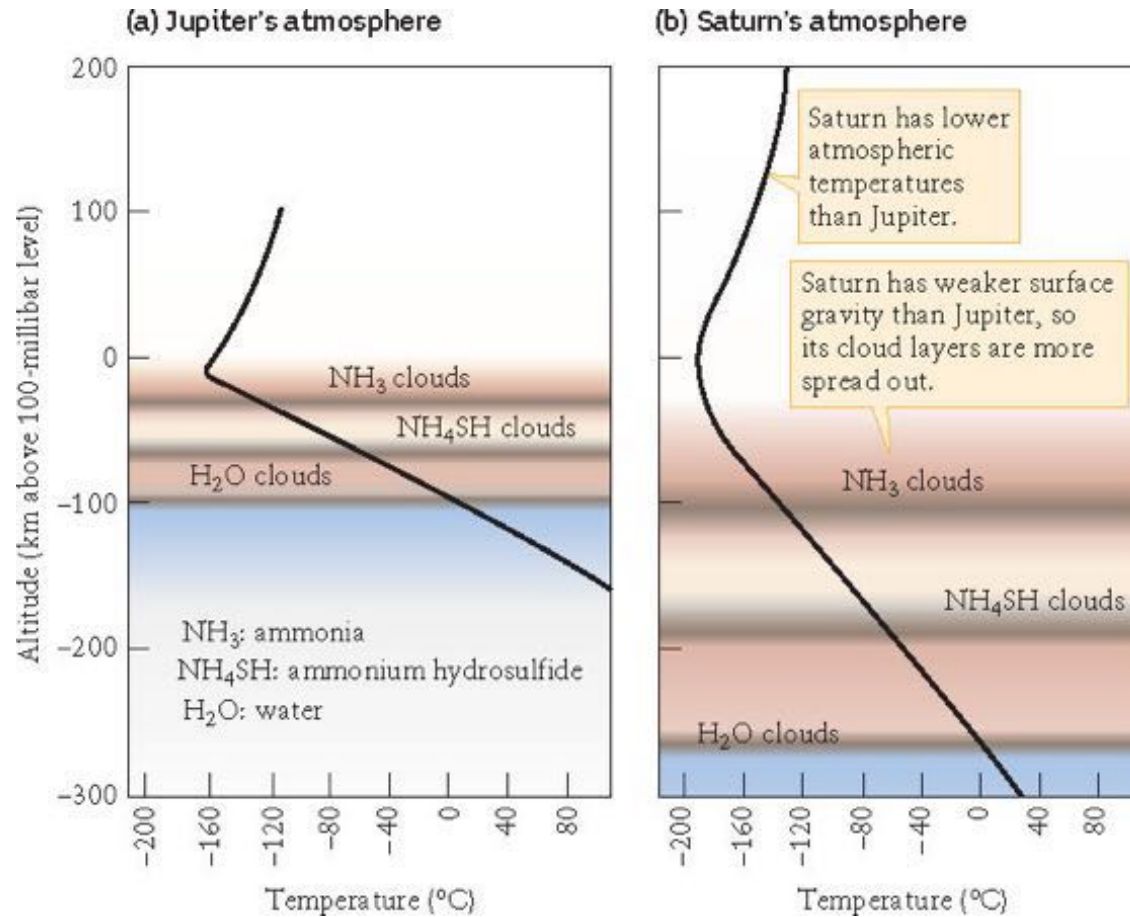


(b) Saturn

Banded appearances due to dynamics of atmosphere: internal heat + fast rotation.

Source of colors is likely complex molecules formed on cloud particles due to photochemistry, but exact nature is uncertain.

# Comparative planetology: Jupiter and Saturn

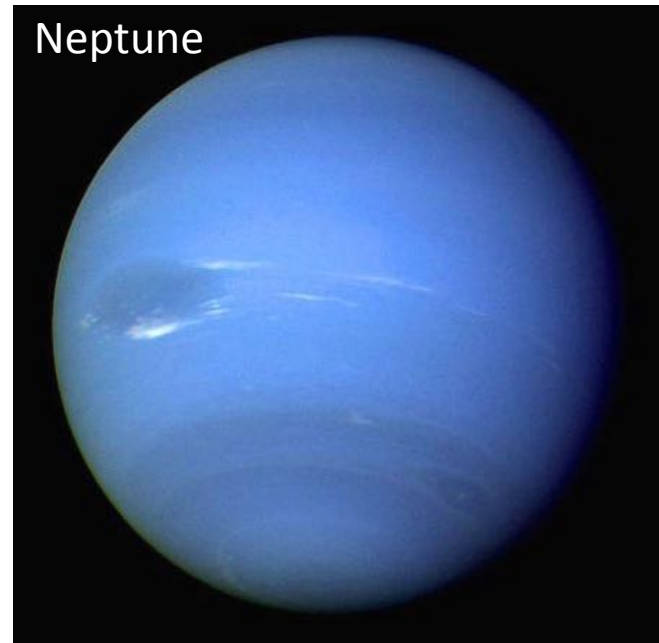


Thinner clouds on Jupiter explains why it has stronger contrast between zones and belts.

# Comparative planetology: Uranus and Neptune

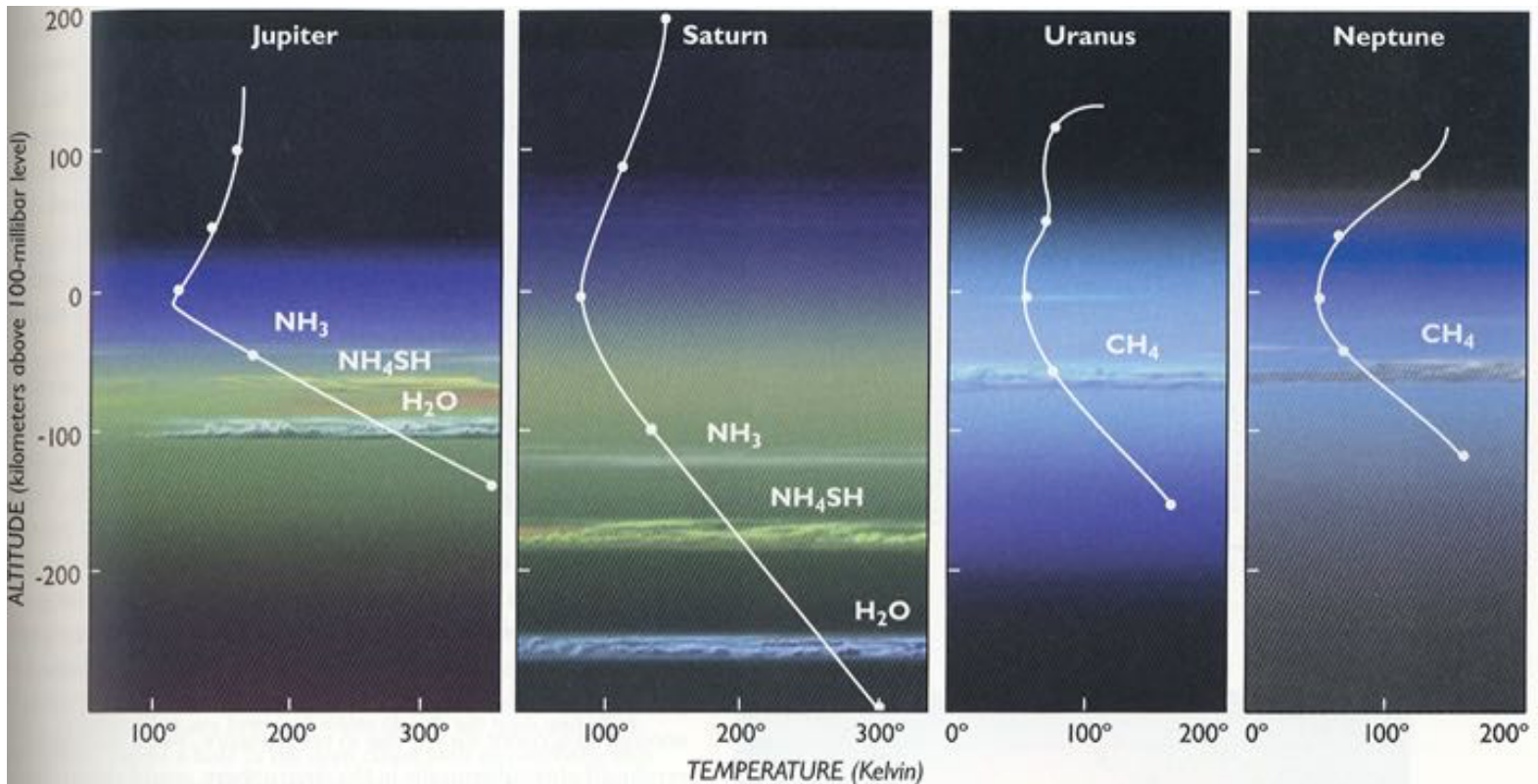


Nearly featureless, color due to reflectance of methane.



Faint banded structure (slightly faster rotation and internal heat), wispy clouds, and Great Dark Spot.

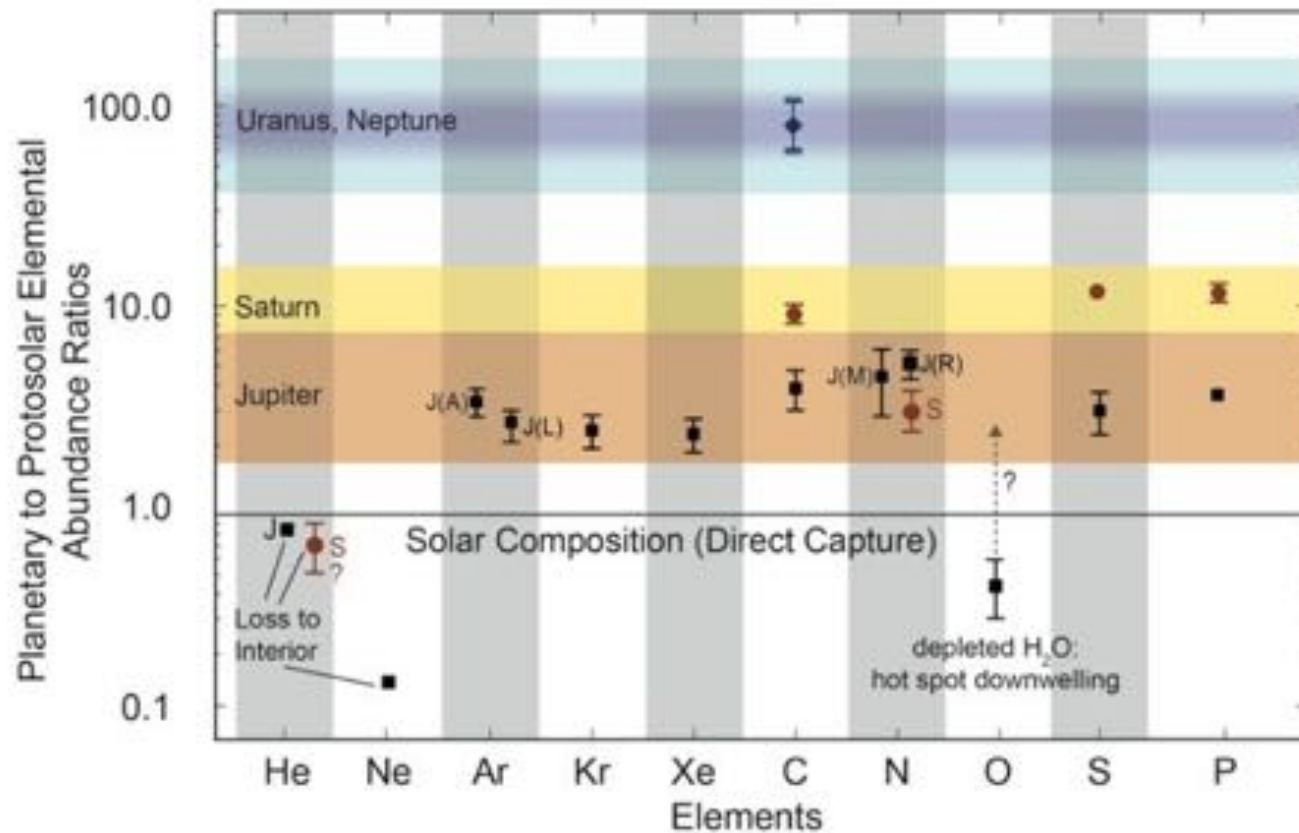
# Comparative planetology: Uranus and Neptune



Slight differences between Uranus and Neptune due to internal heat, distance from the Sun, and surface gravity.

# Atmospheric Composition





- Giant planet atmospheres are “primary atmospheres”.
- Jupiter and Saturn have atmospheric elemental abundances very similar to the Sun (dominated by H/He, with trace heavier elements).
- The atmospheres of Uranus and Neptune are also similar in composition as the Sun, but with a slightly greater enhancement of metals (dominated by H/He, with significant CH<sub>4</sub> and trace heavier elements).
- The abundances in all four planets’ atmospheres are strongly affected by condensation:
  - Water in all the planets is not observable because it has settled out of the upper atmosphere.
  - Clouds dominate the appearances of the planets.
  - Helium is deficient in Jupiter’s and Saturn’s upper atmospheres, likely because it is raining out.
- Non-equilibrium effects (photochemistry, vertical mixing) are significant.



**Figure 2.1.** Abundances of key elements in the atmospheres of Saturn (brown dots, and label S) and Jupiter (black squares) relative to *protosolar* values derived from the present-day photospheric values of Asplund et al. (2009). Only C/H is presently determined for Uranus and Neptune, though poorly; its best estimate from earth-based observations is shown. The values are listed in Table 2.1. All values are ratioed to H (multiply by 2 for ratio to H<sub>2</sub>). Direct gravitational capture would result in solar composition, i.e. no volatile enrichment, hence they would all fall on the horizontal line (normalized to solar) in the middle of the figure. Only He, C, N, S and P have been determined for Saturn, but only C/H is robust for the well-mixed atmosphere (see text). The Jupiter values are from the Galileo probe mass spectrometer (GPMS), except for N/H that was measured by both the GPMS [J(M)] and from attenuation of the probe radio signal through the atmosphere [J(R)]. For Ar, enrichments using both Asplund et al. [J(A)] and Lodders et al. [J(L)] solar values are shown. O/H is sub-solar in the very dry entry site of the Galileo Probe at Jupiter, but was still on the rise at the deepest level probed. Helium is depleted in the shallow troposphere due to condensation and differentiation in the planetary interior. Ne was also depleted in Jupiter as neon vapor dissolves in helium droplets.



# Terrestrial Planet Atmospheres

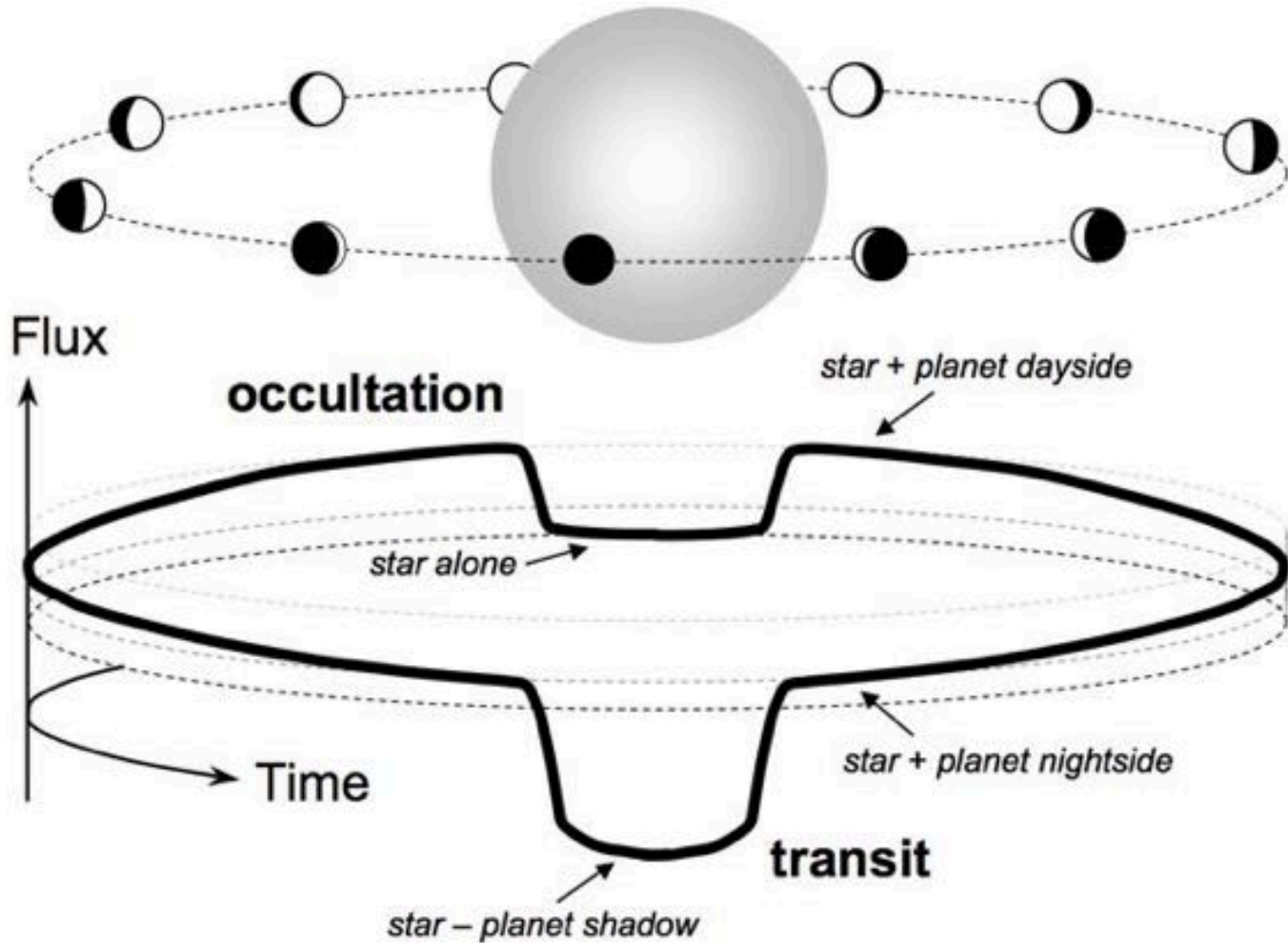
Planet				
$T_p$ , K (°C)	437 (163)	232 (-41)	255 (-18)	209 (-64)
$T_{obs}$ , K (°C)	~440 (167)	735 (462)	288 (15)	215 (-58)
Atmosphere: Pressure, kPa composition  [trace gases]	none	9300 CO <sub>2</sub> (0.965), N <sub>2</sub> (0.035),  [SO <sub>2</sub> , Ar]	101 N <sub>2</sub> (0.78), O <sub>2</sub> (0.21), Ar(0.009), [CO <sub>2</sub> , H <sub>2</sub> O]	0.64 CO <sub>2</sub> (0.95), N <sub>2</sub> (0.03), Ar(0.02), [O <sub>2</sub> , CO]

“Secondary atmospheres” strongly influenced by mass loss, geophysical and biological processes, etc.

