

SPANet meeting - São Paulo - Oct. 2019

Development of new spectrographs for ESO VLT-CUBES & ELT-MOSAIC

Chris Evans
Head of Science, UKATC, Edinburgh

UKATC

- UK's national lab for astronomical instrumentation
- Co-located with U. Edinburgh's Institute for Astronomy (IfA)
- Incl. Higg's Centre for Innovation (in partnership with UoE)
- Incl. Royal Observatory Visitor Centre
 - Part of UKATC-led National Laboratories Public Engagement programme



STFC

- UKATC is part of the Science & Technology Facilities Council...
- ...which is part of UK Research & Innovation (UKRI)
- Staff: ~2000, across 6 sites



Credit: STFC



Credit: STFC



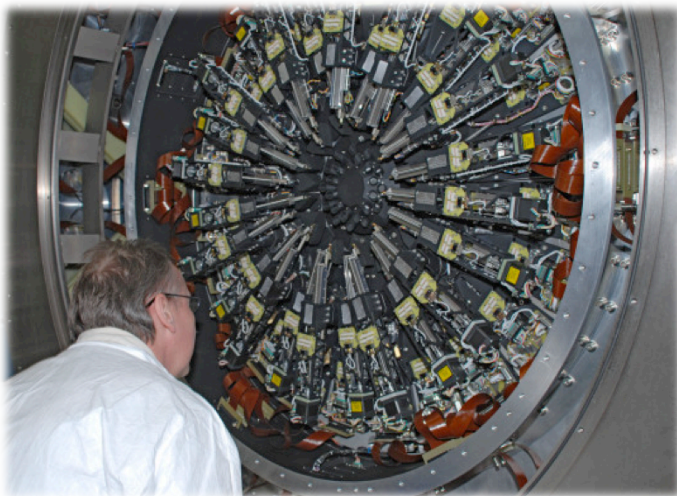
Credit: STFC

STFC

In UK astronomy, STFC funds:



Facilities



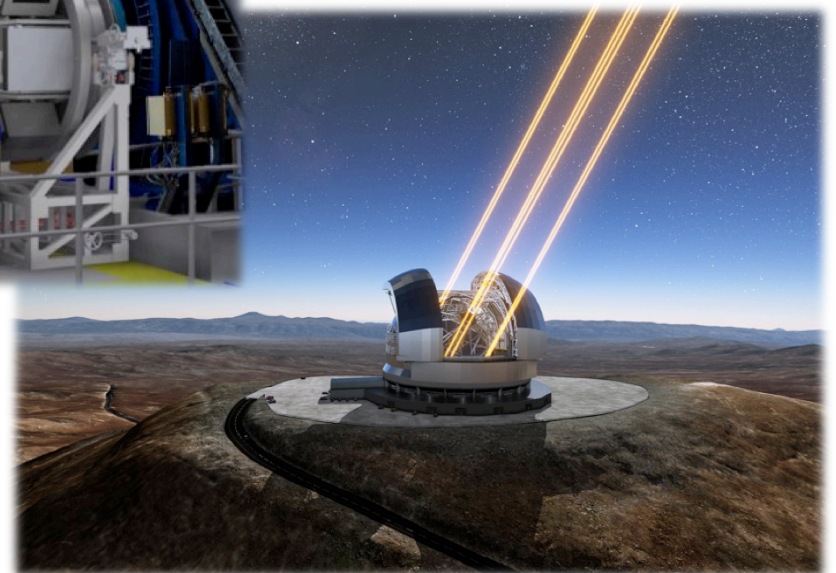
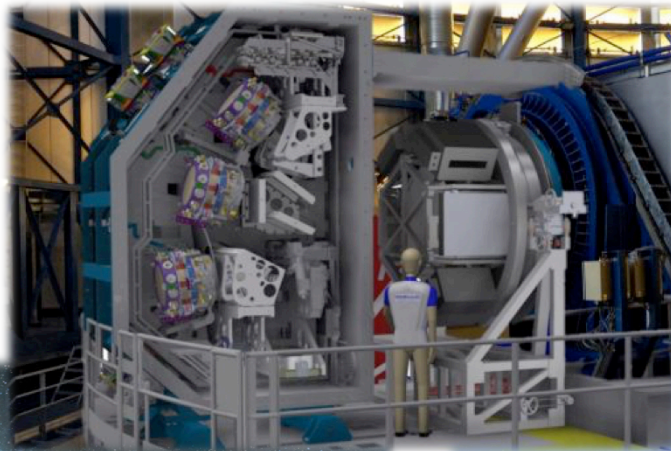
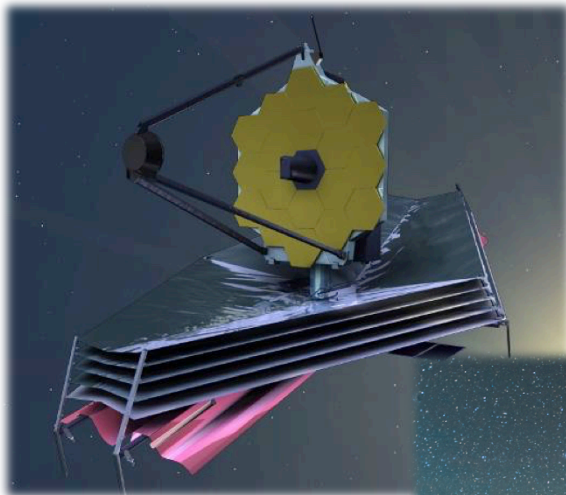
R&D



Exploitation

UKATC

- ~85 staff, with skills/expertise across all aspects of astronomy
 - Science, management, system eng., optics, mechanical, electrical, detectors, software
- 70-80% of programme is astronomy:
 - Leading roles in facility-class instruments
 - Partner roles developing key sub-systems
 - Smaller R&D projects



UKATC

- ~85 staff, with skills/expertise across all aspects of astronomy
 - Science, management, system eng., optics, mechanical, electrical, detectors, software
- 20-30% of non-astronomy projects:
 - Earth Observation, Diamond Light Source, healthcare
 - Use connections & skills to support commercial companies



UK-Brazil collaboration



Two UK-Br grants:

- Newton Fund (STFC/FAPESP)
 - Two workshops (USP, LNA),
 - 7 visits of Brazilian early-stage researchers to UK (1 u/g, 3 PhD, 3 postdoc)
- GCRF (STFC)
 - Collaboration to progress CUBES concept for ESO
 - 11 visits from Brazil to UK (4 staff, 7 PhD)

USP, 2015

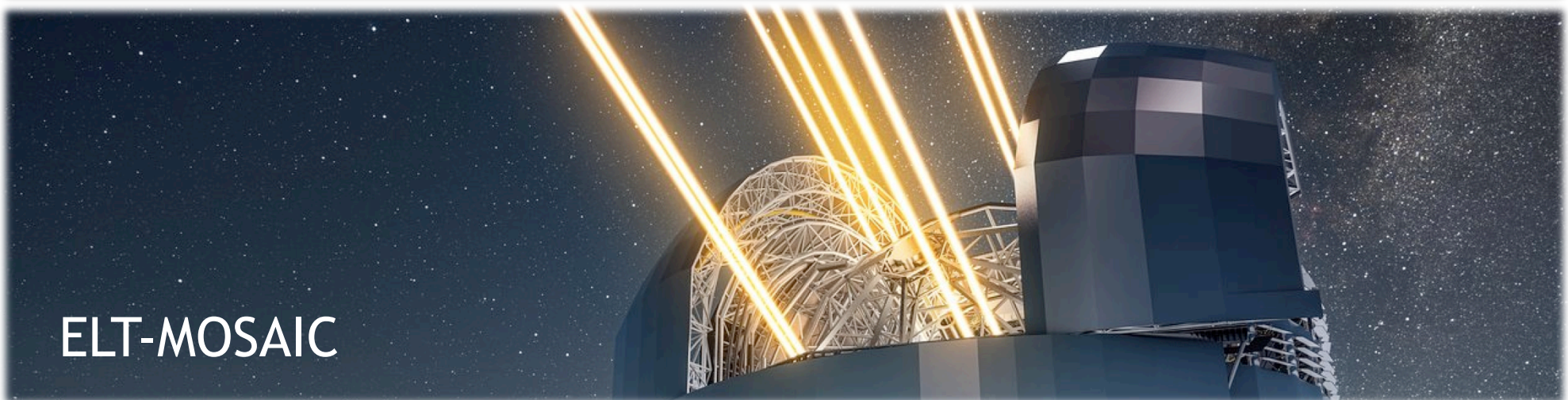


Edinburgh, 2018



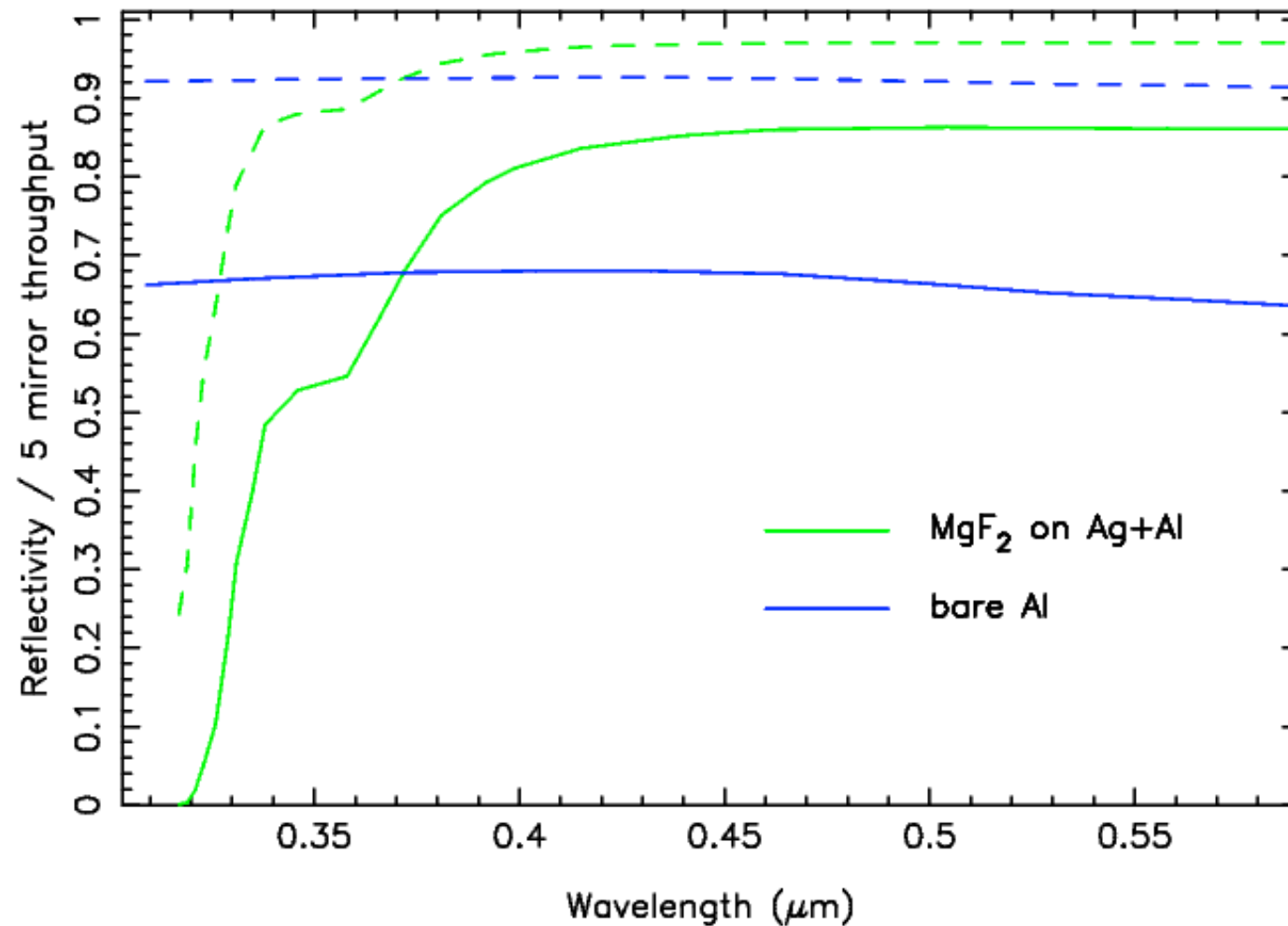
UK-Brazil collaboration

Two UK-Br projects:



CUBES: Context

ELT performance



Credit: ESO, from: https://www.eso.org/sci/facilities/eelt/science/drm/tech_data/telescope/

CUBES: Phase A



ESO

European Organisation
for Astronomical Research
in the Southern Hemisphere



Very Large Telescope

CUBES

**Phase A study
Science Report**

Doc. No.: VLT-TRE-ESO-13800-5679

Issue: 1

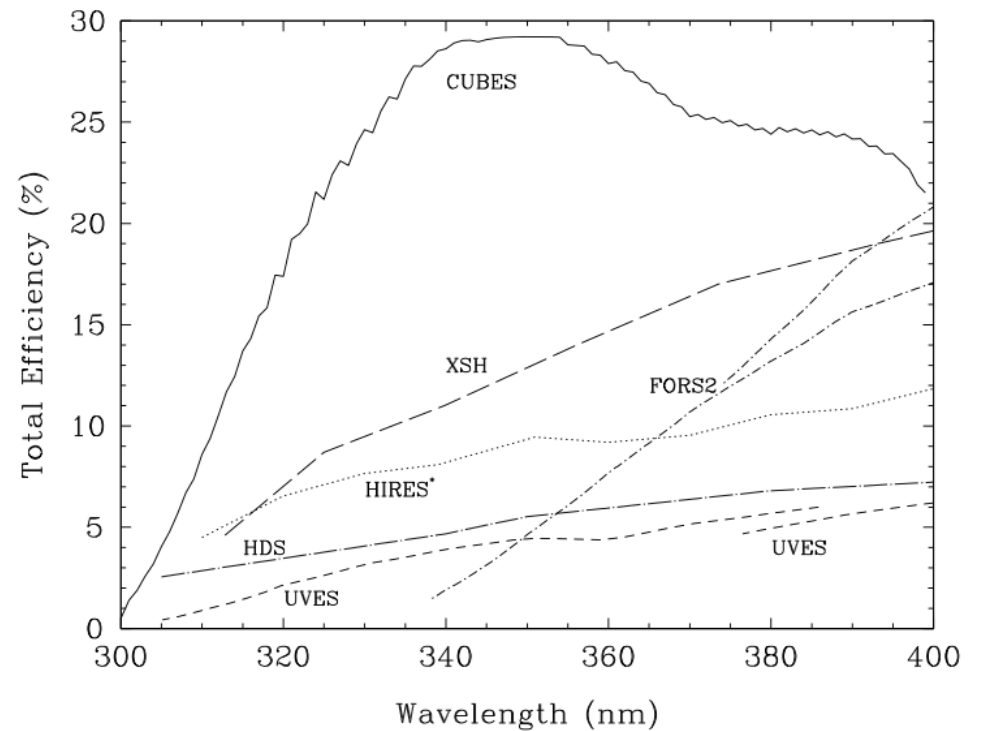
Date: 31.08.2012

Astrophys Space Sci
DOI 10.1007/s10509-014-2039-z

ORIGINAL ARTICLE

CUBES: cassegrain U-band Brazil-ESO spectrograph

B. Barbuy · V. Bawden Macanhan · P. Bristow · B. Castilho · H. Dekker · B. Delabre ·
M. Diaz · C. Gneiding · F. Kerber · H. Kuntschner · G. La Mura · W. Maciel ·
J. Meléndez · L. Pasquini · C.B. Pereira · P. Petitjean · R. Reiss · C. Siqueira-Mello ·
R. Smiljanic · J. Vernet



Revisiting CUBES



SPIE 2018 (arXiv:1806.11173)

Revisiting the science case for near-UV spectroscopy with the VLT

C. J. Evans¹, B. Barbuy², B. Castilho³, R. Smiljanic⁴, J. Melendez², J. Japelj⁵,
S. Cristiani⁶, C. Snodgrass⁷, P. Bonifacio⁸, M. Puech⁸, A. Quirrenbach⁹

¹ UK Astronomy Technology Centre, Royal Observatory, Blackford Hill, Edinburgh, EH9 3HJ, UK

² Universidade de São Paulo, IAG, Rua do Matão 1226, Cidade Universitária, São Paulo, 05508-900, Brazil

³ Laboratório Nacional de Astrofísica/MCTIC, Rua Estados Unidos, 154 - 37504-364, Itajubá, MG, Brazil

⁴ Nicolaus Copernicus Astronomical Center, Polish Academy of Sciences, Bartycka 18, 00-716, Warsaw, Poland

⁵ Astronomical Institute Anton Pannekoek, University of Amsterdam, Science Park 904, 1098 XH, Amsterdam, the Netherlands

⁶ INAF - Osservatorio Astronomico di Trieste, via G. B. Tiepolo 11, 34131 Trieste, Italy

⁷ School of Physical Sciences, The Open University, Milton Keynes, MK7 6AA, UK

⁸ GEPI Observatoire de Paris, PSI University, CNRS, 5 Place Jules Janssen, 92190 Meudon, France

- Instrument concept: still unique and valid
- Science case: even stronger (e.g. link to nucleosynthesis in GW sources)
- Key design requirements unchanged:
 - Wavelength range: 302-380nm
 - $R \sim 20,000$
 - Design philosophy: Maximise efficiency

CUBES: Galactic Science

The Origin of the Solar System Elements

1 H	big bang fusion 						cosmic ray fission 						2 He						
3 Li	4 Be	merging neutron stars 						exploding massive stars 						5 B	6 C	7 N	8 O	9 F	10 Ne
11 Na	12 Mg	dying low mass stars 						exploding white dwarfs 						13 Al	14 Si	15 P	16 S	17 Cl	18 Ar
19 K	20 Ca	21 Sc	22 Ti	23 V	24 Cr	25 Mn	26 Fe	27 Co	28 Ni	29 Cu	30 Zn	31 Ga	32 Ge	33 As	34 Se	35 Br	36 Kr		
37 Rb	38 Sr	39 Y	40 Zr	41 Nb	42 Mo	43 Tc	44 Ru	45 Rh	46 Pd	47 Ag	48 Cd	49 In	50 Sn	51 Sb	52 Te	53 I	54 Xe		
55 Cs	56 Ba		72 Hf	73 Ta	74 W	75 Re	76 Os	77 Ir	78 Pt	79 Au	80 Hg	81 Tl	82 Pb	83 Bi	84 Po	85 At	86 Rn		
87 Fr	88 Ra																		
		57 La	58 Ce	59 Pr	60 Nd	61 Pm	62 Sm	63 Eu	64 Gd	65 Tb	66 Dy	67 Ho	68 Er	69 Tm	70 Yb	71 Lu			
		89 Ac	90 Th	91 Pa	92 U														

Graphic created by Jennifer Johnson

Astronomical Image Credits:
ESA/NASA/AASNova

CUBES: Galactic Science

The Origin of the Solar System Elements

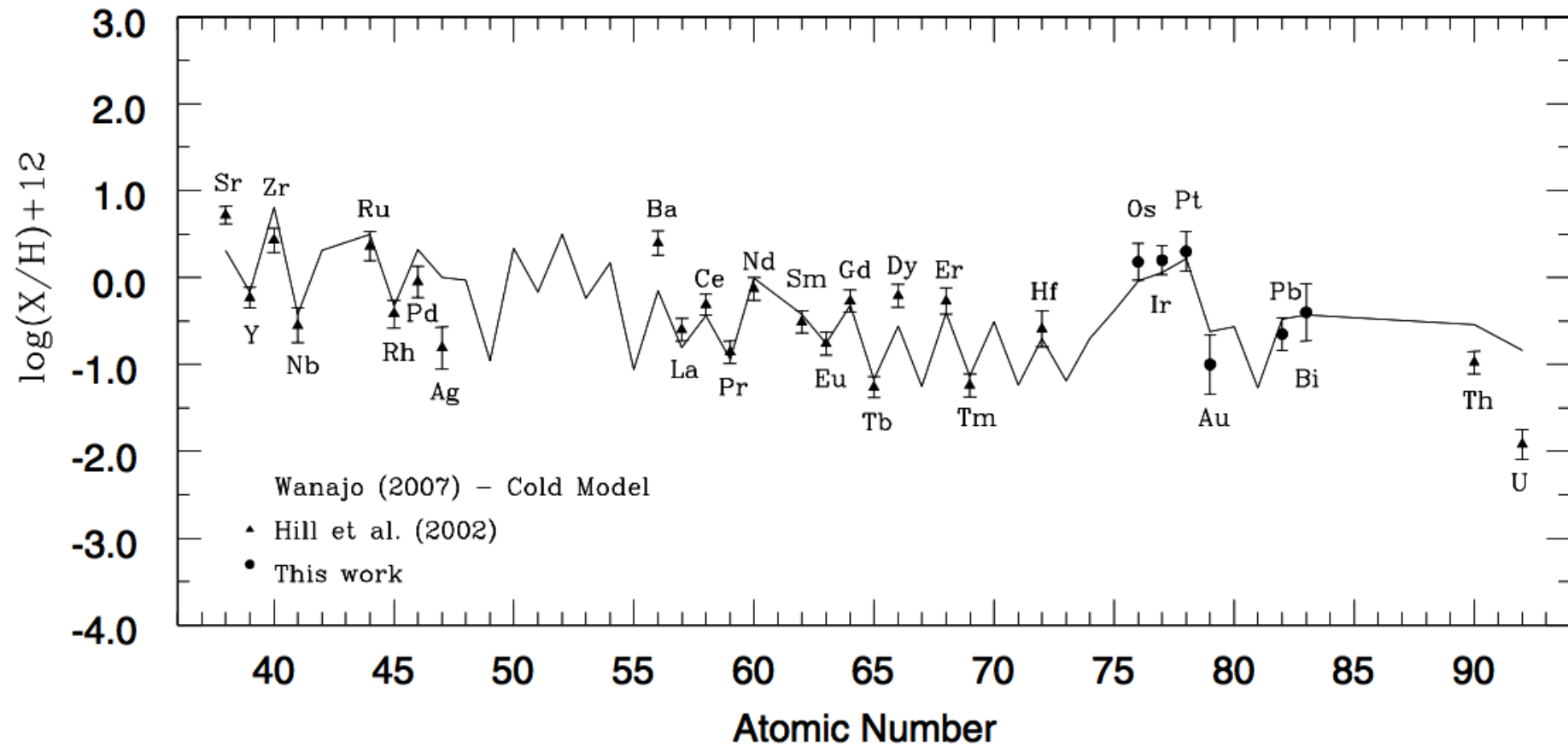
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37 Rb	38 Sr	39 Y	40 Zr	41 Nb	42 Mo	43 Tc	44 Ru	45 Rh	46 Pd	47 Ag	48 Cd	49 In	50 Sn	51 Sb	52 Te	53 I	54 Xe		
55 Cs	56 Ba		72 Hf	73 Ta	74 W	75 Re	76 Os	77 Ir	78 Pt	79 Au	80 Hg	81 Tl	82 Pb	83 Bi	84 Po	85 At	86 Rn		
87 Fr	88 Ra																		
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Astronomical Image Credits:
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CUBES: Galactic Science