# How To Observe Exoplanet Atmospheres

- Transits/Occultations
- Direct Imaging

# Transits and Occultations



# Transits: Emission Spectroscopy

What is measured is the planet-to-star flux ratio:

If reflected light dominates:  $F_p/F_s = A_G (R_p/a)^2$

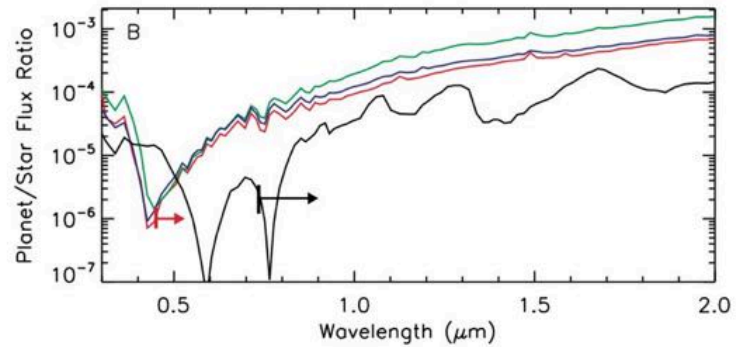
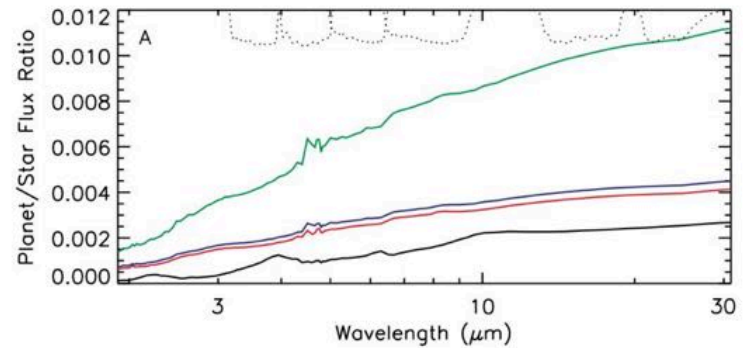
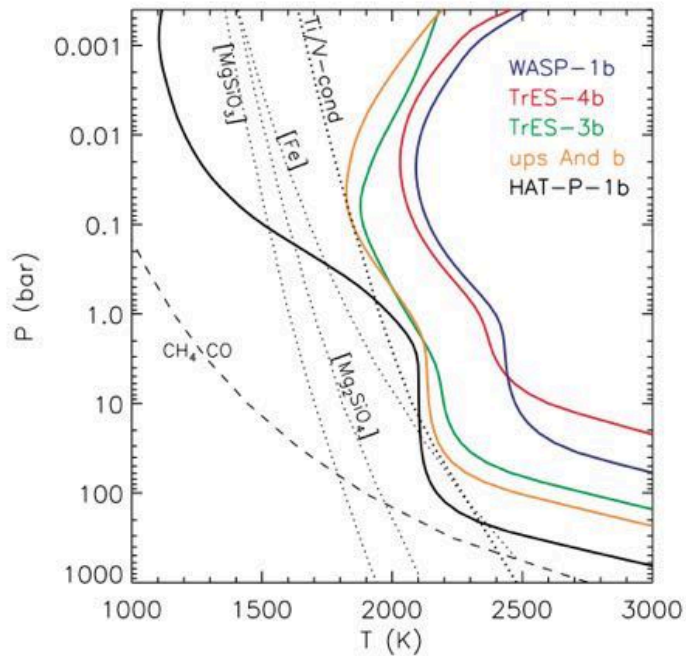
(phase function = 1 at secondary eclipse)

If thermal emission dominates:  $F_p/F_s = (R_p/R_s)^2 [B_\lambda(T_p)/B_\lambda(T_s)]$

The thermal emission signal in the infrared for a hot Jupiter around a Sun-like star ( $T_{eq} = 1600\text{K}$ ) is on order of  $10^{-3}$ .

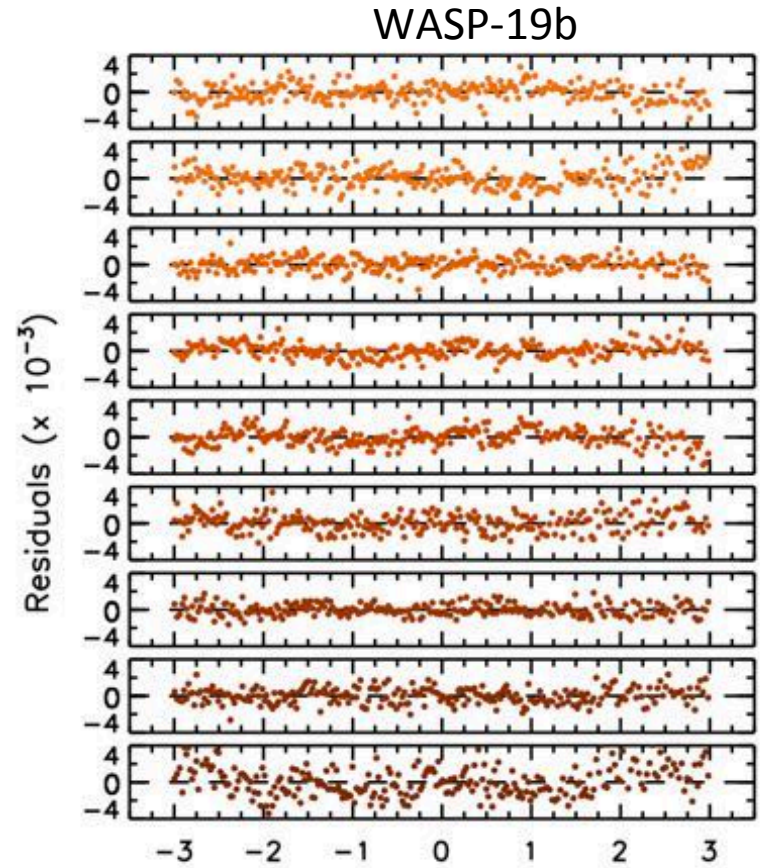
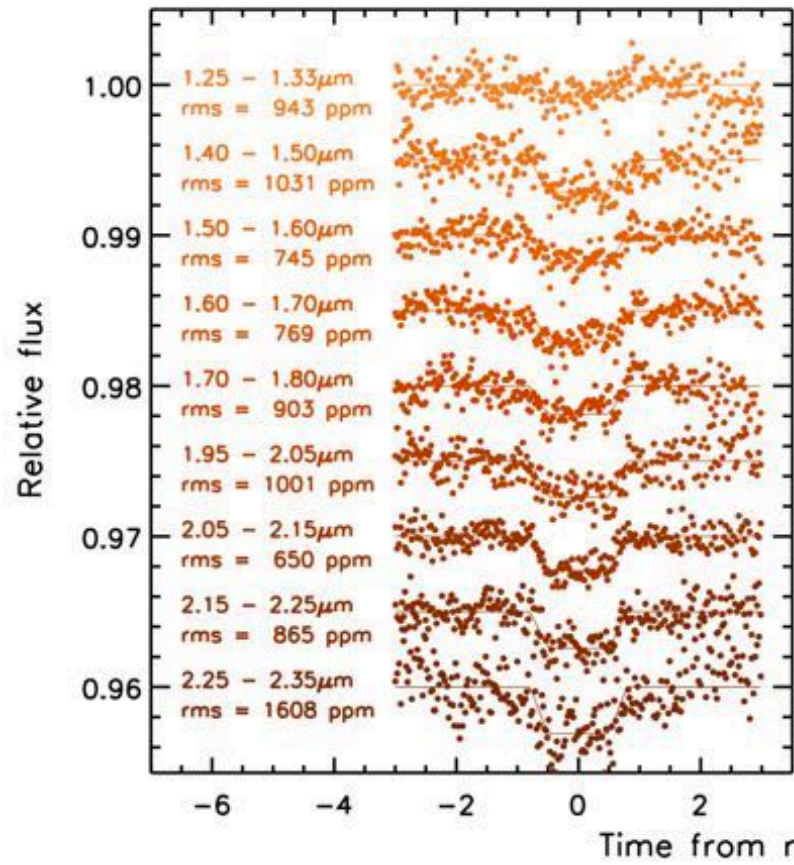
# Transits: Emission Spectroscopy

Theoretical predictions for highly-irradiated planets



# Transits: Emission Spectroscopy

Ground-based, short-wavelength, faint star

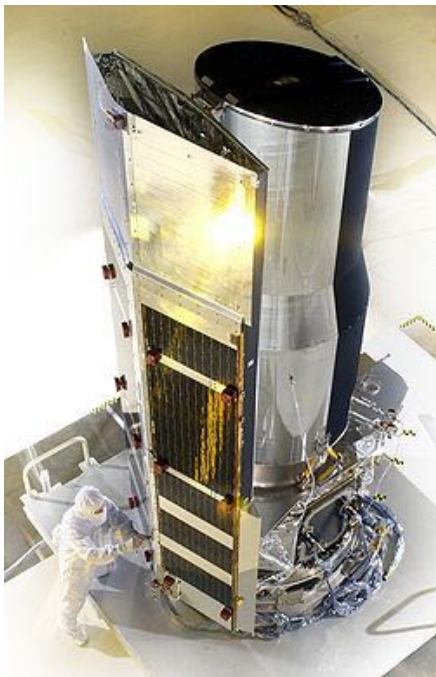




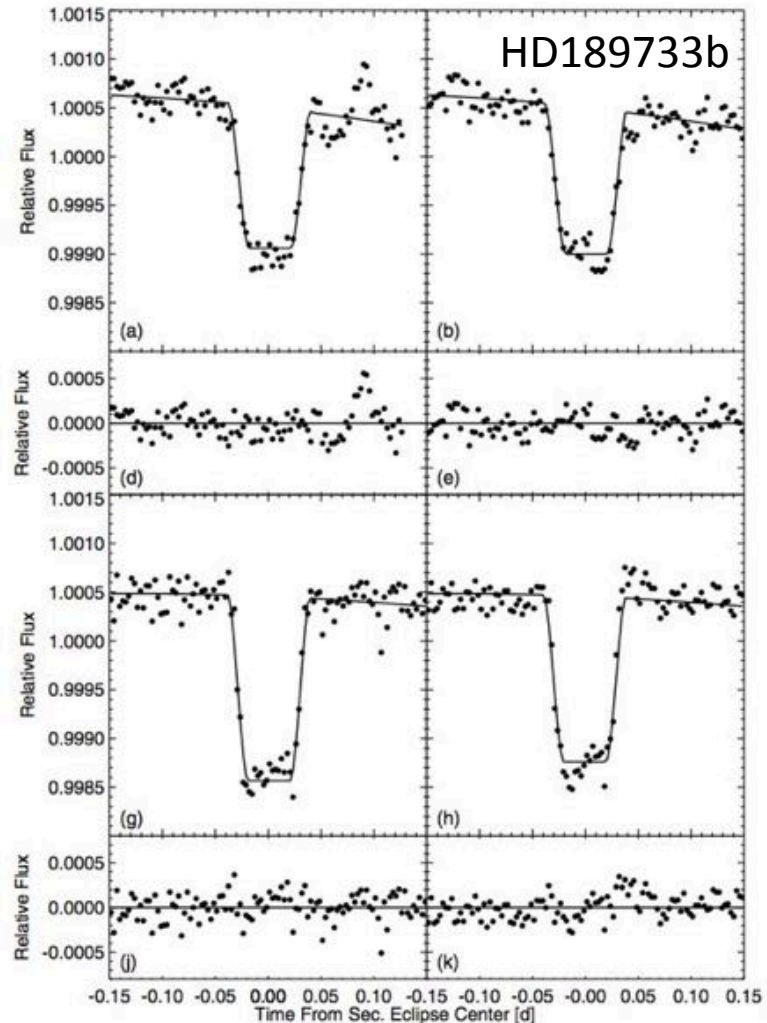
# Transits: Emission Spectroscopy

Space-based, longer-wavelength (3.6 and 4.5  $\mu\text{m}$ ), bright star

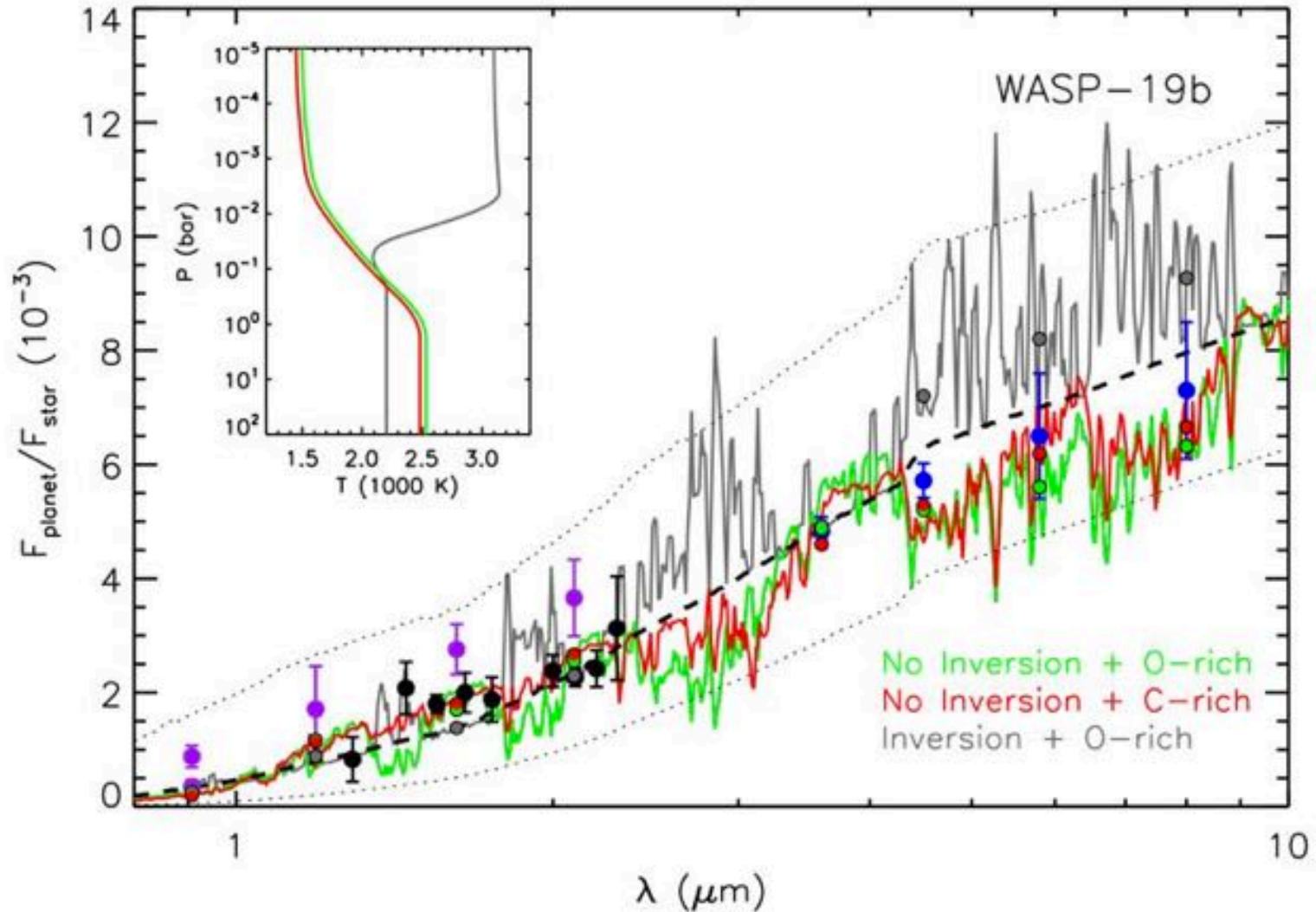
Spitzer Space Telescope



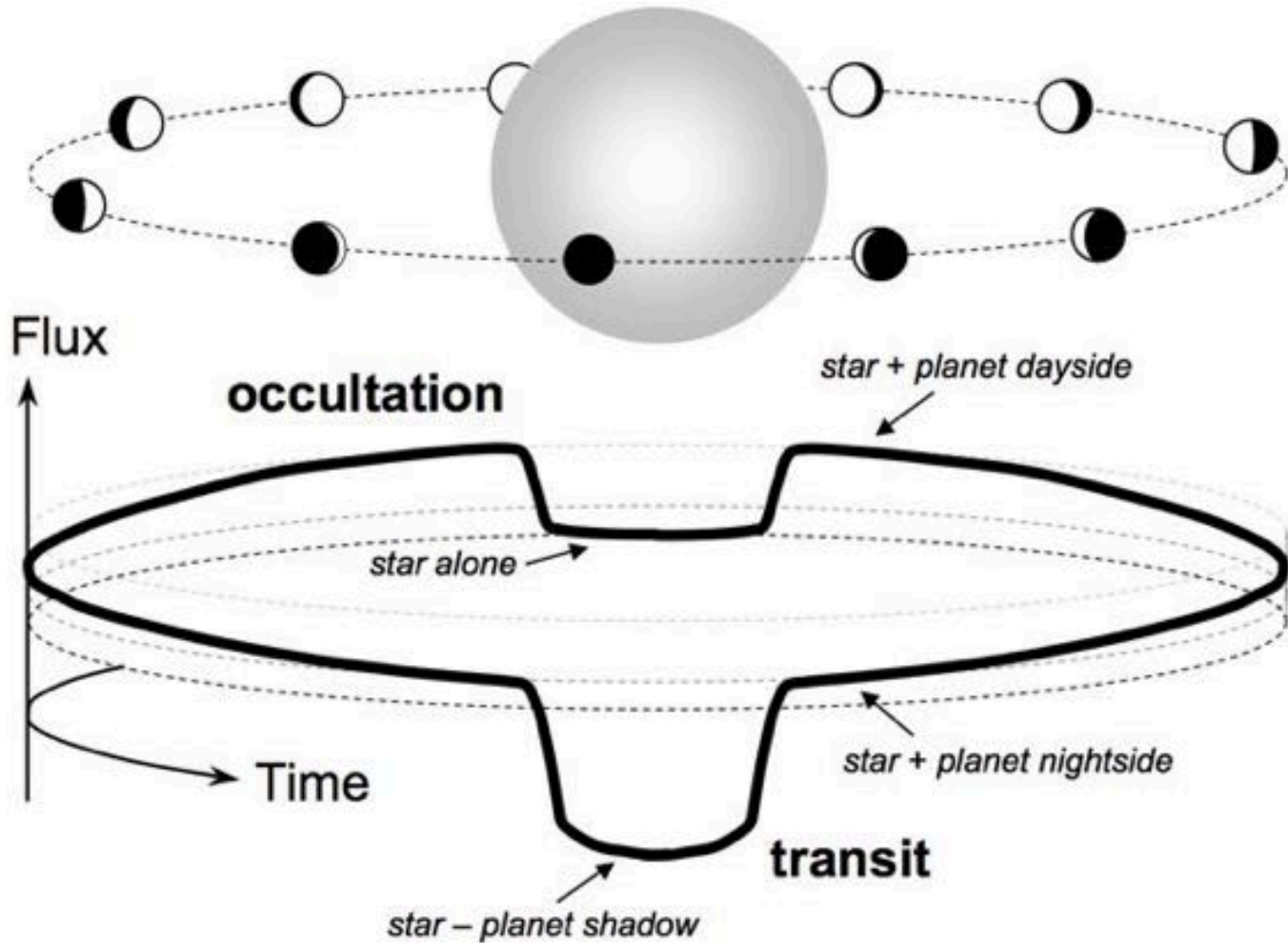
Previous sensitivity to longer wavelengths, but no longer.



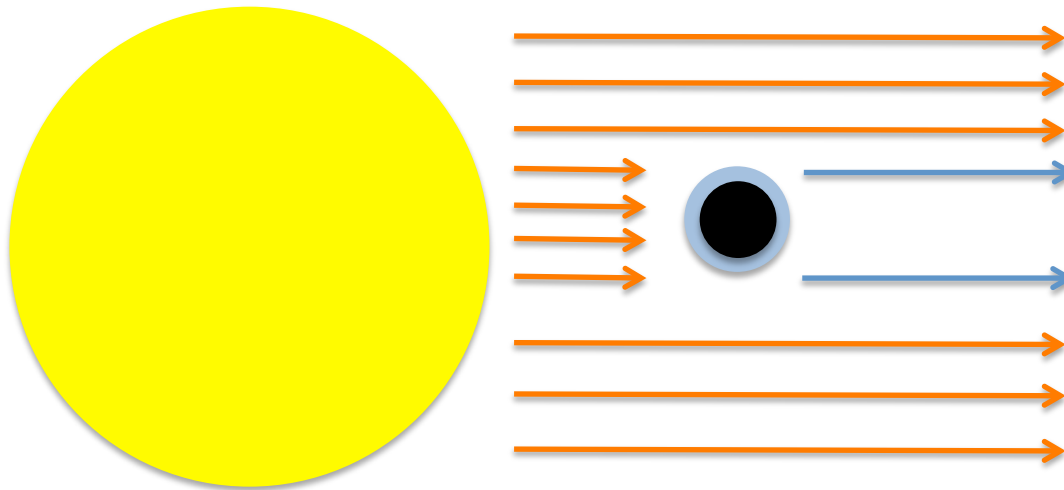
# Transits: Emission Spectroscopy



# Transits and Occultations



# Transits: Transmission Spectroscopy

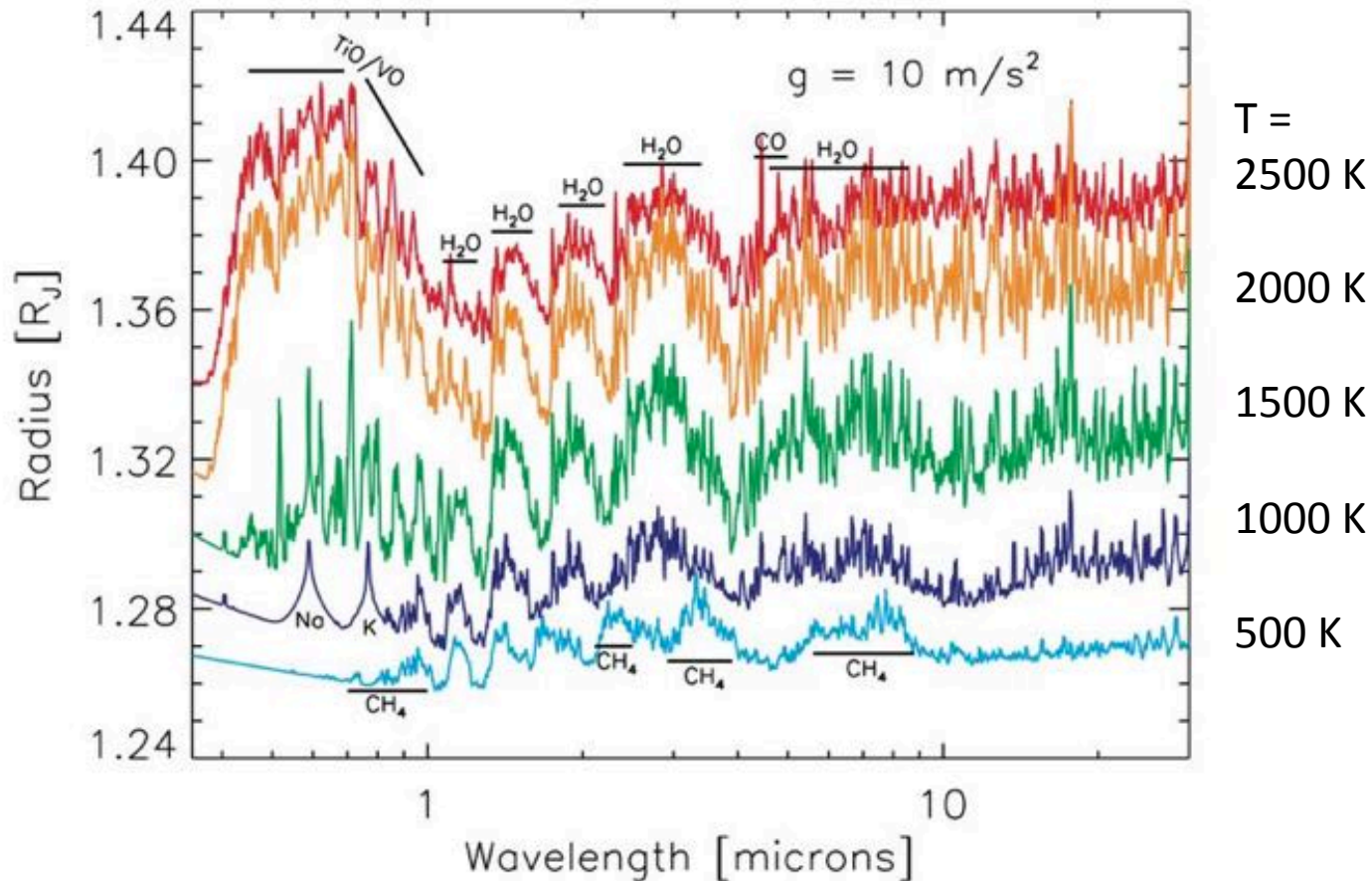


The key point is that the edge of the planet is 'fuzzy' rather than sharp due to the atmosphere.

Therefore, the transit depth (apparent size of the planet) depends on the wavelength of light.

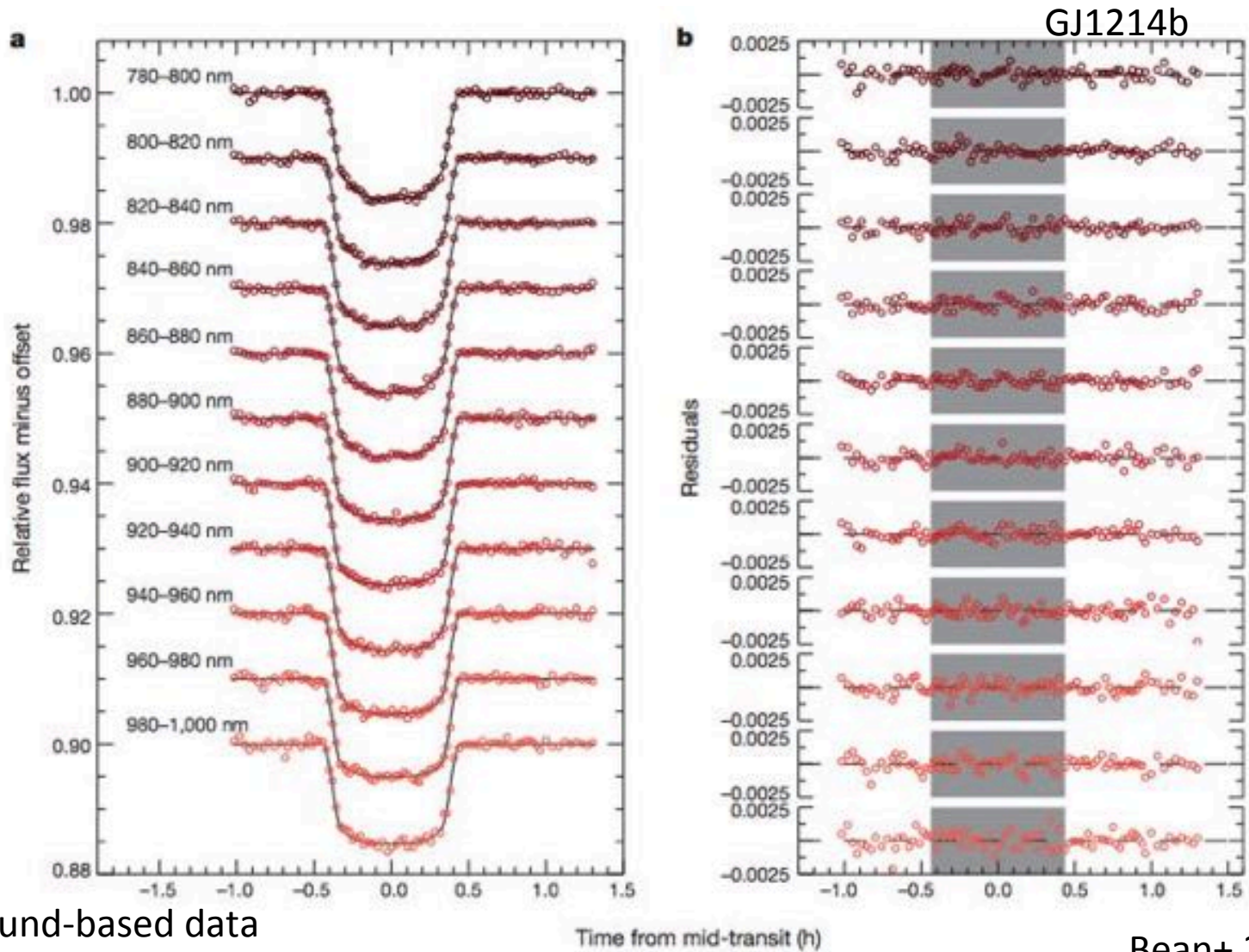
# Transits: Transmission Spectroscopy

Theoretical predictions





# Transits: Transmission Spectroscopy



Ground-based data

Bean+ 2010c



# Transits: Transmission Spectroscopy

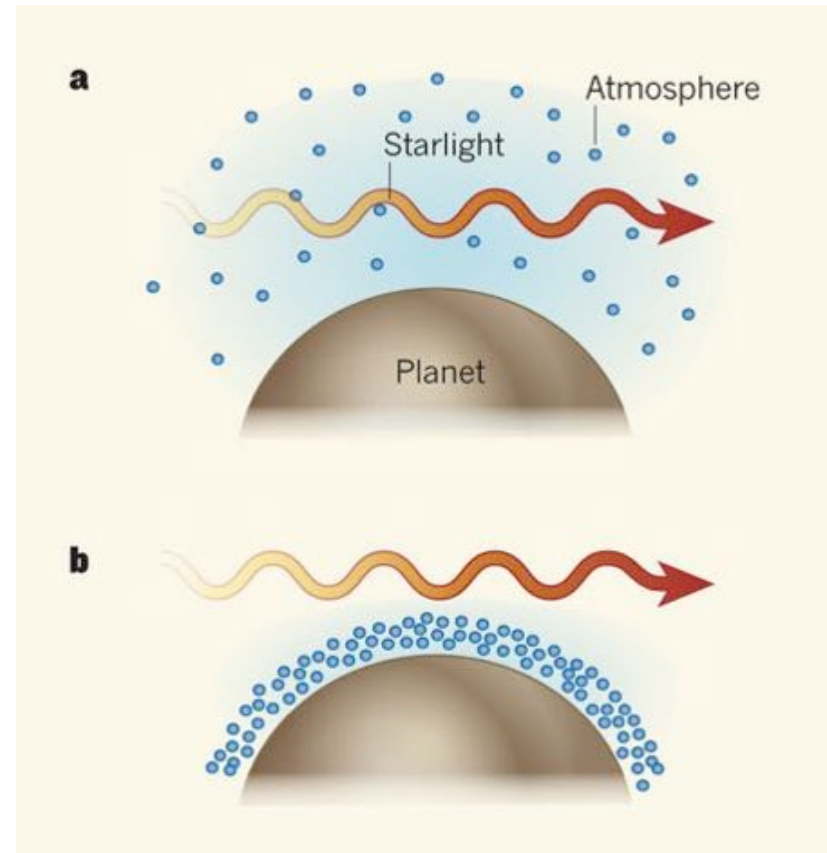
Strength of features in a transmission spectrum depend on the scale height of the atmosphere and the strength of the absorber.