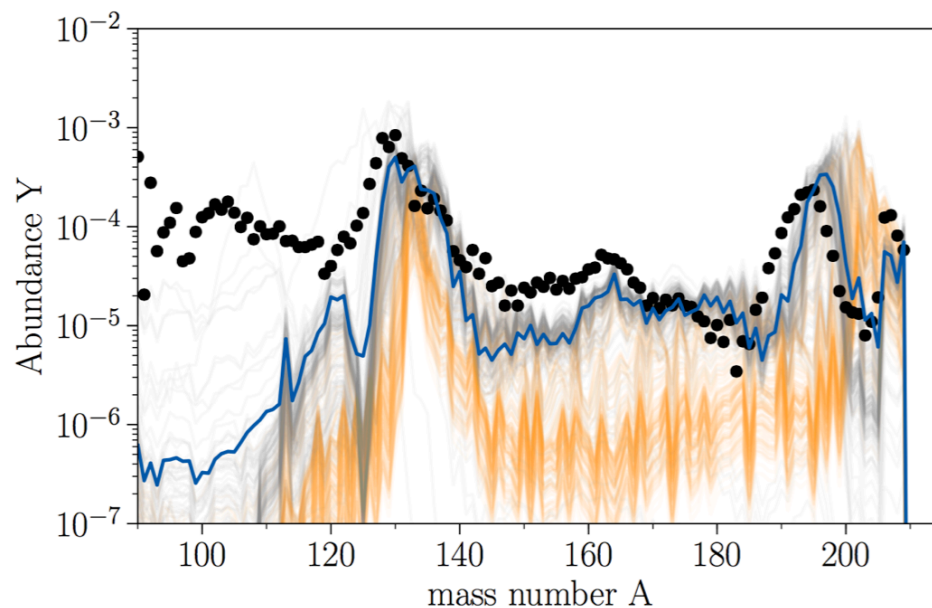
Barbuy et al. (2012)



CUBES: Galactic Science

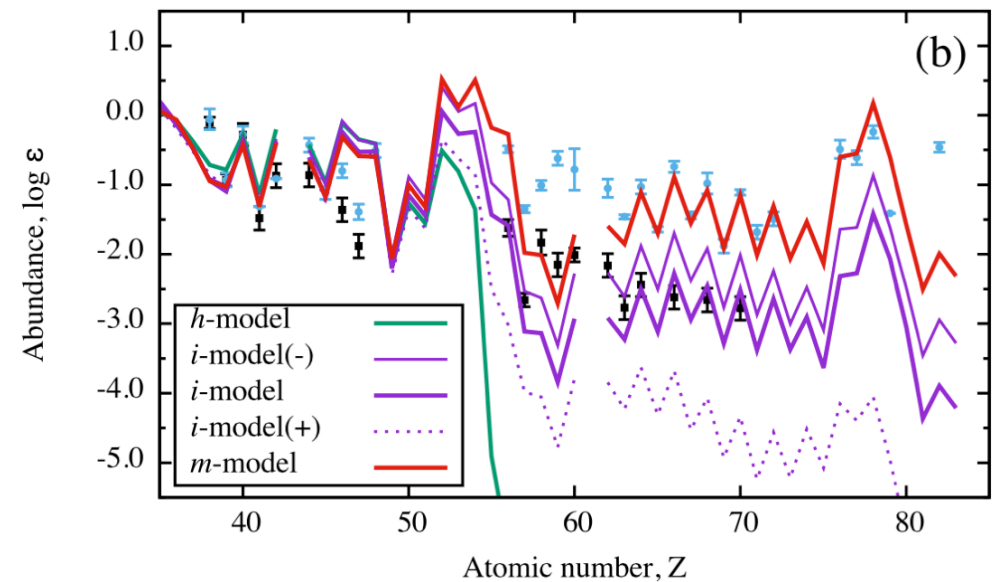
Testing predictions of different channels for r-process nucleosynthesis

Binary NS mergers:



Bovard et al. (2017)

Magneto-rotational SNe:



Nishimura et al. (2017)

Near-UV essential: YII, ZrII, NbII, PdI, AgI, BaII, LaII, CeII, NdII, EuII, GdII, TbII, DyII, HoII, ErII, TmII, OsI, IrI, PbI, BiI, ThII, UII

CUBES: Galactic Science

The Origin of the Solar System Elements

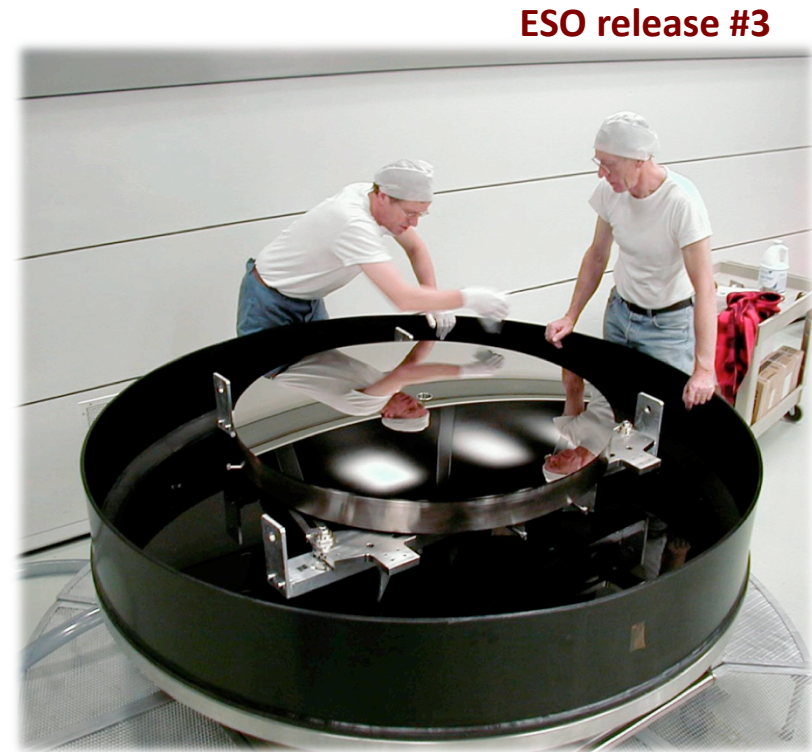
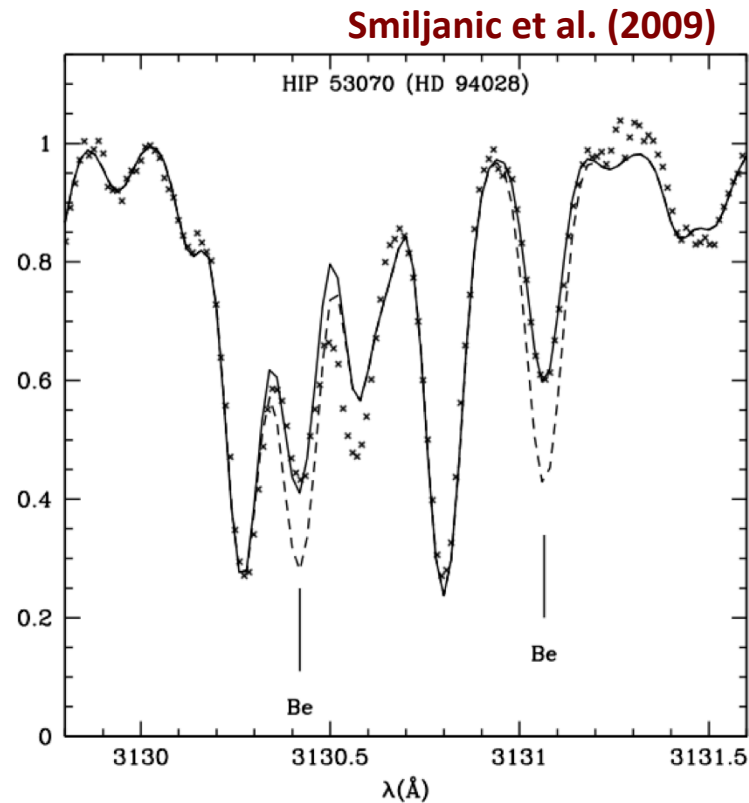
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Astronomical Image Credits:
ESA/NASA/AASNova

CUBES: Galactic Science

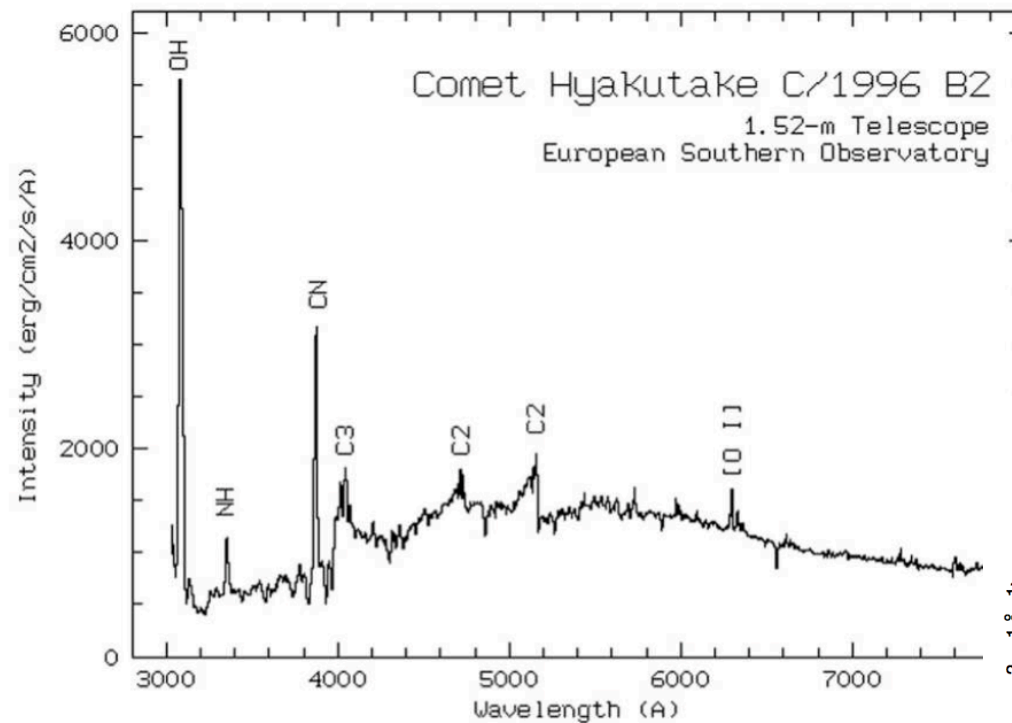
^9Be produced via cosmic-ray spallation in ISM in early Galaxy



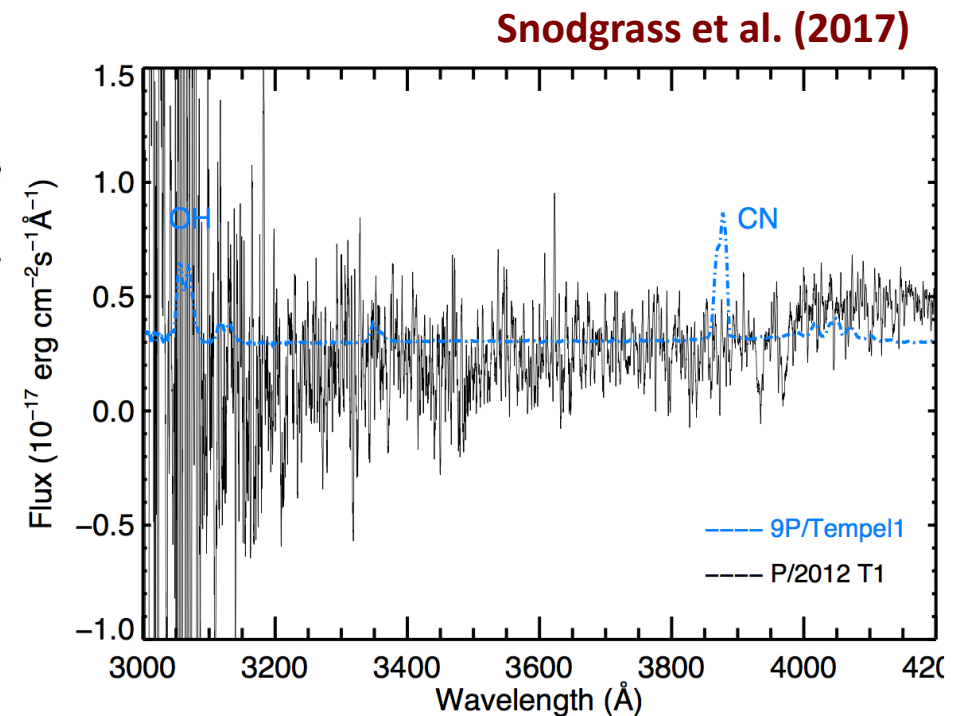
- Be abundances: Limited to 10s of stars with VLT-UVES/Keck-HIRES
- Increased efficiency of ~ 3 magnitudes
➔ samples of 100s in ambitious large programme