Scale height: 
$$H = \frac{kT}{\mu_m g}$$

Strength of features:

$$\Delta D \sim \frac{2H R_{pl}}{R_*^2}$$

Where the proportionality factor depends on the strength of absorption (e.g., a factor of a few) and the resolution



# Transits: Transmission Spectroscopy

More precisely:

The atmospheric altitude,  $z(\lambda)$ , that can be ascribed to the observed absorption as a function of wavelength in a transmission spectrum, is given by the equation from Lecavelier des Etangs et al. (2008a):

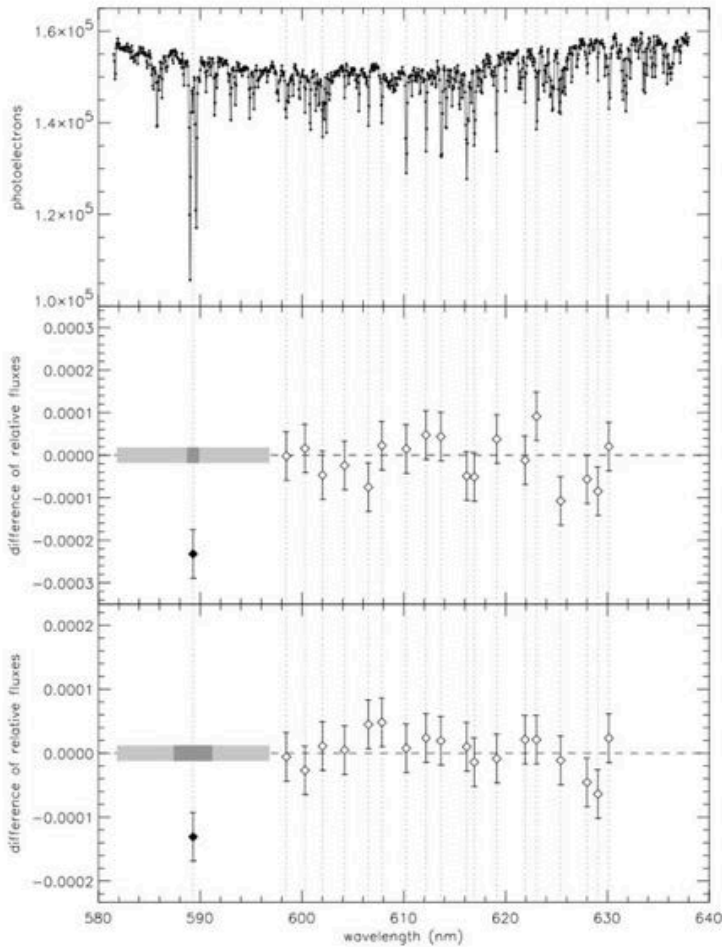
$$z(\lambda) = \frac{kT}{\mu g} \ln \left( \frac{\xi \sigma(\lambda) P_o}{\tau_{\text{eq}}} \left( \frac{2\pi R_P}{kT \mu g} \right)^{1/2} \right), \quad (3)$$

where  $k$  is Boltzmann's constant,  $T$  is the temperature,  $\mu$  is the mean molecular weight of the atmospheric composition,  $g$  is the surface gravity,  $\xi$  is the ~~elemental abundance~~,  $\sigma(\lambda)$  is the wavelength dependent absorption cross-section,  $P_o$  is the pressure at the reference zero altitude,  $\tau_{\text{eq}}$  is the optical depth at the transit radius, shown to be approximately constant by Lecavelier des Etangs et al. (2008), and  $R_P$  is the radius of the planet.

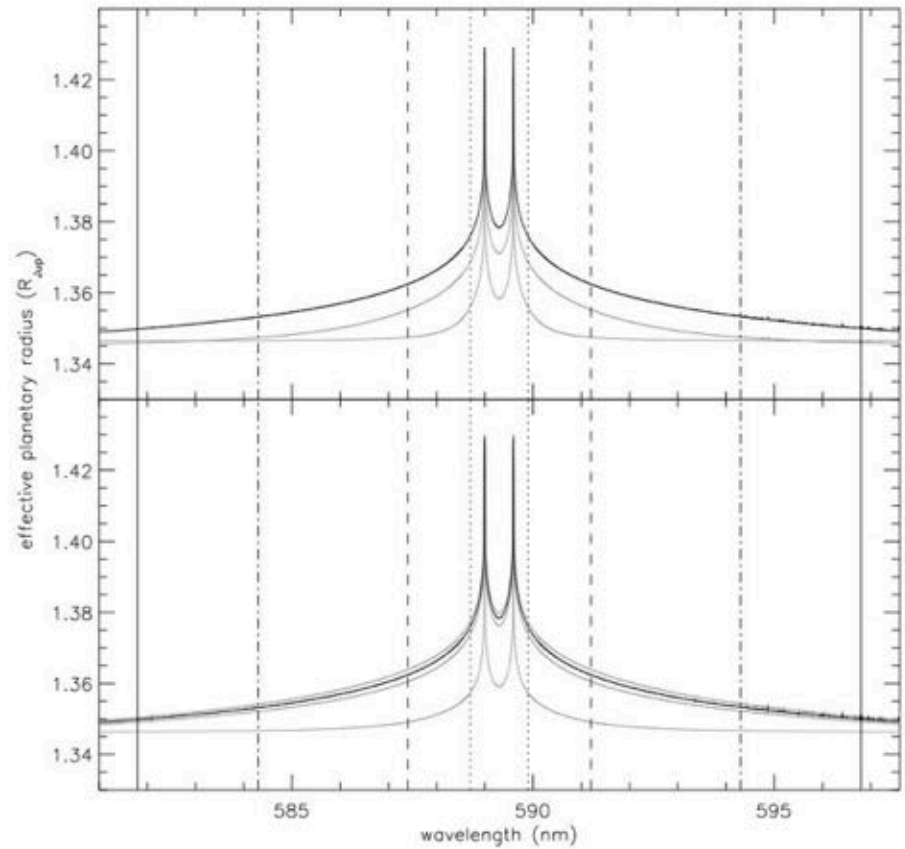
← abundance of the absorbing chemical species

# Transits: Transmission Spectroscopy

HD209458b



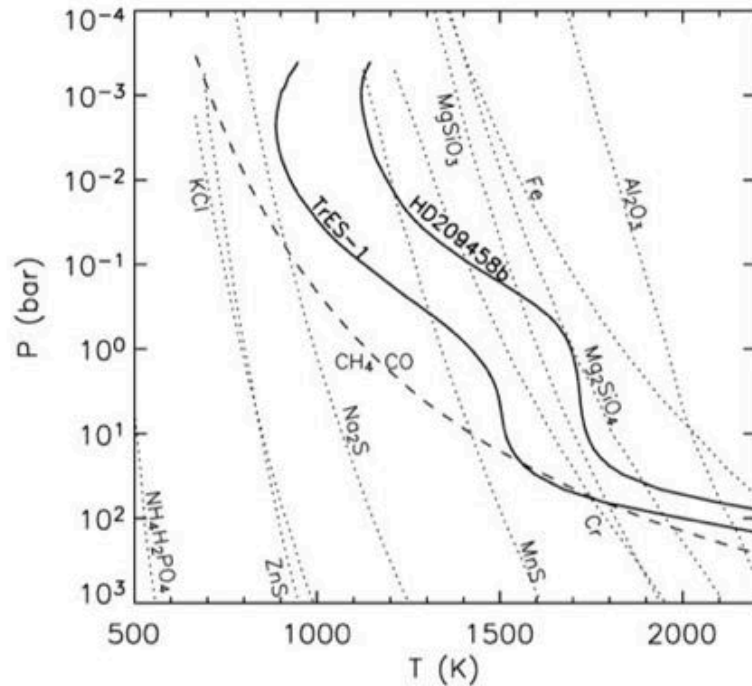
Real data



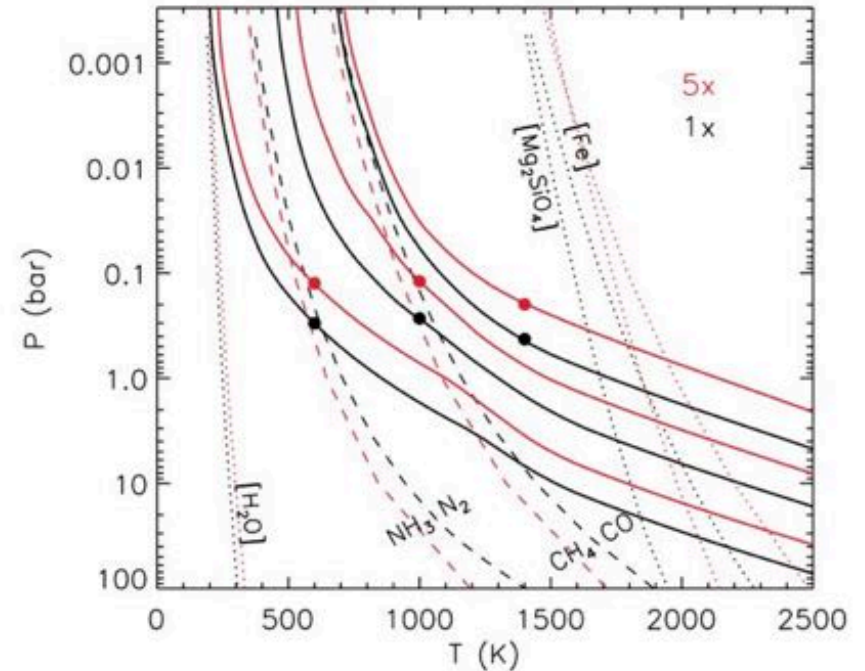
Theoretical models

# Transits: Transmission Spectroscopy

## Condensation

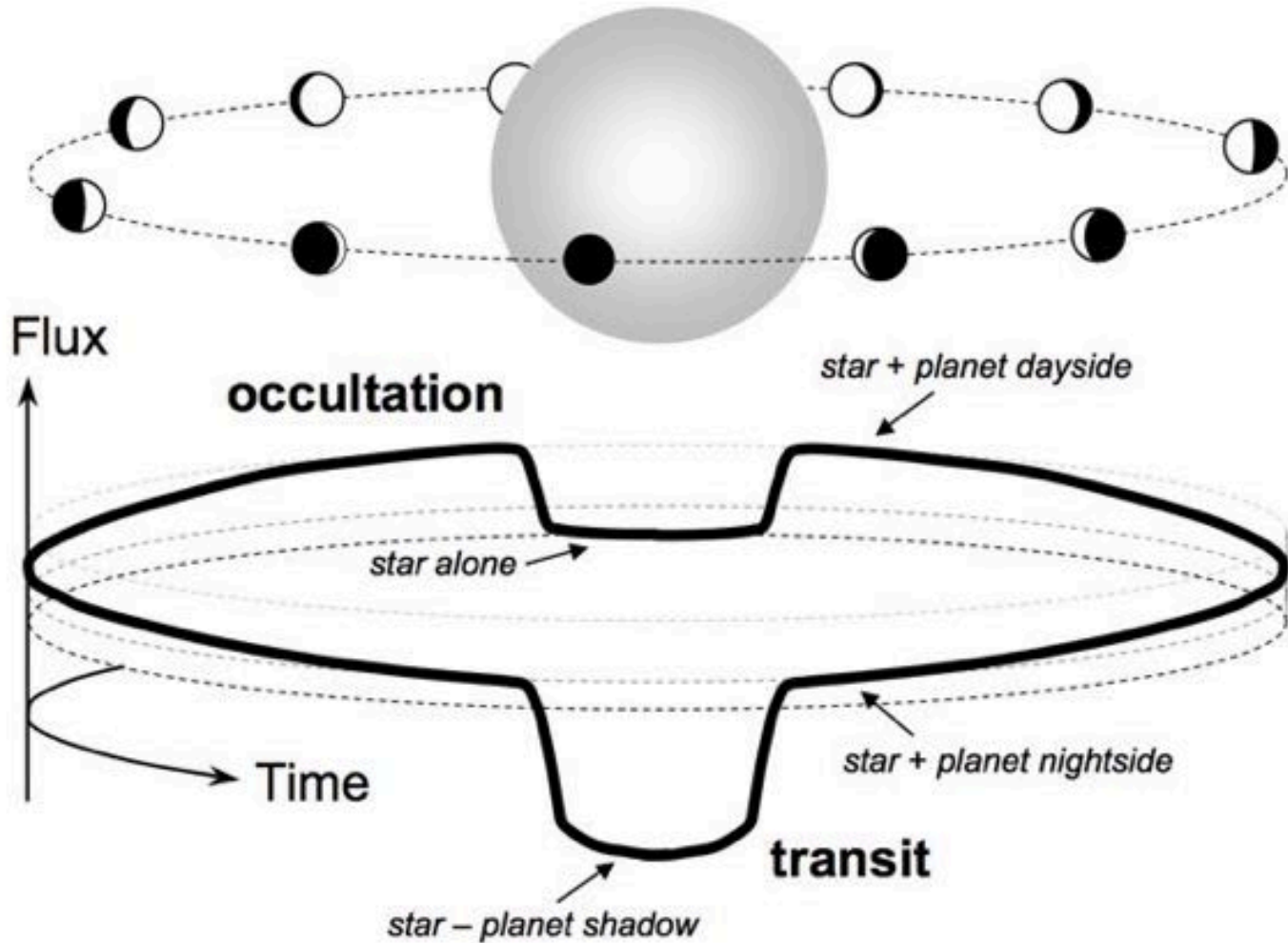


**Figure 2.** Pressure–temperature profiles for TrES-1 and HD 209458b. Condensation curves for various compounds, as taken from Lodders & Fegley (2005) are shown as dotted lines. The boundary where  $\text{CH}_4$  and  $\text{CO}$  have the same abundance is shown as a dashed line.



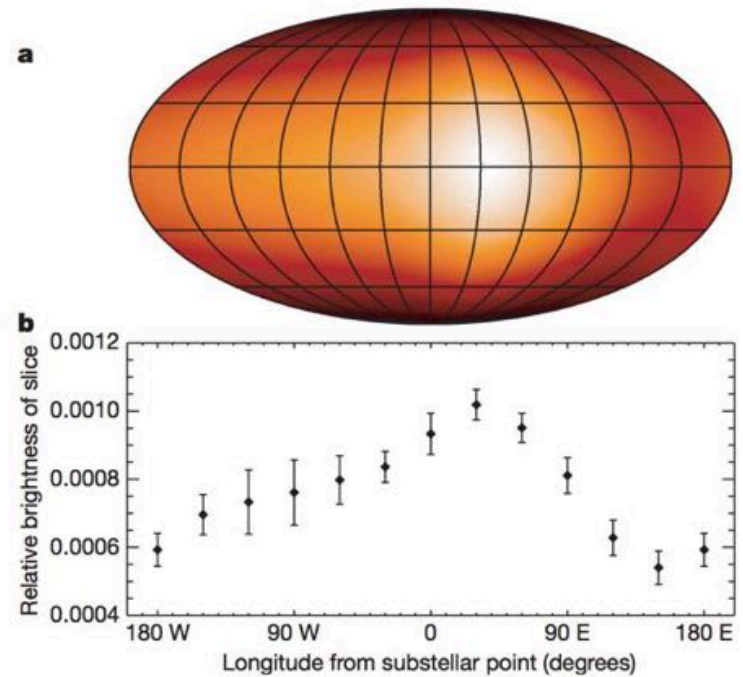
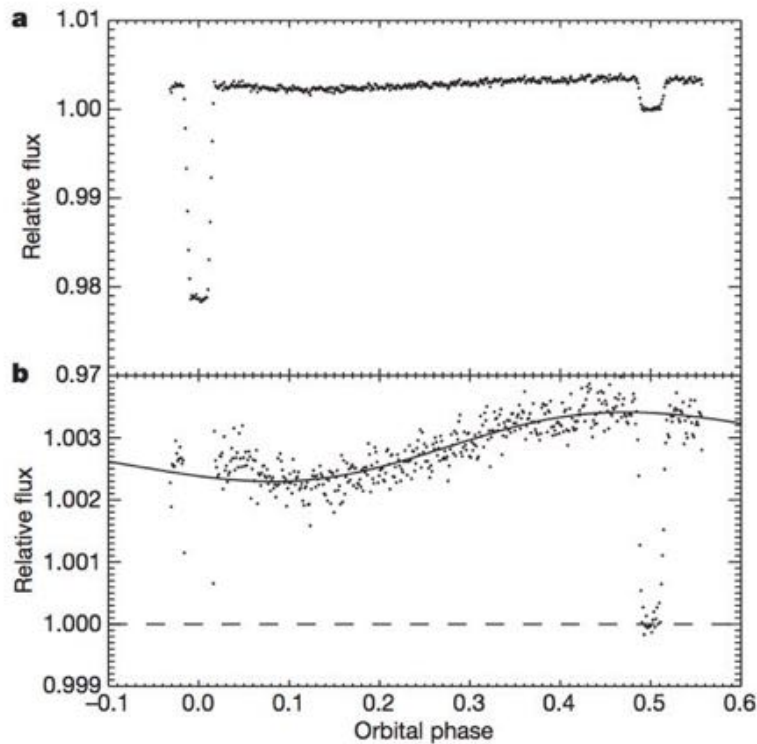
**FIG. 2.**—Cloud-free  $P$ - $T$  profiles at 1400, 1000, and 600 K at  $\log g = 3.67$ . Curves in black are for  $1\times$  solar metallicity. Curves in red are for  $5\times$  solar metallicity. Circles indicate the pressure of the mean photosphere, where  $T = T_{\text{eff}}$ . Dotted curves show locations of cloud condensation, while dashed curves are chemical equal abundance boundaries. Only the  $1\times$  boundaries (*black*) are labeled. Note that condensation curves shift to higher temperatures as metallicity increases, while equal abundance boundaries shift to lower temperatures.