CUBES: Wider Science

- Searching for water in the asteroid belt

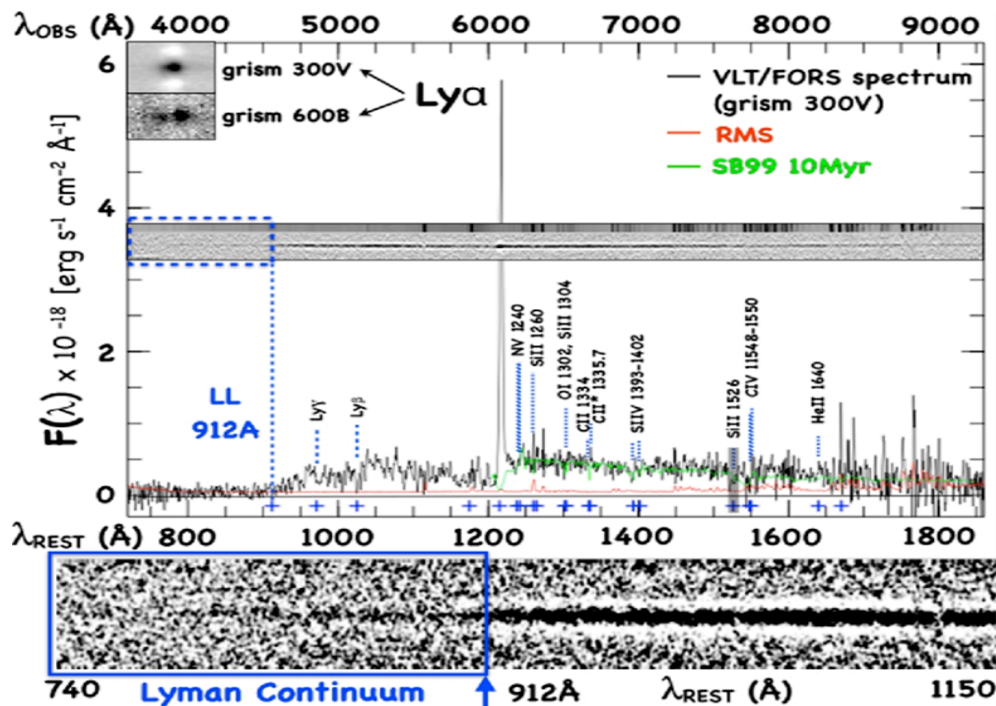


Credit: ESO



CUBES: Wider Science

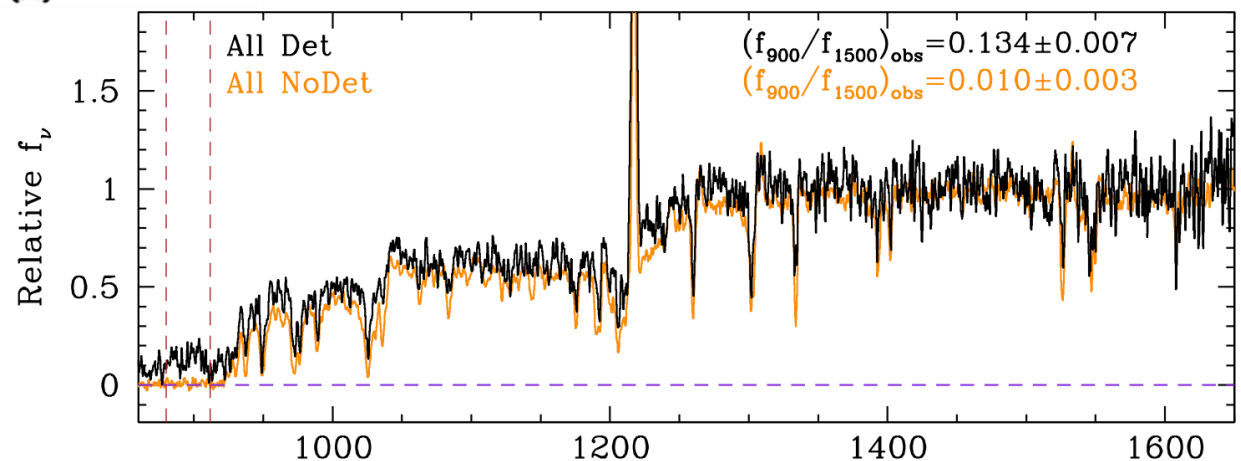
- Contribution of galaxies (cf. QSOs to cosmic UV background)



$z = 3.999$ (Vanzella et al. 2018)

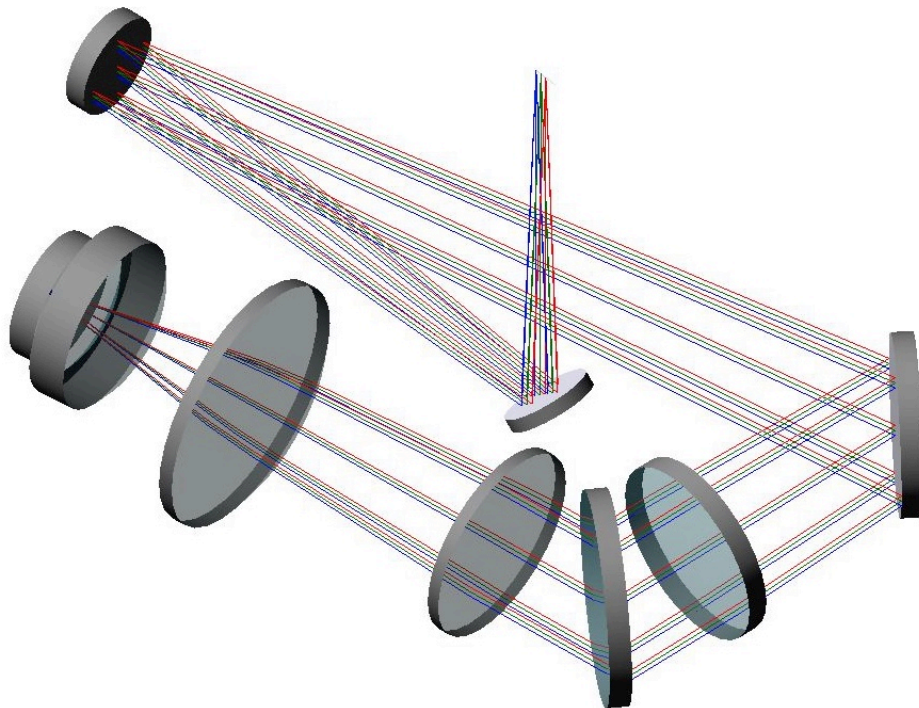
Need greater near-UV sensitivity to probe f_{esc} at peak SF epochs

KLCS sample @ $z \sim 3$ (Steidel et al. 2018)



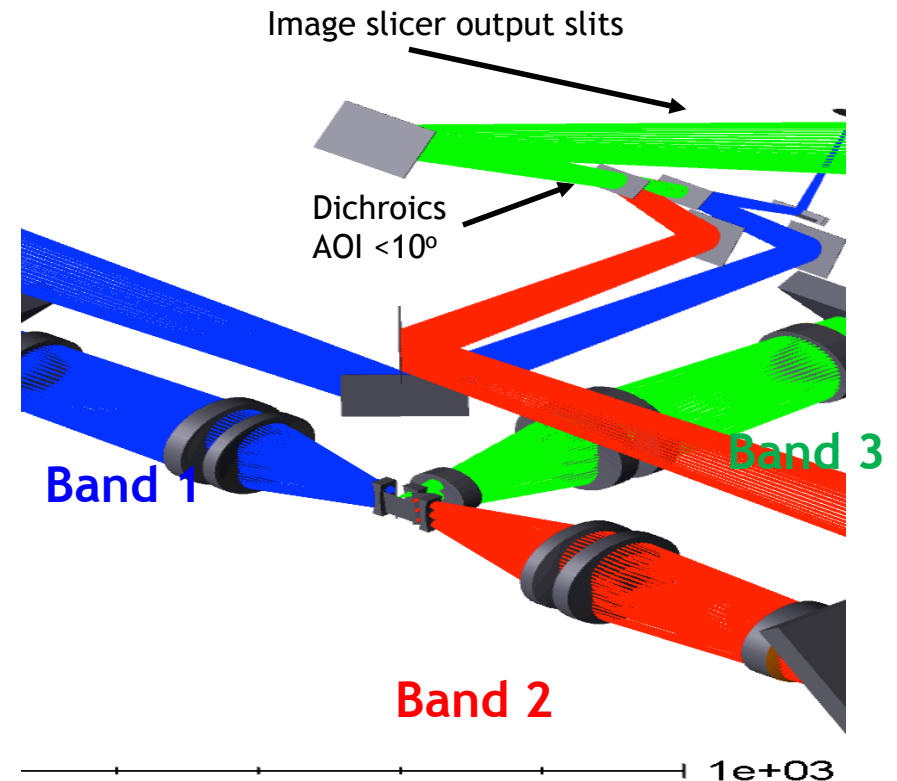
CUBES: Optical concepts

Updated Phase A concept
(kindly provided by B. Delabre)



1 grating, 3 detectors

New concept
(M. Wells, UKATC)



3 gratings, 1 detector

CUBES: Optical concepts

Philosophy: manufacturability (slices/lenses) and optical transmission

Image slicer:

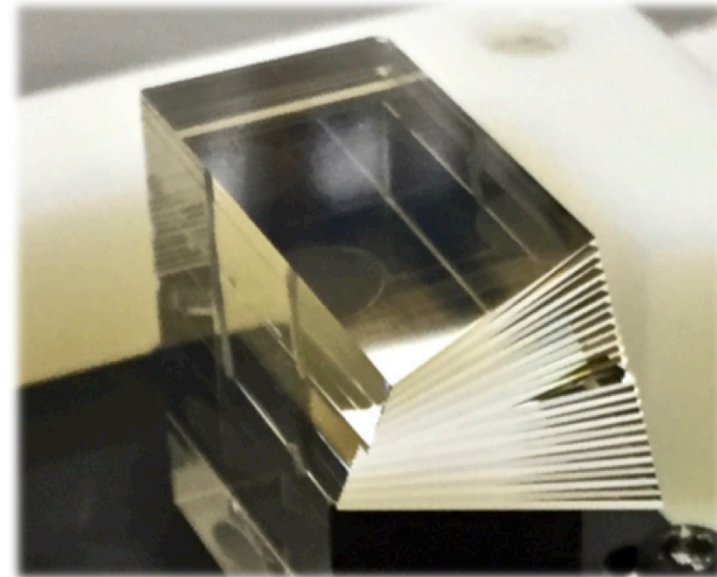
- 6 slices x 0.25" on-sky
- Total width: 1.5" → minimal slit losses

ADC:

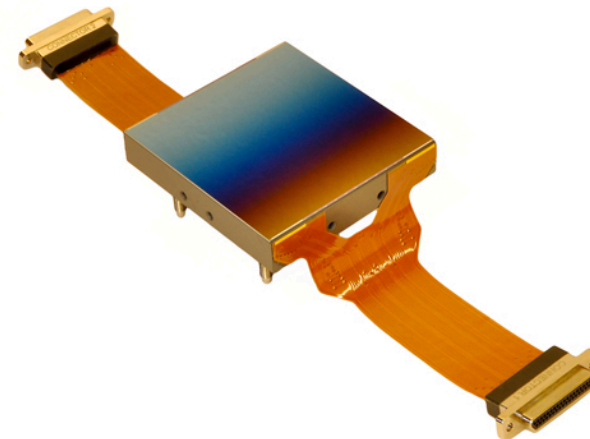
- Greater observational flexibility
- Minimal offset to slit viewing λ

Spectrograph:

- 3 bands: 305-335, 328-361, 355-390 nm
- Can optimise each band (collimator, gratings, cameras)
- One detector for all 3 bands



KCWI: Morrissey et al. (2018)



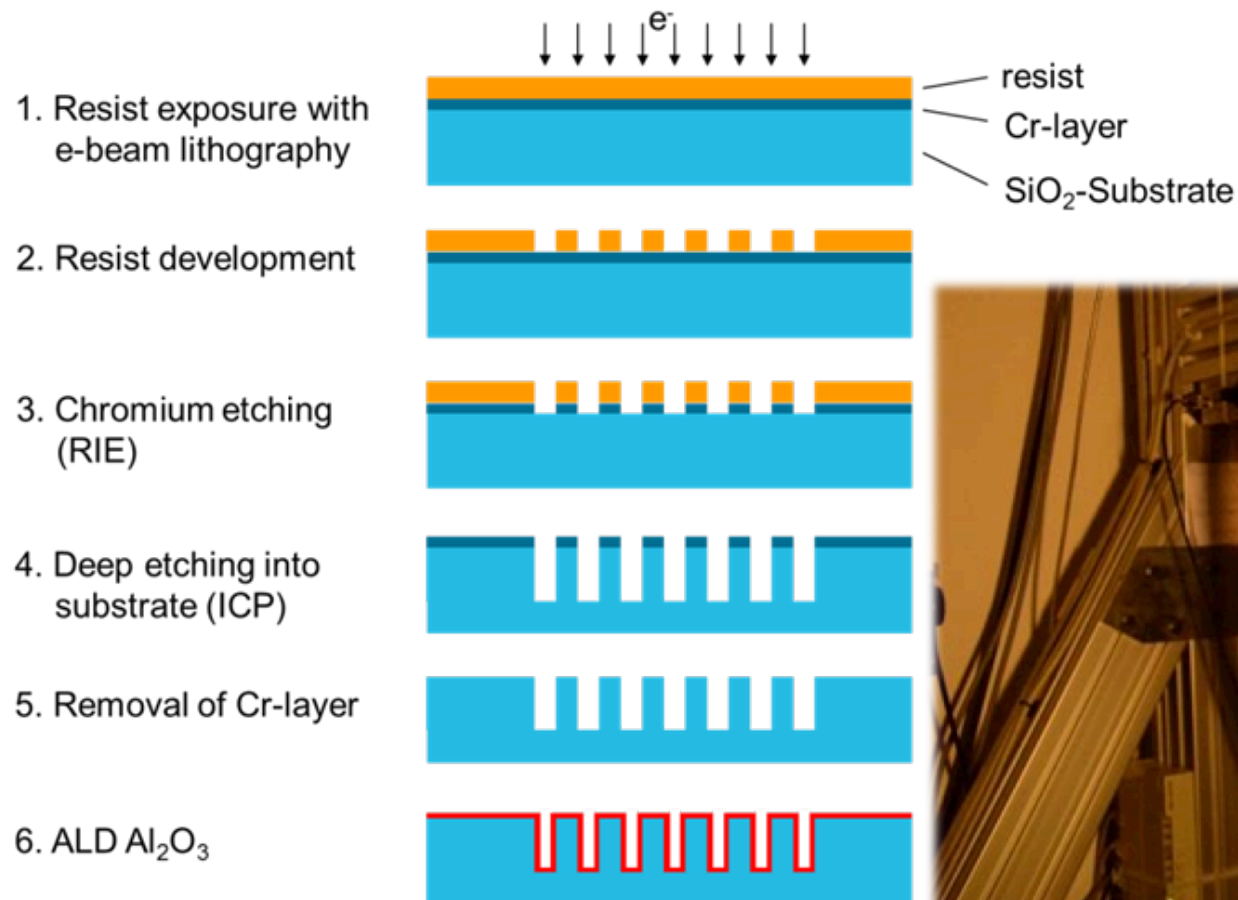
CUBES: Efficiency

	Band 1 305-335 nm	Band 2 328-361 nm	Band 3 355-390 nm
ADC (3MIR, 4AR)	0.95	0.95	0.95
Slicer(4MIR)	0.98	0.98	0.98
Dichroics	0.94	0.91	0.94
Camera (3MIR,11AR)	0.89	0.91	0.90
Optics total	0.78	0.77	0.79
Grating	0.90	0.90	0.90
CCD	0.85	0.85	0.85
Instrument intrinsic DQE	0.59	0.59	0.60
Telescope	0.72	0.72	0.72
Overall DQE	0.43	0.42	0.43

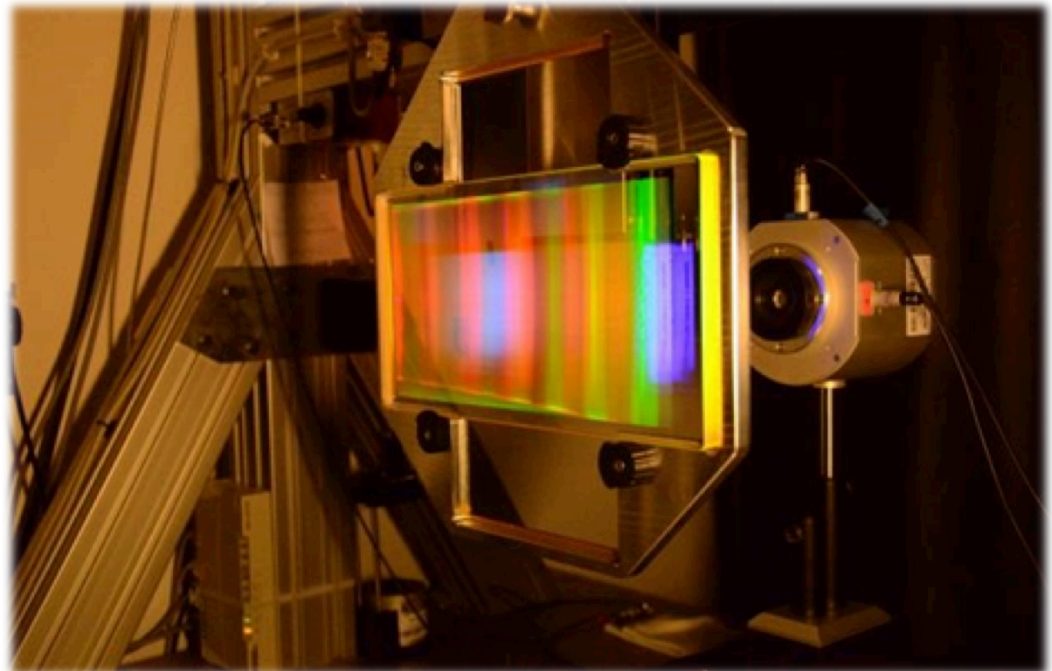
CUBES: Grating

See Burmeister et al. (2018)
SPIE/10706-74

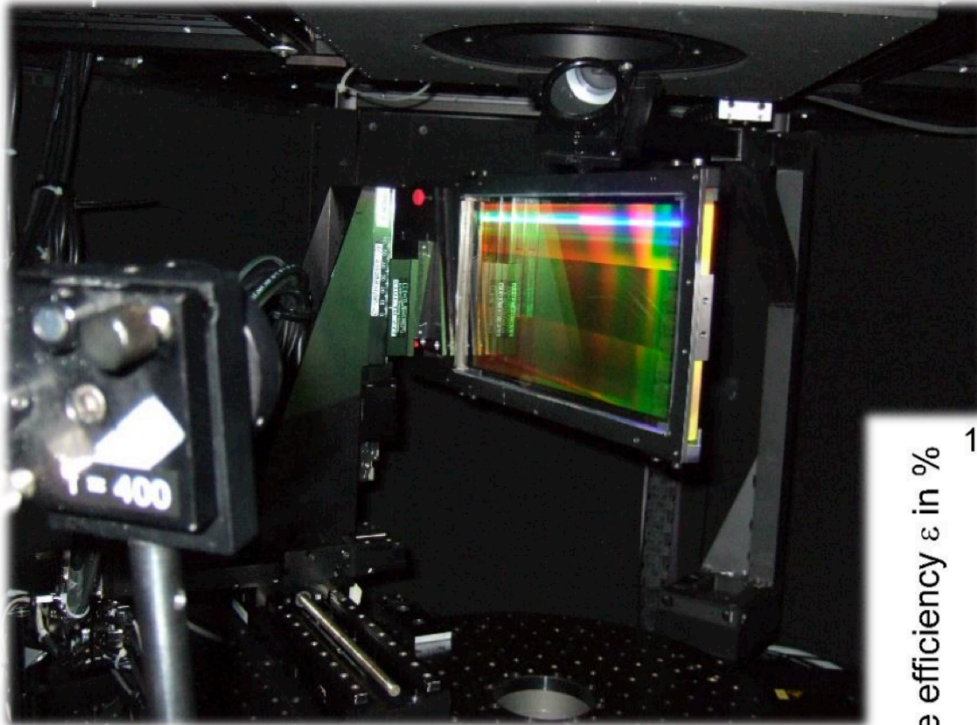
- Prototype manufactured by Fraunhofer IOF
- e-beam lithography & atomic layer deposition



3448 lines/mm, 250 x 130 mm



CUBES: Grating efficiency

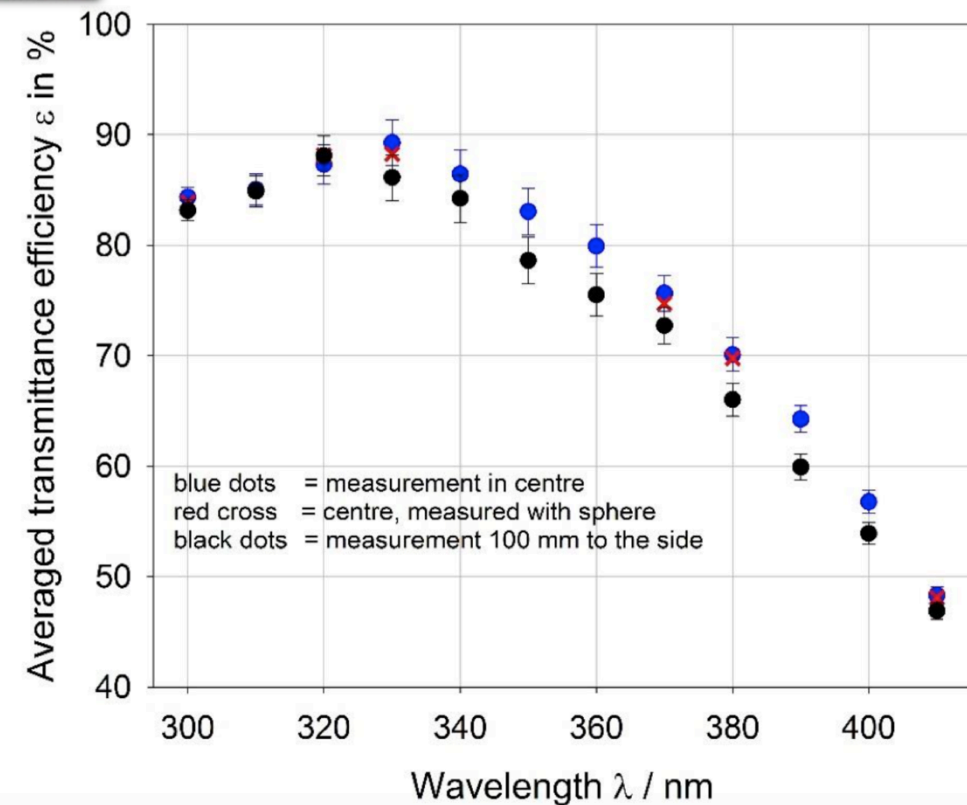


PTB Physikalisch-Technische Bundesanstalt
Braunschweig und Berlin
Nationales Metrologieinstitut