# Transits and Occultations





# Transits: Phase-Resolved Emission Spectroscopy



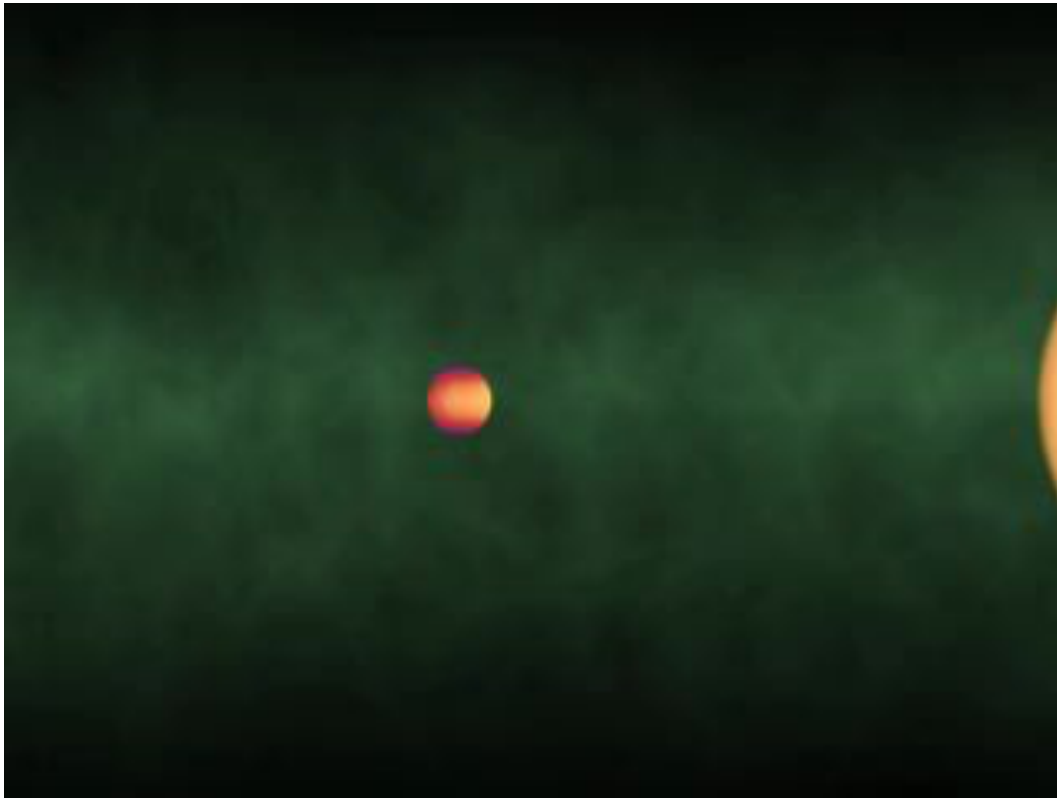
HD189733b

Real data

Knutson et al. 2007, Nature, 447, 183

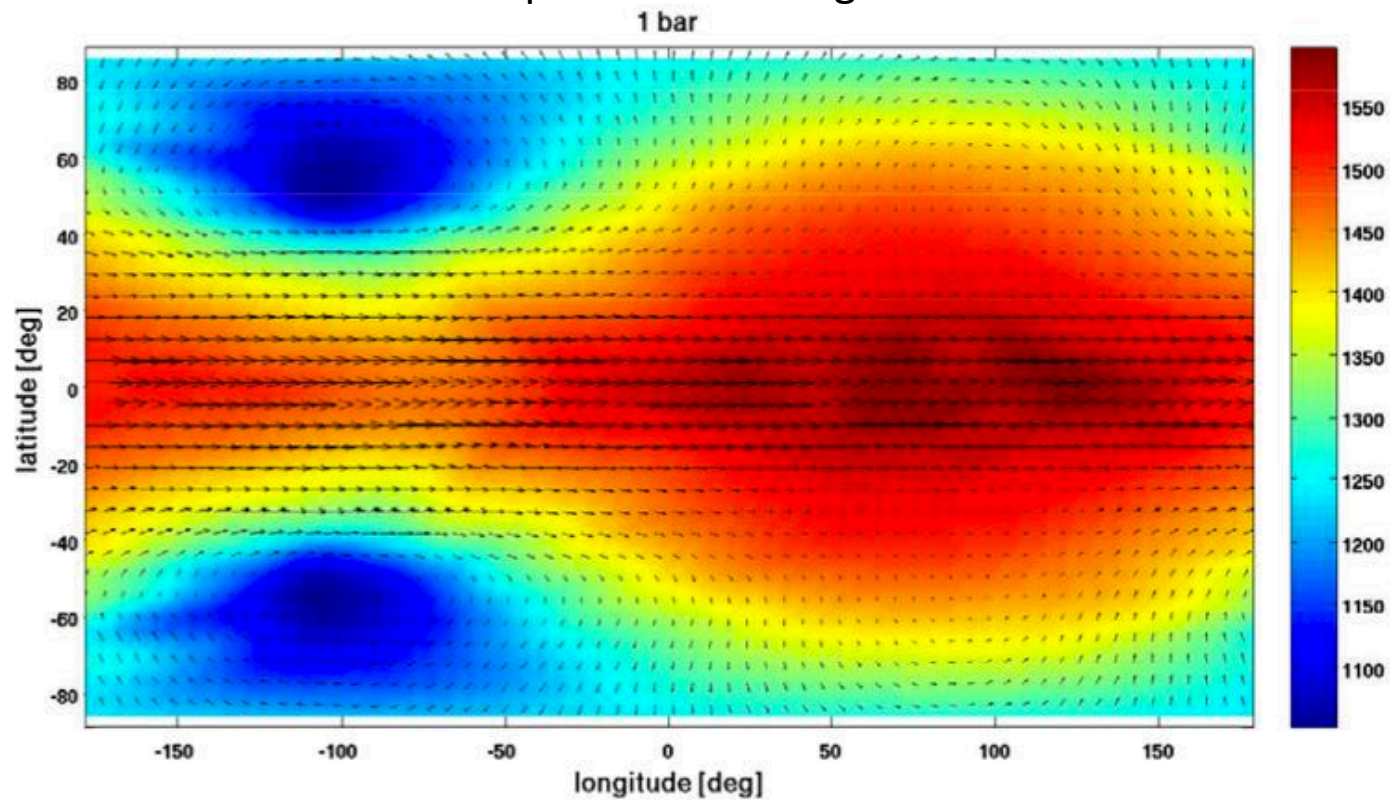


# Transits: Phase-Resolved Emission Spectroscopy



# Transits: Phase-Resolved Emission Spectroscopy

Theoretical predictions using a GCM



# Challenges

- Collecting enough photons
- Aperture (slit) losses
- Pointing variations + inter- and intra-pixel sensitivity variations
- Detector persistence
- Telluric transparency variations and contamination
- Limb darkening
- Stellar activity
- Mystery effects

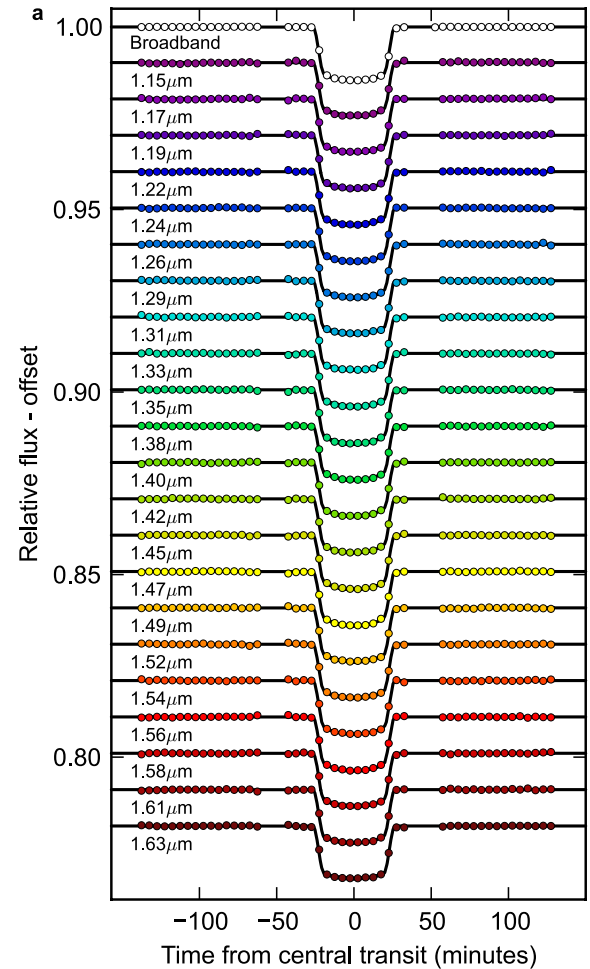
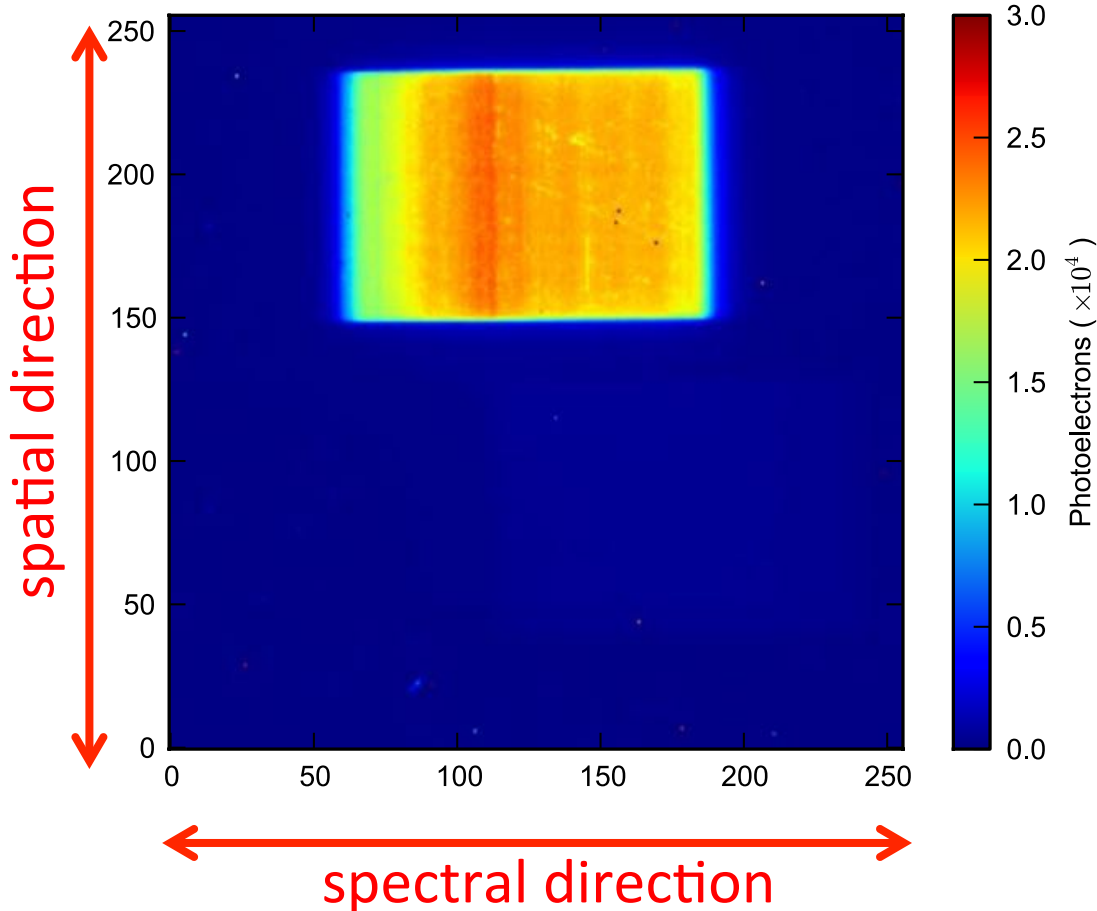


Astronaut Andrew Feustel  
installs the **Wide Field  
Camera 3** (May 14, 2009)



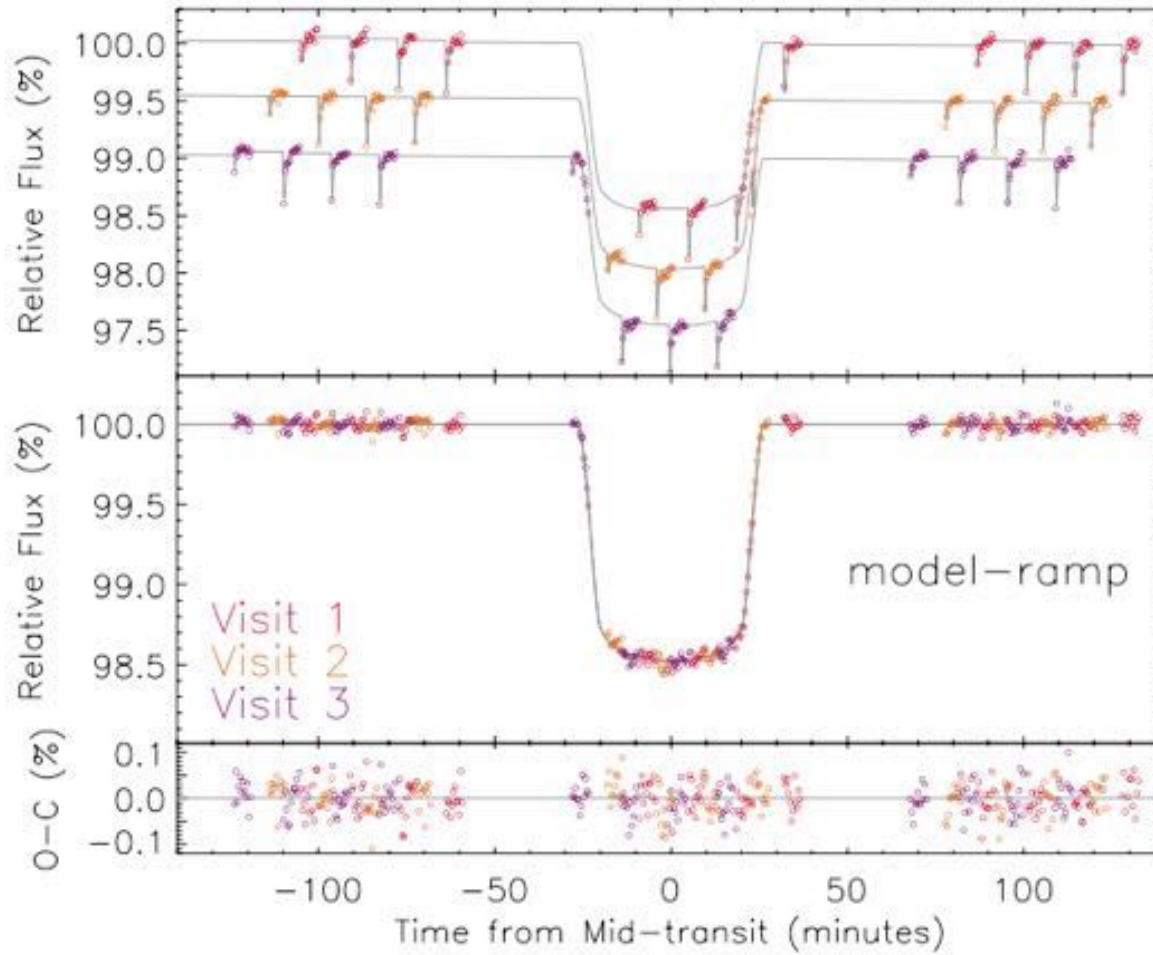
# Using an instrument designed for faint galaxies to look at bright, nearby stars

HST+ WFC3 spatial scan





# HST+ WFC3: staring mode

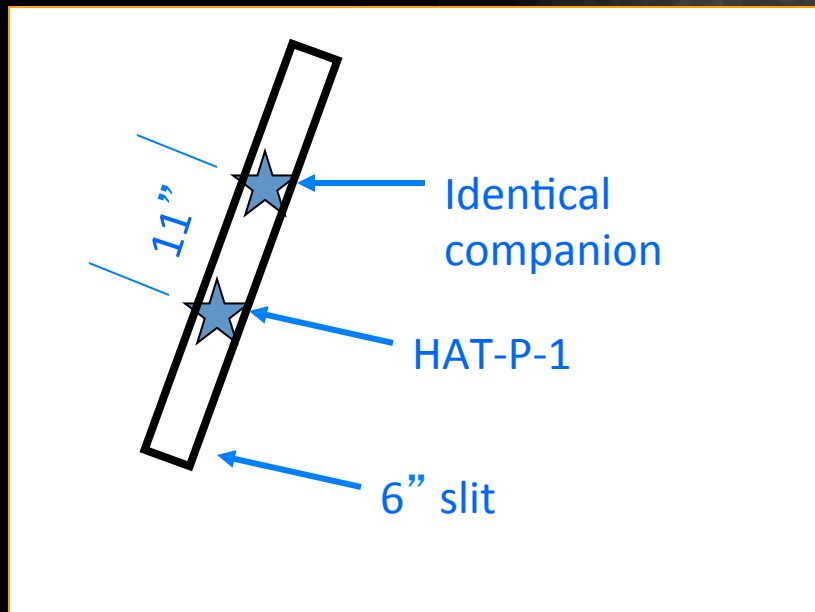


# Challenges

- Collecting enough photons
- Aperture (slit) losses
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# White Light Case Study #1: *HAT-P-1b*

*how wide do the slits have to be?*

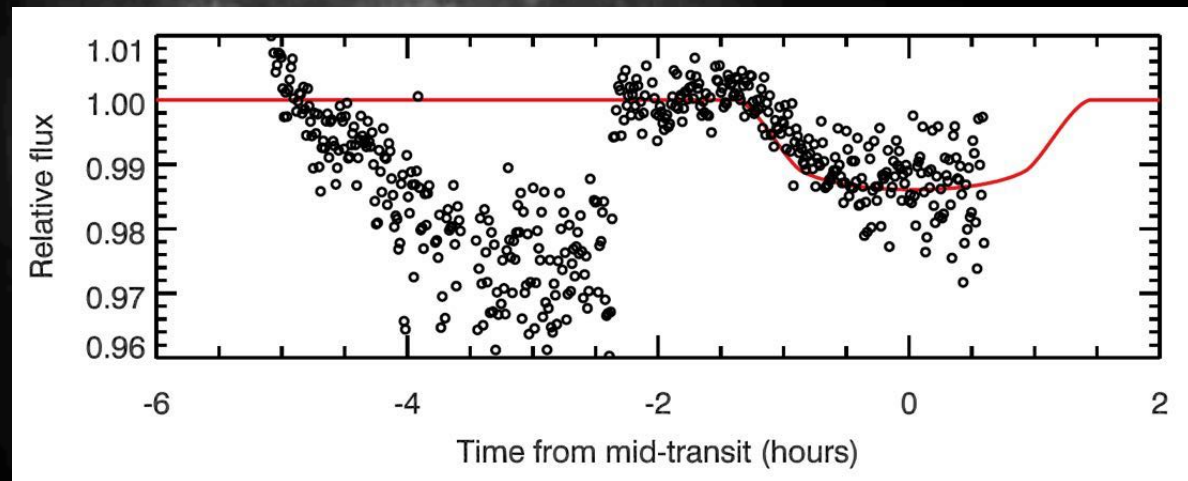


# White Light Case Study #1: *HAT-P-1b*

how wide do the slits have to be?



Calar Alto 3.5m + TWIN



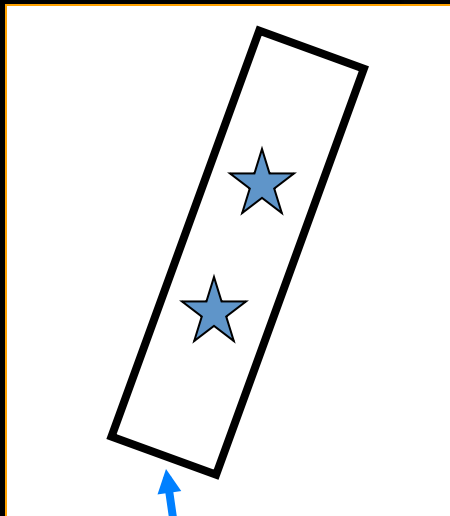
bad guiding



differential slit losses

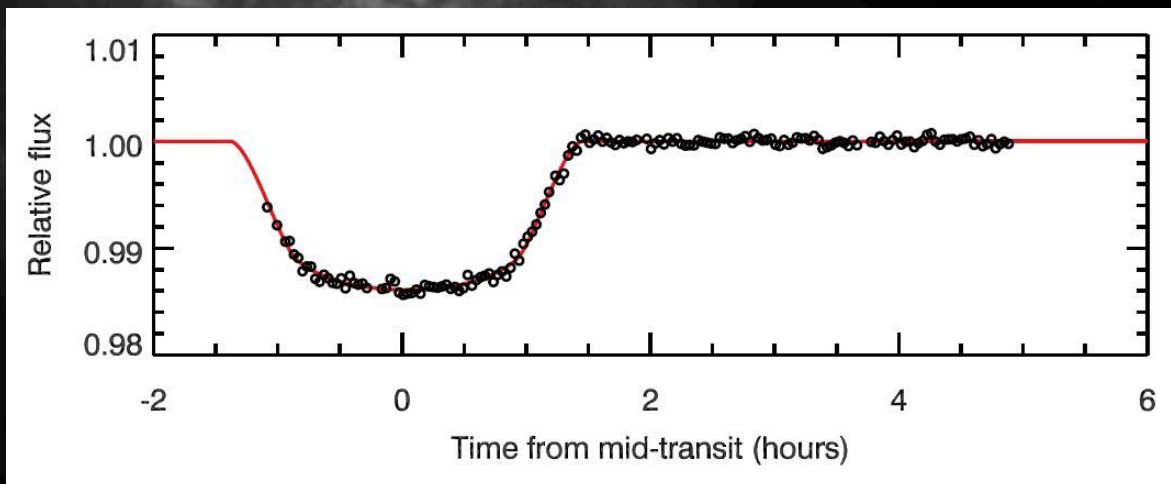
# White Light Case Study #1: *HAT-P-1b*

how wide do the slits have to be?



12"  
slit

Calar Alto 3.5m + MOSCA



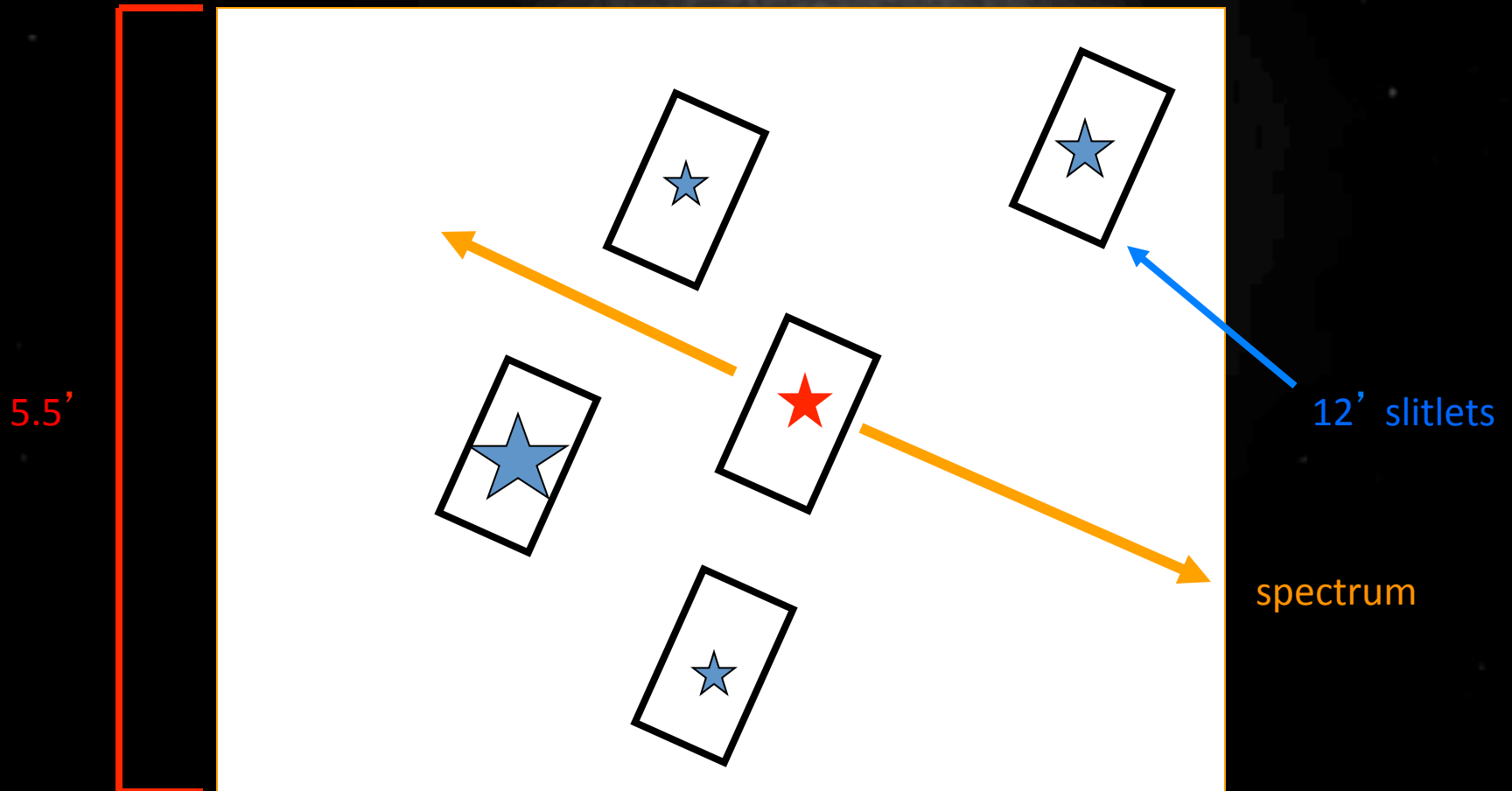
rms = 330 ppm!

(includes spatial position de-  
correlation)



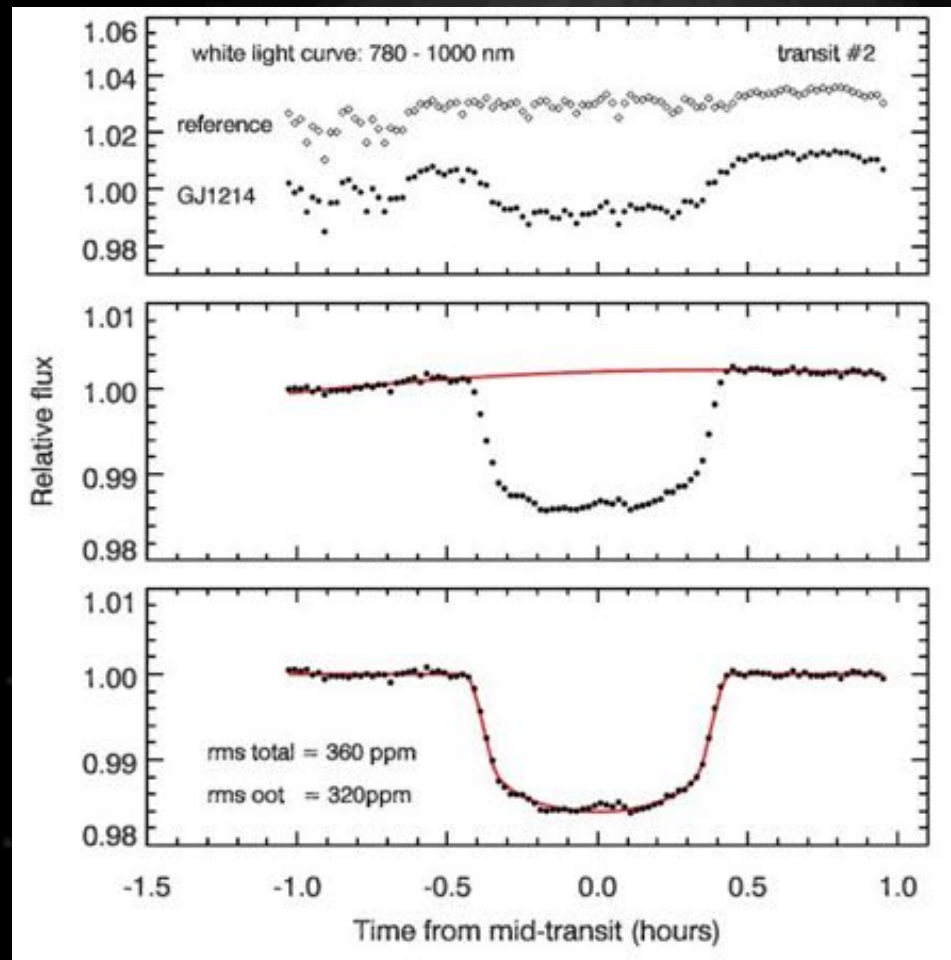
# White Light Case Study #2: *GJ1214b*

multi-object spectrograph – VLT + FORS



# White Light Case Study #2: *GJ1214b*

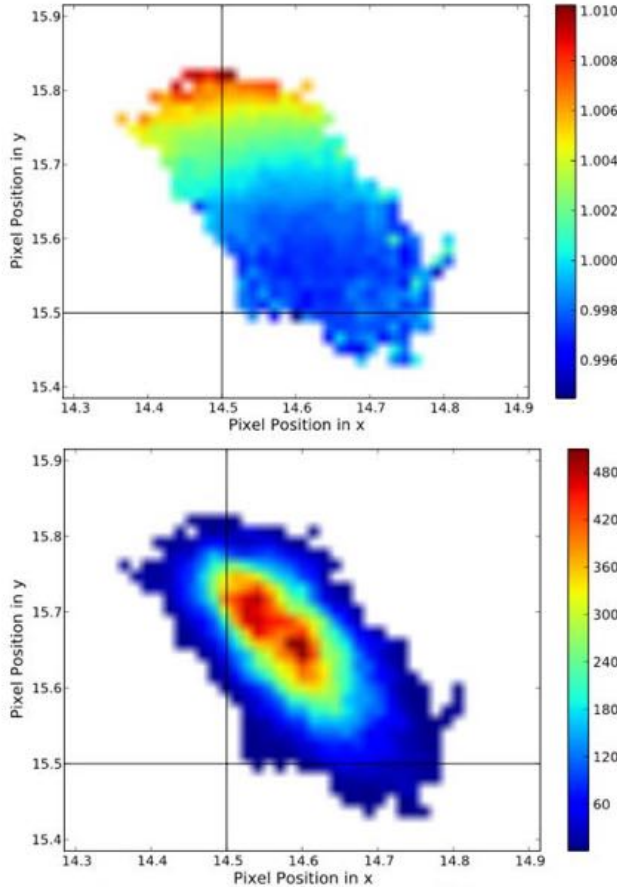
multi-object spectrograph – VLT + FORS



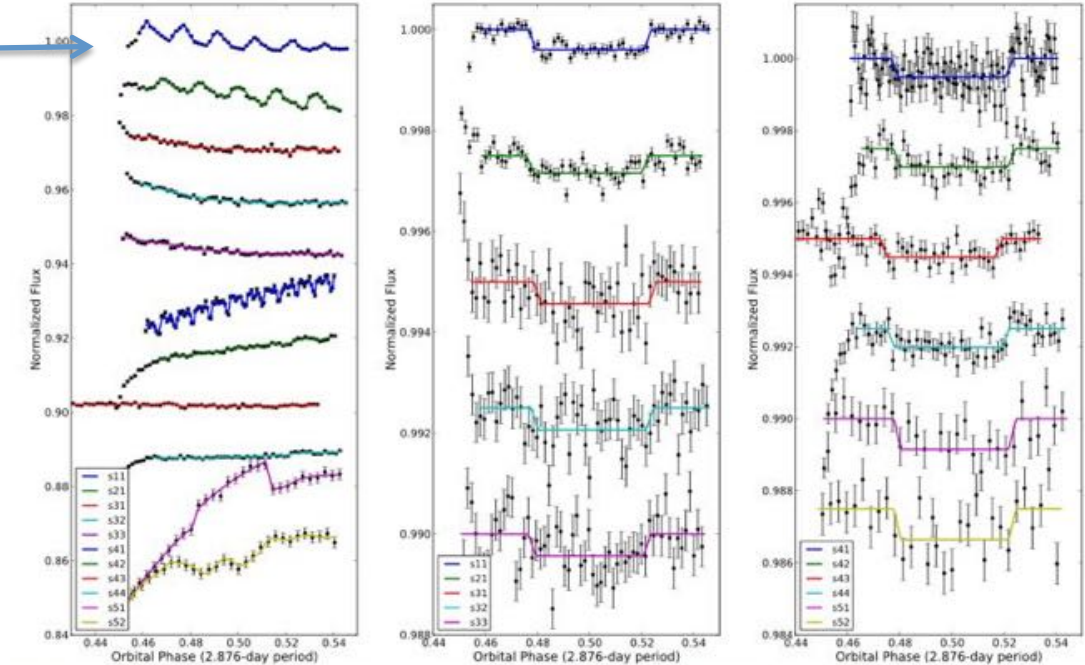
# Challenges

- Collecting enough photons
- Aperture (slit) losses
- Pointing variations + inter- and intra-pixel sensitivity variations
- Detector persistence
- Telluric transparency variations and contamination
- Limb darkening
- Stellar activity
- Mystery effects

# Spitzer + IRAC



**Figure 6.** BLISS map and pointing histogram of HD149bs11. Top: redder (bluer) colors indicate higher (lower) subpixel sensitivity. The horizontal and vertical black lines depict pixel boundaries. Bottom: colors indicate the number of points in a given bin, which, in this case, is 0.015 pixels in length and width. By recalculating the map at each step of the MCMC or minimizer, this technique substantially improves on that of B10, and beats all tested functional fits.