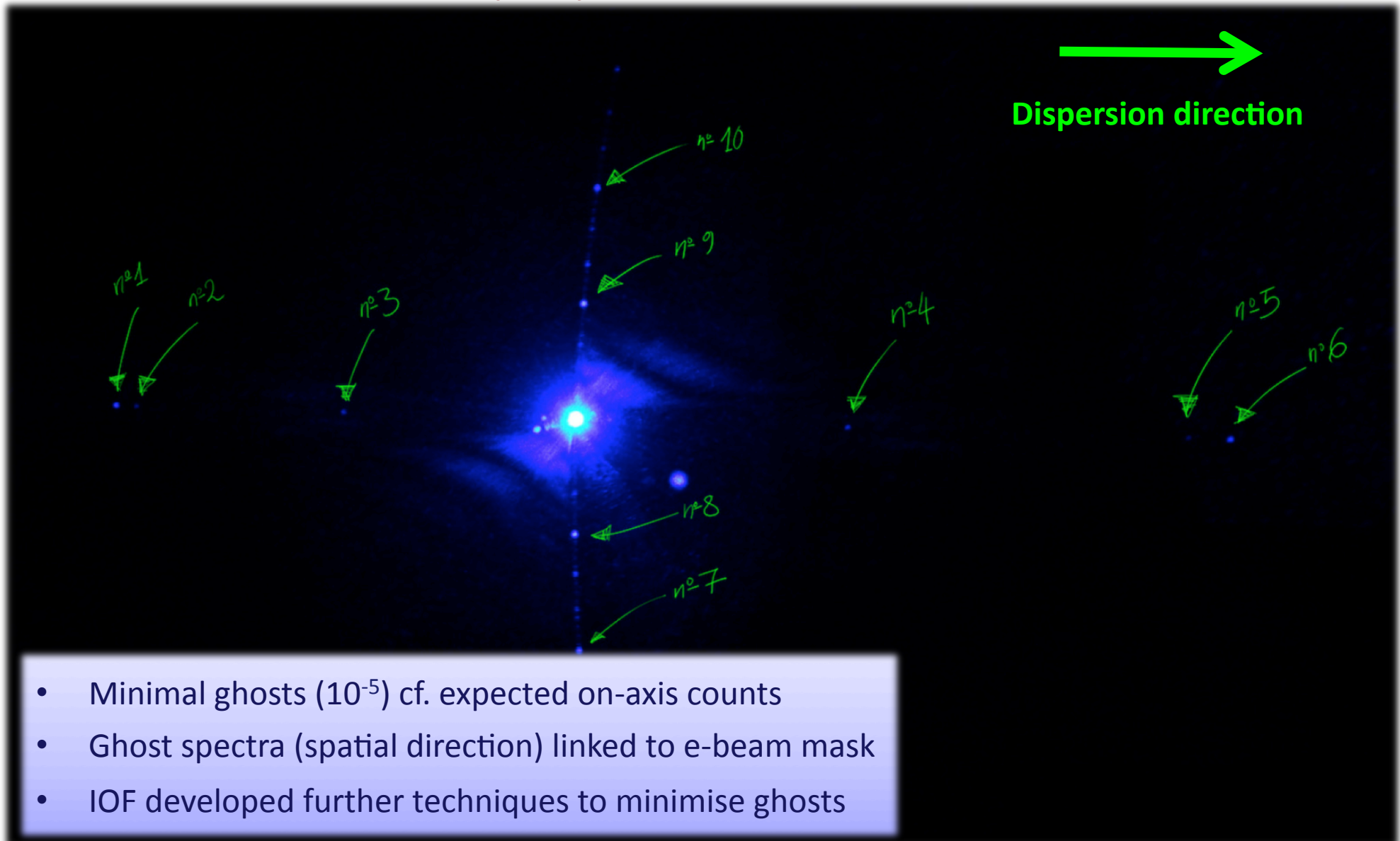
Bericht
Report

Determination of the transmittance efficiency
of an optical grating
in the wavelength range of 300 nm to 410 nm



CUBES: Grating performance

From internal report by: Alessio Zanutta & Andrea Bianco (Milan)



CUBES: Next steps

- Expecting Rfl from ESO in coming weeks
- New consortium forming
- Rfl response in New Year, then 'δ Phase A' in 2020

Potential work-packages:

- WP1: Management
- WP2: Pre-optics
- WP3: Spectrograph
- WP4: Detector system
- WP5: Science (incl. DRS)
- WP6: EICS
- WP7: AIT/Handling

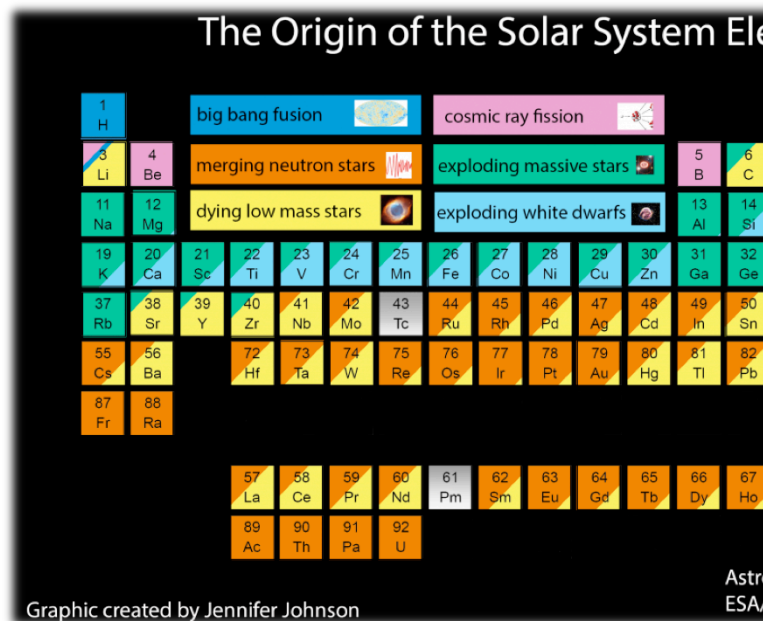
Order-of-mag estimates:

- Effort: 30-35 FTE
- Costs: ~€2.5M
- 4-year schedule



CUBES: Next steps

- Ongoing science trade studies (with Ernandes, USP)
- Species available at $R = 20,000$ vs. 40,000?



[FE/H]	DWARF							
	20K				40K			
	-3	-2	-1	0	-3	-2	-1	0
Bell 3130.42	G		b		G		B	
Bell 3131.07	G		g		G		G	
#								
ScII 3576.34	g		G		G		G	
ScII 3590.47	g		G		G		G	
#								
TiI 3998.64	G		G		G		G	
TiII 3321.70	G		G		G		G	
TiII 3343.76	G		G		G		G	
TiII 3491.05	G		G		G		G	
#								
VII 3951.96	B		G		G		G	
#								
CrI 3578.68	G		G		G		G	
#								
MnII 3441.99	G		G		G		G	
MnII 3460.32	G		G		G		G	
MnII 3482.90	G		G		G		G	
MnII 3488.68	G		G		G		G	
MnII 3495.83	B		G		B		G	
MnII 3497.53	G		G		G		G	
#								
CoI 3412.34	G		G		G		G	
CoI 3412.63	G		G		G		G	
CoI 3449.16	G		G		G		G	
CoI 3529.03	G		G		G		G	
CoI 3842.05	G		G		G		G	
CoI 3845.47	G		G		G		G	
#								
NiI 3437.28	G		B		G		G	
NiI 3483.77	G		G		G		G	
NiI 3500.85	G		G		G		G	
NiI 3597.71	G		G		G		G	
NiI 3807.14	G		G		G		G	

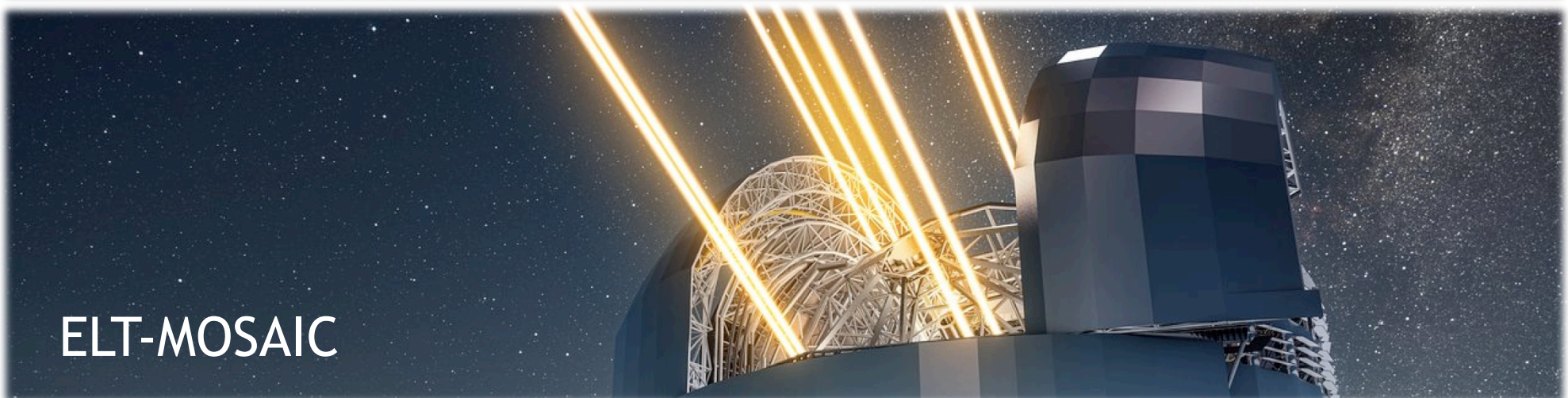


UK-Brazil collaboration

Two UK-Br projects:



VLT-CUBES



ELT-MOSAIC

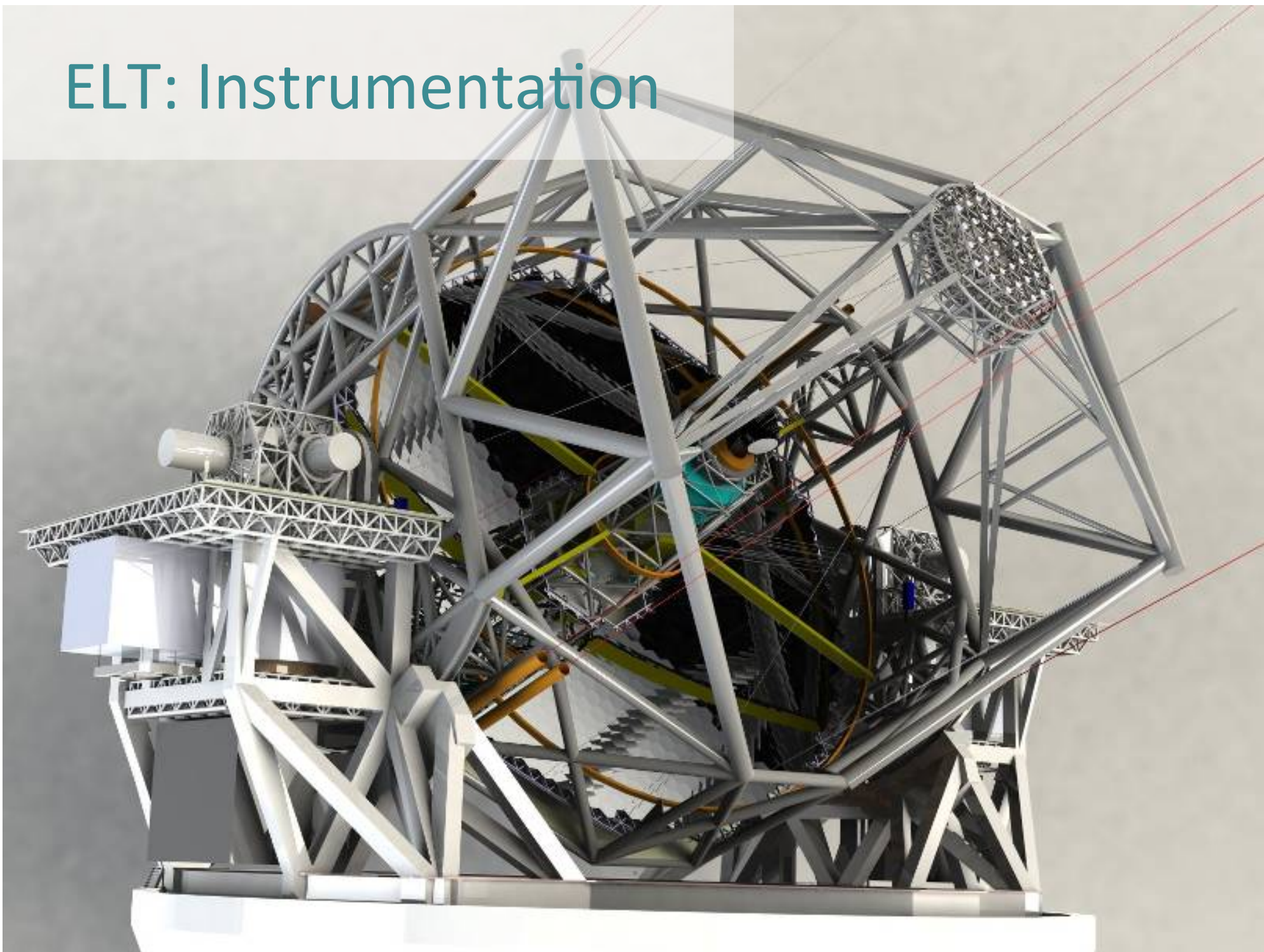
ELT: Extremely Large Telescope



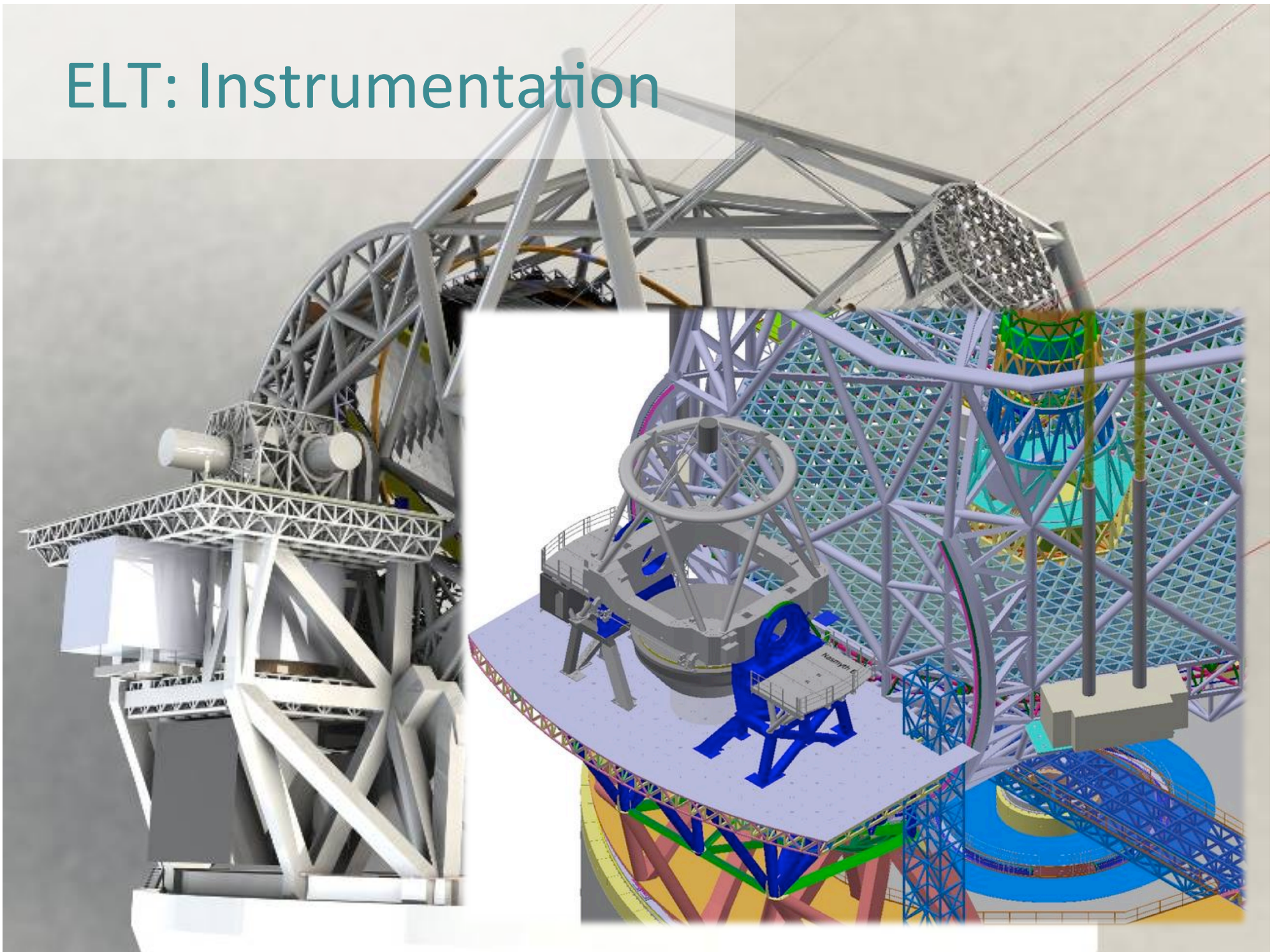
ELT: Extremely Large Telescope



ELT: Instrumentation



ELT: Instrumentation



ELT: Instrument Roadmap

Ramsay et al. (SPIE, 2018)

Year	ELT-IFU HARMON I+LTAO	ELT-CAM MAORY +MICADO	ELT-MIR METIS	ELT-MOS MOSAIC	ELT-HIRES HIRES	ELT-6	ELT-PCS
2014	Decide science requirements, AO architecture.		VISIR start on-sky	Develop science requirements for MOS/HIRES			Start ETD
2015				Cal for Proposals			
2016				Start Phase A			
2017						Call for proposals	
2018				Phase A reviews Finalise agreements			
2019				Start Construction Phase			
2020							
2021							
2022							
2023							
2024							
2025	Instrument on-sky commissioning						
	Pre-studies: (delta) phase A work/ESO-funded Enabling Technology Development (ETD)						
	Decision point						
	Development Technical Specifications, Statement of Work, Agreement, Instrument Start.						

- Instruments in construction phase:

- HARMONI (UK)
- MICADO (DE)
- METIS (NL)
- MAORY (IT)

- Next instruments:

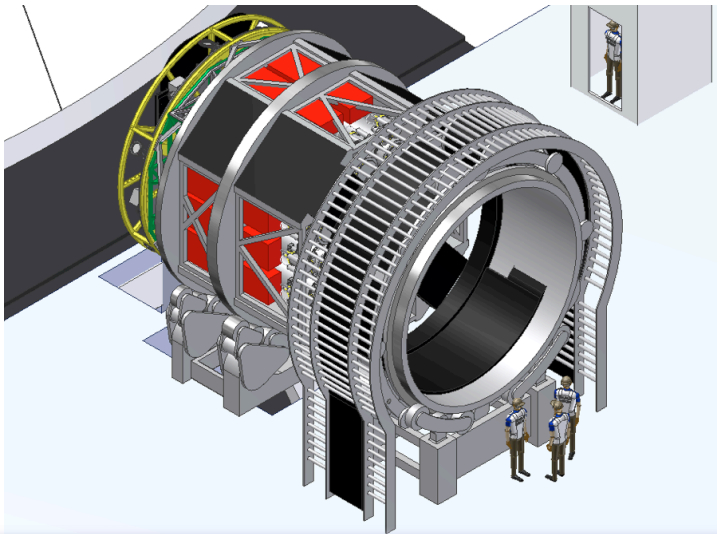
- MOSAIC (FR)
- HIRES (IT)

- Future plans...

- PCS (lead TBD)
- 'ELT 6', new ideas etc.

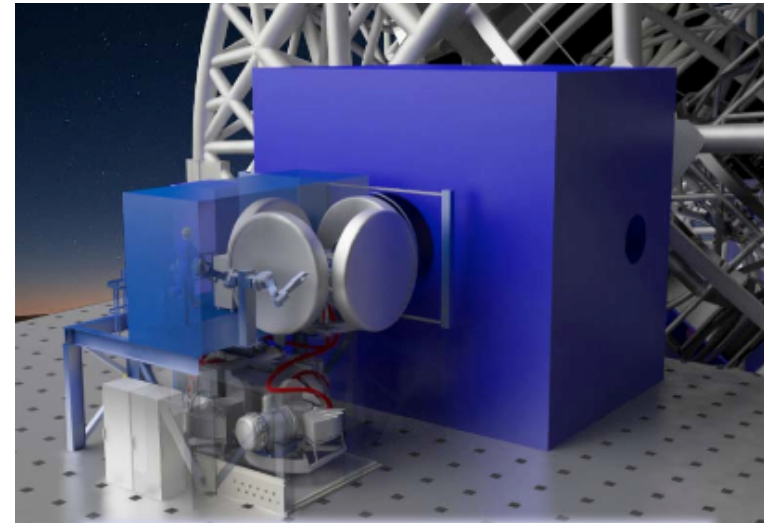
The road to MOSAIC

EAGLE

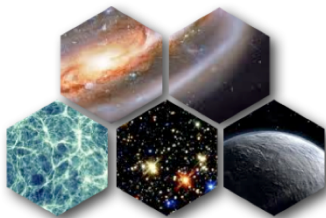


Multi-IFU, AO-corrected, near-IR

EVE



Multi-fibre/IFU, opt/IR



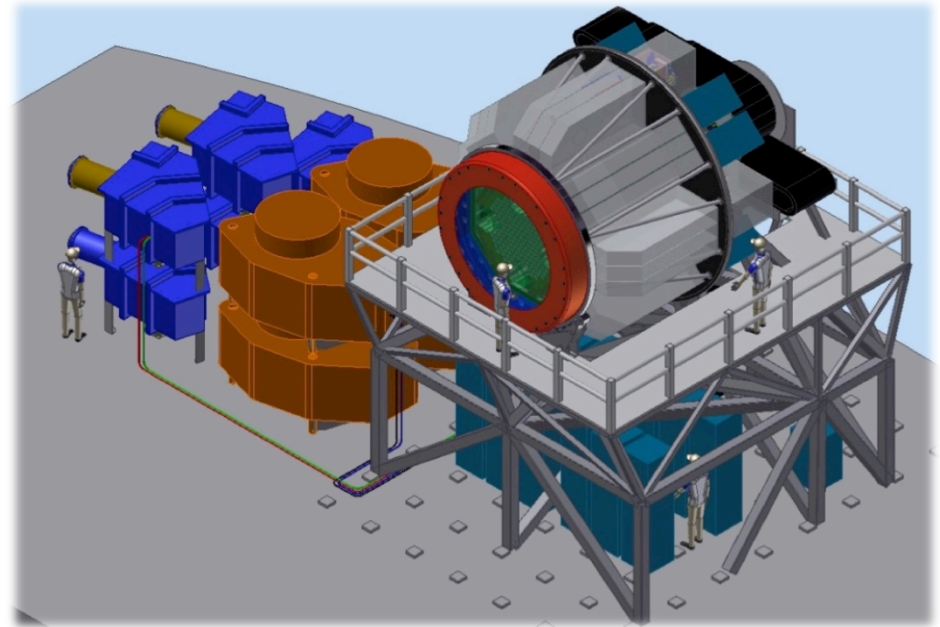
MOSAIC

Multi-Object Spectroscopy with the European ELT: Scientific synergies between EAGLE & EVE