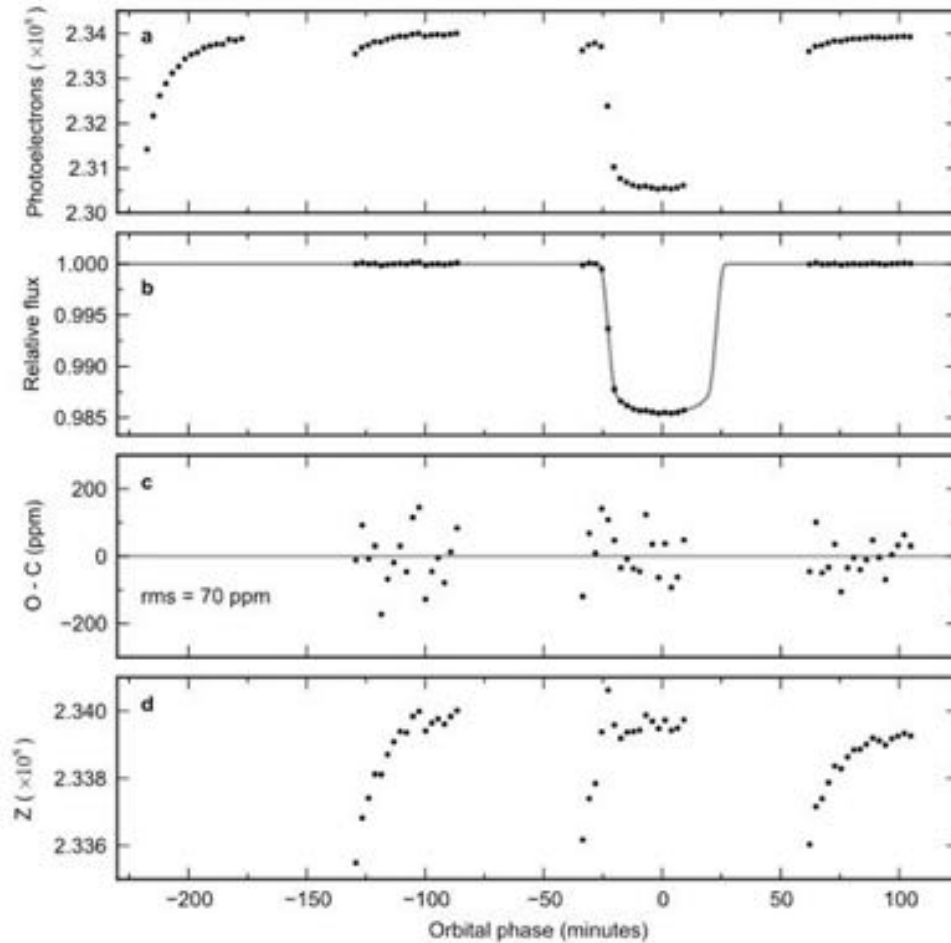
**Figure 10.** Binned (left) and systematics-corrected (center and right) secondary-eclipse light curves of HD 149026b in five *Spitzer* channels. The results are normalized to the system flux and shifted vertically for ease of comparison. The colored lines are best-fit models and the error bars are  $1\sigma$  uncertainties. The shorthanded legend labels correspond to the last three characters in each event's label (e.g., s11 = HD149bs11).

# Challenges

- Collecting enough photons
- Aperture (slit) losses
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# HST + WFC3

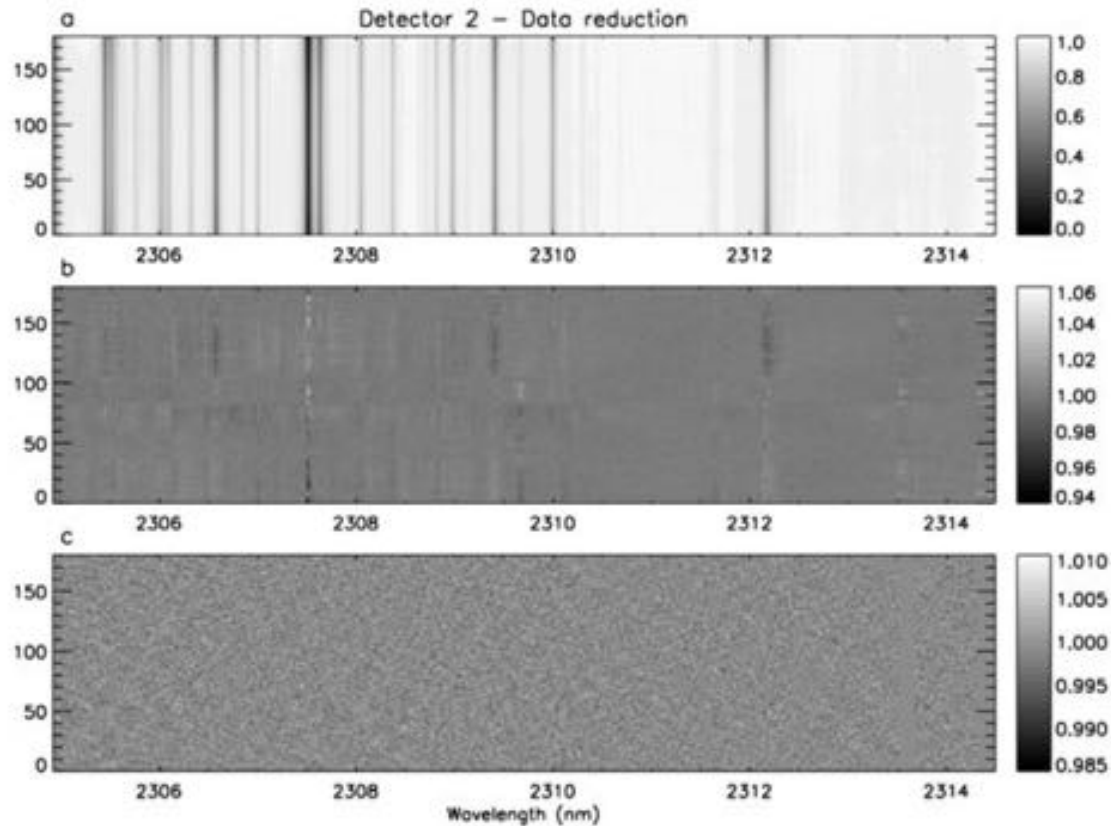


**Extended Data Figure 3:** **a**, The broadband light curve from the first transit observation. **b**, The broadband light curve corrected for systematics using the `model-ramp` technique (points) and the best-fit model (line). **c**, Residuals from the white light curve fit. **d**, The vector of systematics  $Z$  used in the `divide-white` technique.

# Challenges

- Collecting enough photons
- Aperture (slit) losses
- Pointing variations + inter- and intra-pixel sensitivity variations
- Detector persistence
- Telluric transparency variations and contamination
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- Mystery effects

# VLT + CRIRES

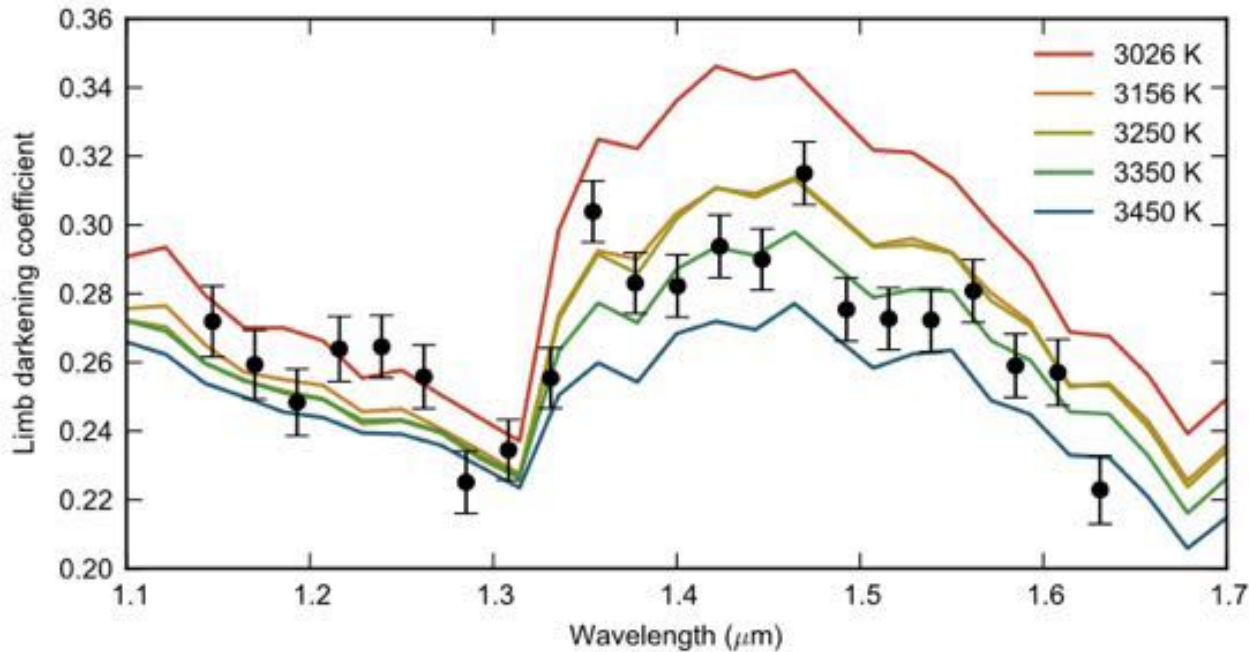


*Fig. S2: An example of our data reduction chain, showing the spectral series from CRIRES detector #2, taken on April 1, 2011. The y-axis corresponds to time. Data are first aligned and normalized to the same continuum level (a), and subsequently de-trended from the effects of the geometric airmass (b). Finally, correlated and low-order residuals are removed and bad regions in the array are masked (c). See section SI-2.2 for further details.*

# Challenges

- Collecting enough photons
- Aperture (slit) losses
- Pointing variations + inter- and intra-pixel sensitivity variations
- Detector persistence
- Telluric transparency variations and contamination
- Limb darkening
- Stellar activity
- Mystery effects

## GJ 1214b with *HST* + WFC3



**Extended Data Figure 6:** Fitted limb darkening coefficients as a function of wavelength (black points) and theoretical predictions for stellar atmospheres with a range of temperatures (lines). The uncertainties are  $1\sigma$  confidence intervals from an MCMC. The temperature of GJ 1214 is estimated to be 3250 K<sup>22</sup>.

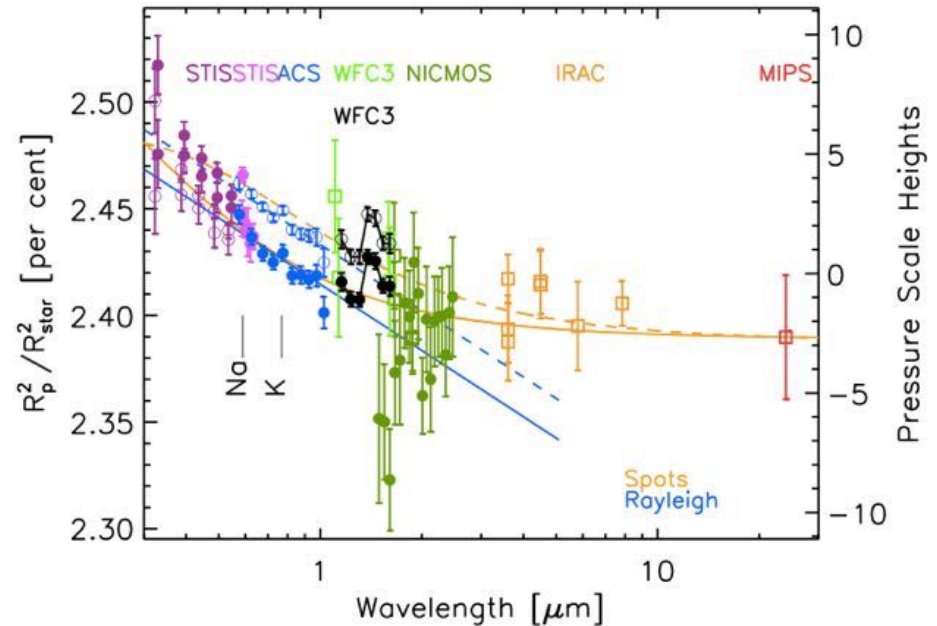
# Challenges

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# Stellar activity for HD 189733b?

**Figure 4.** Transmission spectrum of the exoplanet HD 189733b. Observations: data from Table 3 of this work, binned to seven points, are indicated by the filled black circles connected by a line. The WFC3 spectrum's S-shaped undulation is attributed to water vapor (see Figure 5). Except for the WFC3 spectrum, all of the data and associated uncertainties are from Table 5 of Pont et al. (2013), including spectroscopy (filled colored circles) and photometry (open colored squares). As such, the illustrated NICMOS spectrum was reported originally by Gibson et al. (2011) and has a median uncertainty per point of 234 ppm. The median uncertainty per point estimated by three different analyses of those same NICMOS data ranges from 80 ppm to 234 ppm, although the shapes of the independently derived spectra are similar (Swain et al. 2014). Data from Table 3 of Sing et al. (2011) include five pairs of points from the G430L grating of STIS (open violet circles), except their wavelengths have been reduced 2% for clarity. Data from Table 2 of Pont et al. (2008) include 10 points from the G800L grating of ACS (open blue circles). Different corrections to the same data account for the different transit depths (open vs. filled circles;  $\lambda < 1.7 \mu\text{m}$ ). Uncertainties are represented by  $1\sigma$  error bars. Transitions of atomic sodium and potassium are indicated. Models: the blue dashed line is a fit to the Pont et al. (2008) data (open blue circles) by Lecavelier Des Etangs et al. (2008a) with a model of a clear, Rayleigh-scattering planetary atmosphere of the form of Equation (1) of Lecavelier Des Etangs et al. (2008b). The blue solid line is the same fit, shifted down to match the data of Pont et al. (2013) (filled blue circles). The orange lines have a fixed planetary radius  $R_p$  and blackbody stellar models with unocculted star spots. The temperature of the stellar photosphere is 5000 K. The modeled spot temperatures and covering fractions are 4600 K and 0.08 (solid), and 3700 K and 0.056 (dashed). Both spot models have been normalized at  $\lambda = 24 \mu\text{m}$  to match the MIPS data point. An annulus of radius equal to  $R_p$  and width equal to a single  $T = 1200 \text{ K}$  pressure scale height ( $H = 193 \text{ km}$ ) corresponds to 112 ppm of transit depth, as indicated by the right scale.

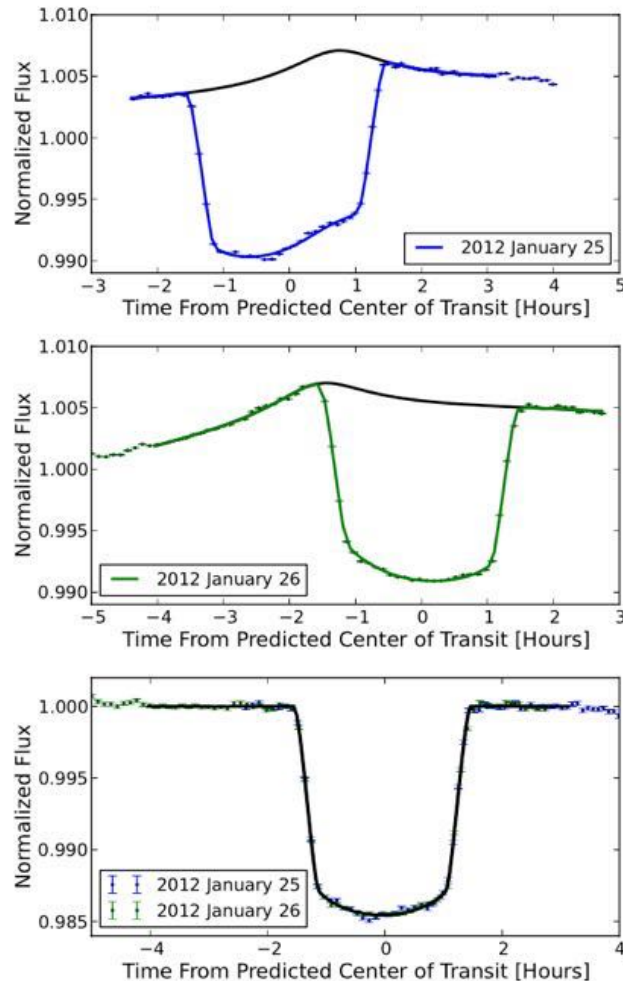


# Challenges

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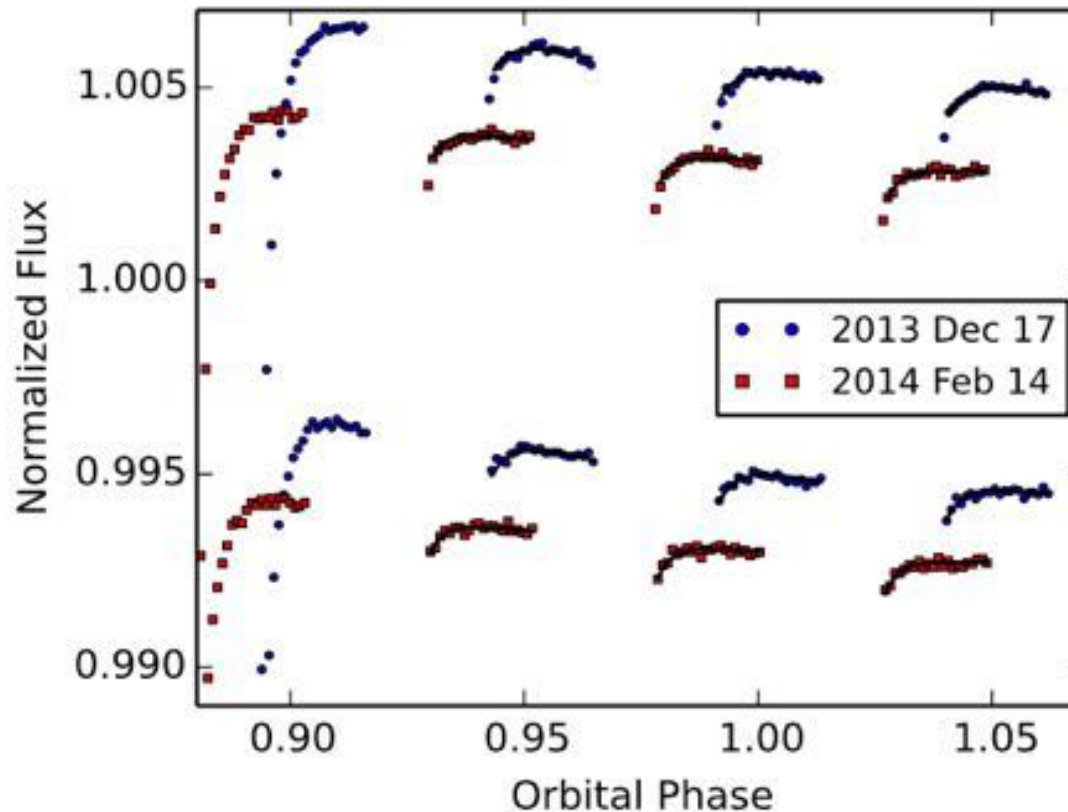
# Gemini + GMOS

Stevenson+ 2014a



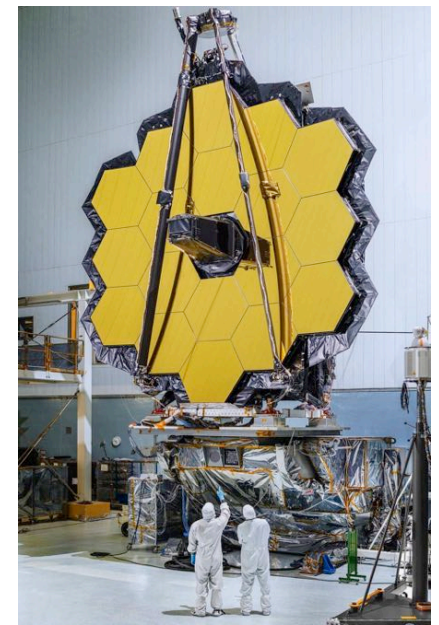
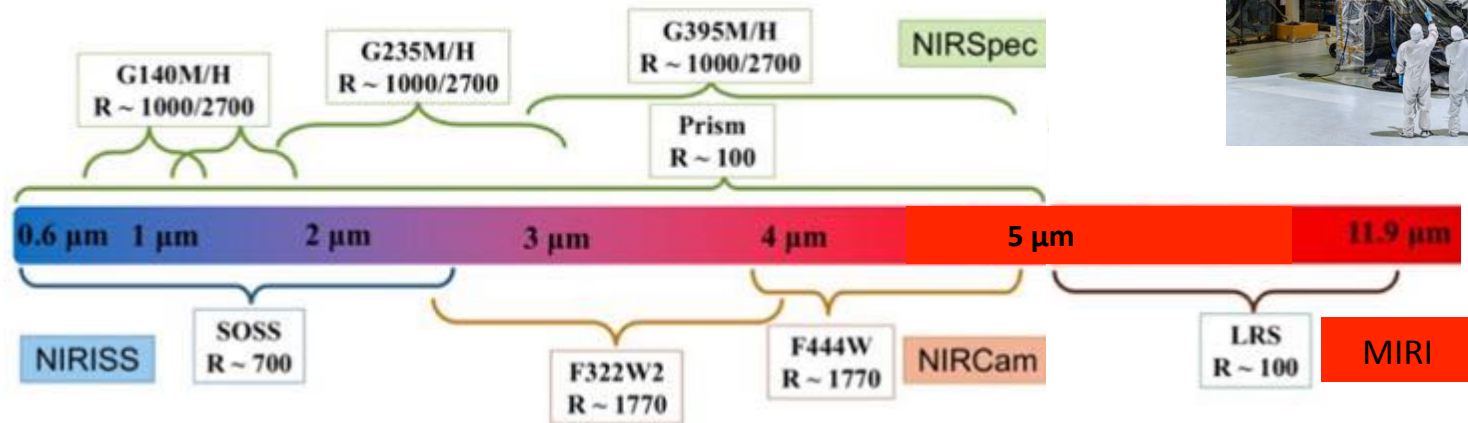
**Figure 3.** White light curves and best-fit transit models of WASP-12b. The upper and middle panels depict light curves of WASP-12 from 2012 January 25 and 26, respectively, that are binned in pairs and corrected for atmospheric variations using a comparison star. We model the instrument-related systematic using Equation (1) and apply the full model given by Equation (2). We do not use flux from 720 to 765 nm to construct the white light curves because of an anomalous increase in flux toward the end of each observation, as discussed in Section 2.4. We exclude points that are far from each transit because the systematic models provide a less-than-ideal fit to these data, which can skew the best-fit transit parameters. The lower panel presents the normalized, systematics-removed light curves with  $1\sigma$  uncertainties and a best-fit transit model in black. The residual rms value for each white light curve is 180 ppm and uncertainties are  $3.1 \times$  the photon limit.

## HST + WFC3



**Figure 1.** *HST*/*WFC3* white light curves of GJ 436. Blue circles depict the 2013 December 17 data set and red squares depict the 2014 February 14 data set. Typical per point uncertainties are the size of the symbols (67 ppm). For comparison, we include sample models (black curves) that fit orbits 2–4 and exclude the first point from each orbit. The forward and reverse scan directions produce the observed 1% flux offset within each data set.

# The potential of *JWST* for transit spectroscopy







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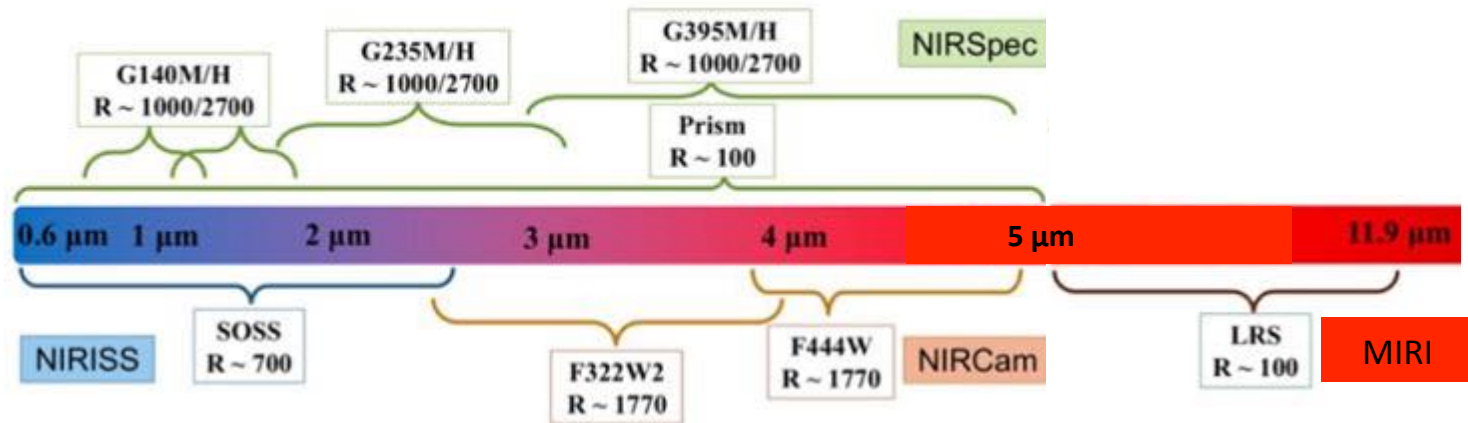
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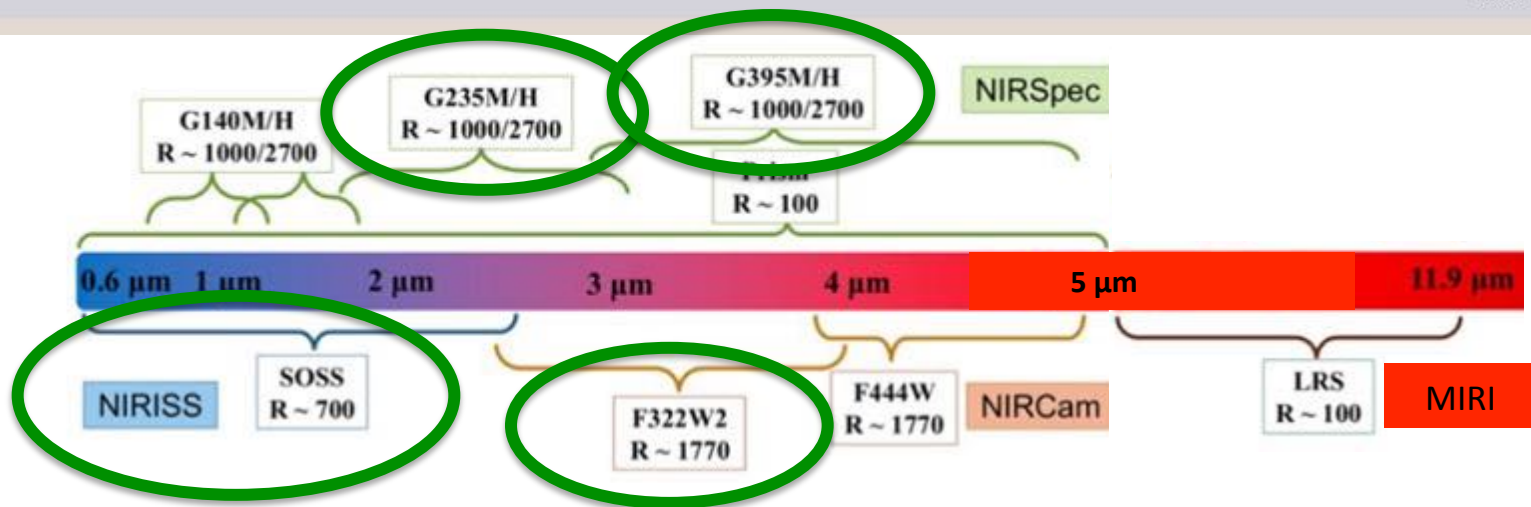
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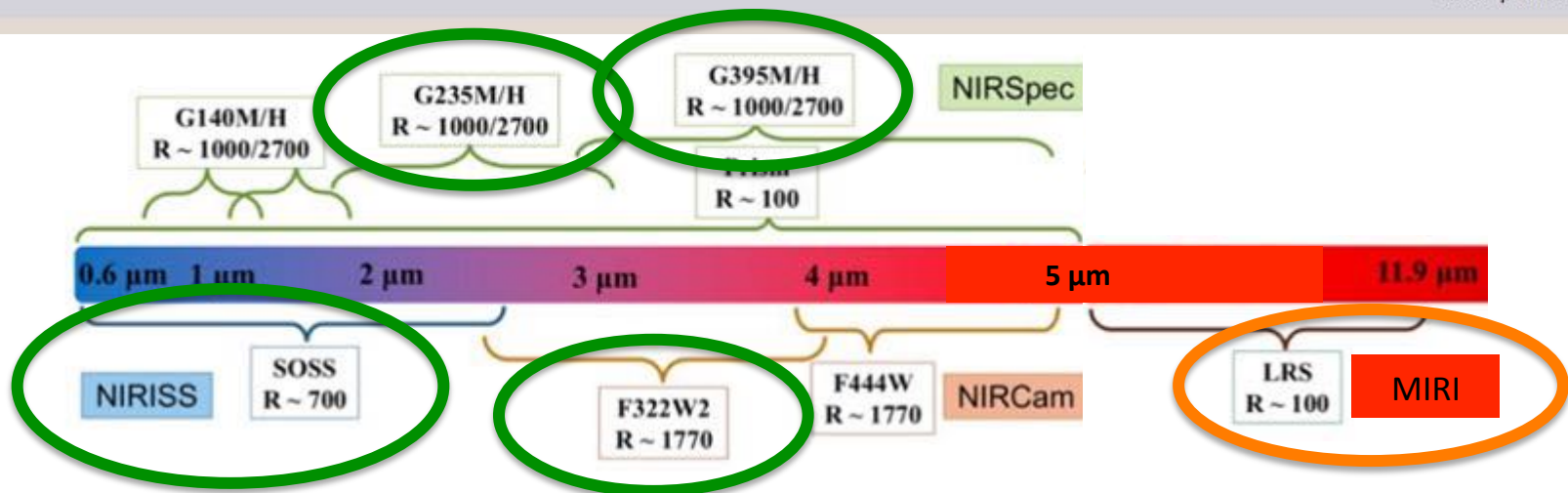
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Transmission spectroscopy

Full orbit phase curve (with two secondary eclipses)

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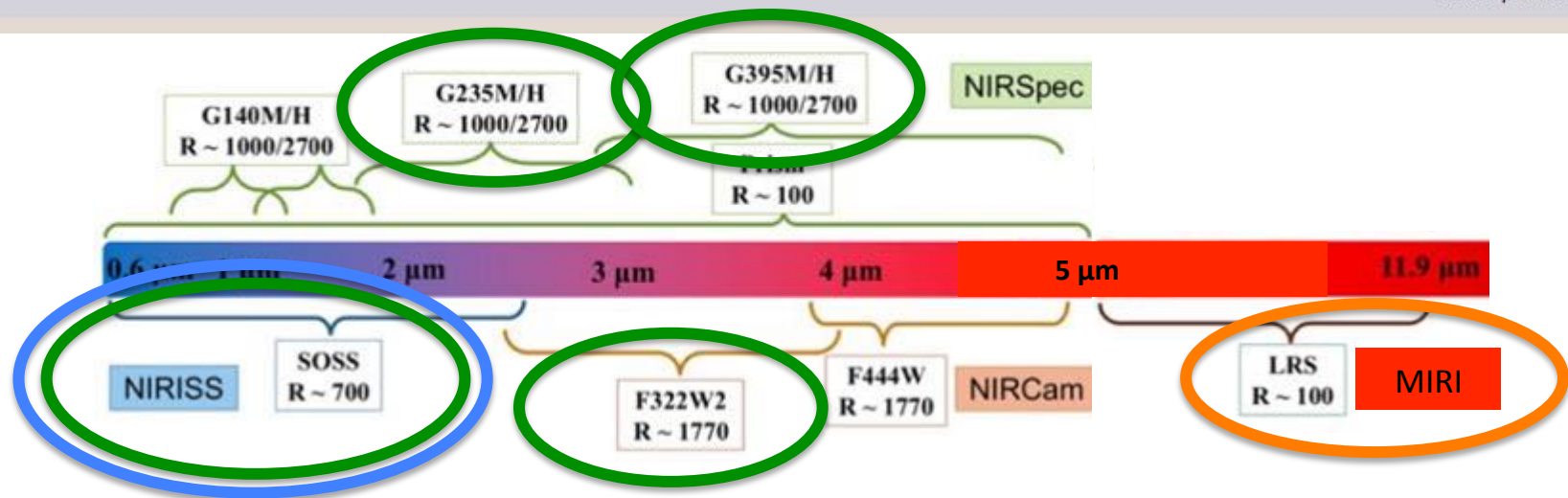
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Transmission spectroscopy

Full orbit phase curve (with two secondary eclipses)

Secondary eclipse of a bright star

<http://exoctk.readthedocs.io/en/latest/>