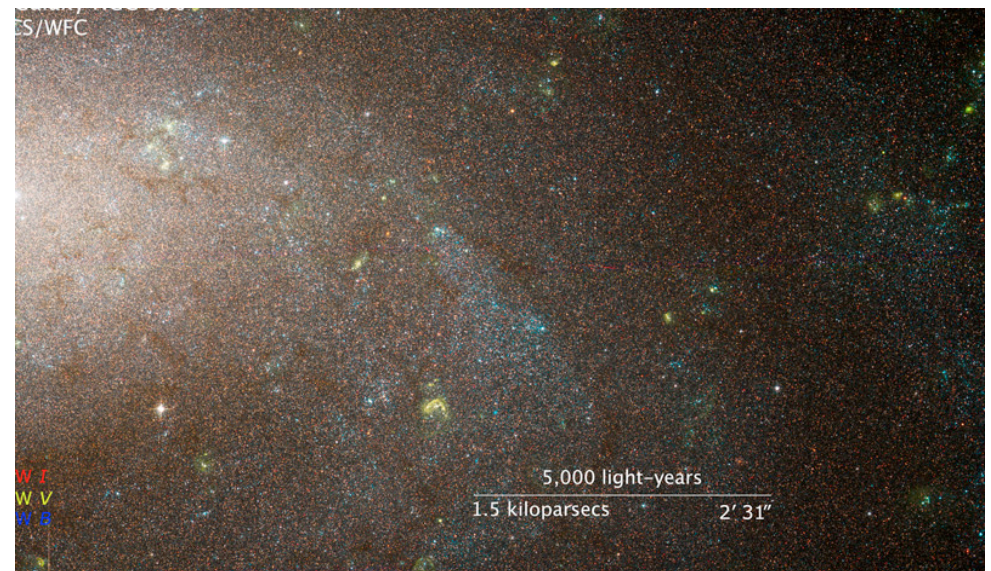
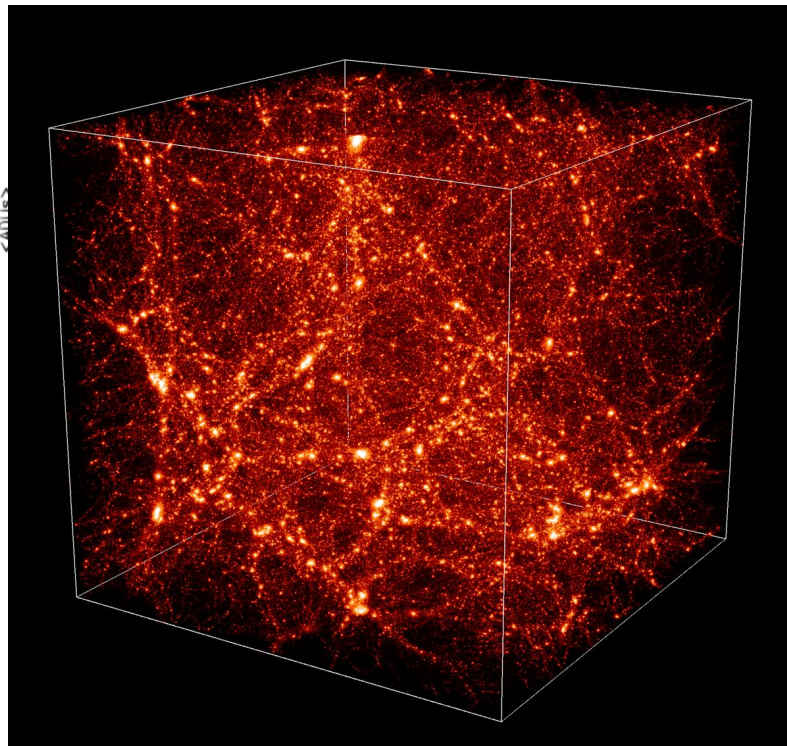
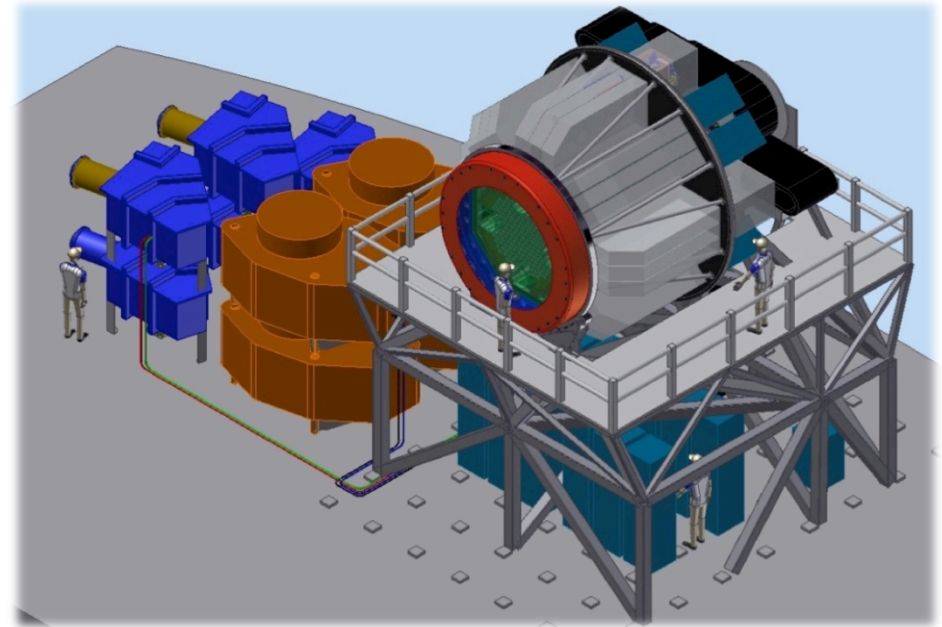
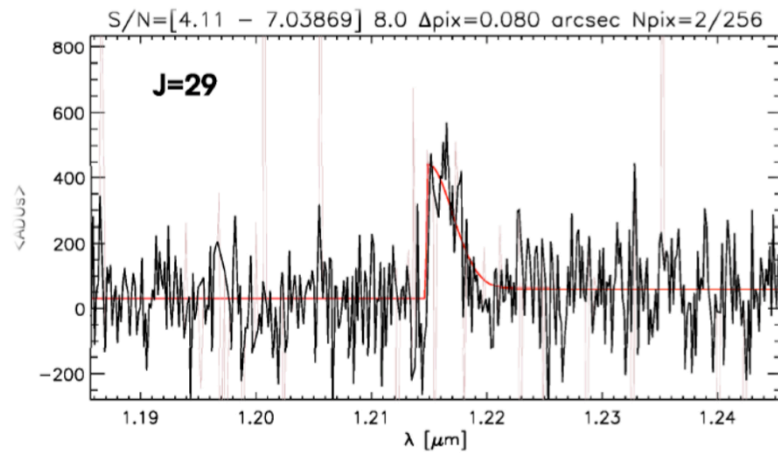
C. J. Evans¹, B. Barbuy², P. Bonifacio³, F. Chemla³, J.-G. Cuby⁴, G. B. Dalton^{5,6}, B. Davies⁷,
K. Disseau³, K. Dohlen⁴, H. Flores³, E. Gendron⁸, I. Guinouard³, F. Hammer³, P. Hastings¹,
D. Horville³, P. Jagourel³, L. Kaper⁹, P. Laporte³, D. Lee¹, S. L. Morris¹⁰, T. Morris¹⁰,
R. Myers¹⁰, R. Navarro¹¹, P. Parr-Burman¹, P. Petitjean¹², M. Puech³, E. Rollinde¹², G. Rousset⁸,
H. Schnetler¹, N. Welikala¹³, M. Wells¹, Y. Yang^{3,14}

MOSAIC: The ELT MOS

- PI: F. Hammer (Paris)
 - Multi-mode, visible/near-IR MOS
 - Phase A study completed (03/18)
 - Iterating with ESO on specs for Phase B
 - Start of Phase B expected in early 2021
-
- Science topics (Proj. Scientists: Puech, Sanchez-Janssen, Evans):
 - First-light galaxies
 - Evolution of large-scale structures
 - Mass assembly of galaxies (& AGN) through time
 - Resolved stellar populations

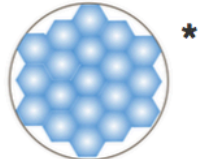


MOSAIC: The ELT MOS



MOSAIC: The ELT MOS

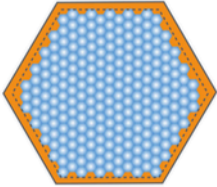
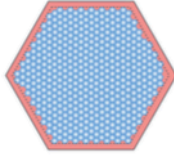
High-multiplex mode:

	Visible	Near IR
		
Number of apertures	200	100 (+100 on sky)
Patrol area	52.1 arcmin ²	47.3 arcmin ²
Operating bandwidth	0.45 - 0.9 μ m	0.8 - 1.8 μ m
Diameter of the aperture on sky	840 mas	500 mas
Spectral resolution	5000 & 15,000	5000 & 20,000
AO performance	GLAO (~seeing limited)	GLAO

Final numbers/sizes under review

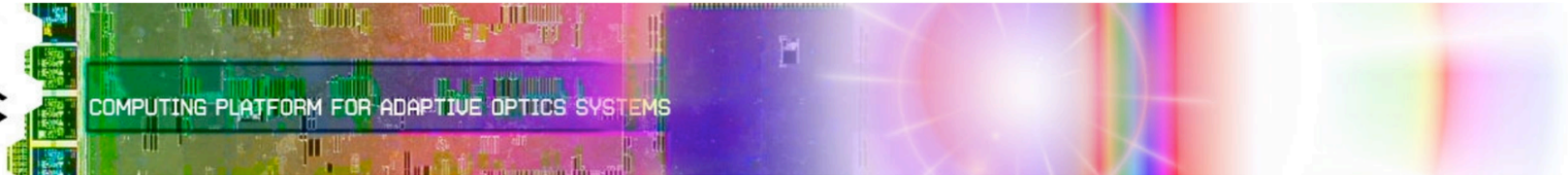
MOSAIC: The ELT MOS

IFU mode:

	Visible	Near IR
		
Number of apertures	10	10
Patrol area	44.2 arcmin ²	44.2 arcmin ²
Operating bandwidth	0.45 - 0.9 μ m	0.8 - 1.8 μ m
Outer diameter of on-sky subfield	2.31 arcsec (hexagonal)	1.9 arcsec (hexagonal)
Sampling	138 mas	80 mas
Spectral resolution	5000 & 15000	5000 & 20,000
AO performance	GLAO (~seeing limited)	MOAO: 25% EE in 150 mas

Final numbers/sizes under review
(visible IFUs not in current baseline)

MOSAIC: Performance



Websim-Compass

Puech et al. (2016, SPIE)

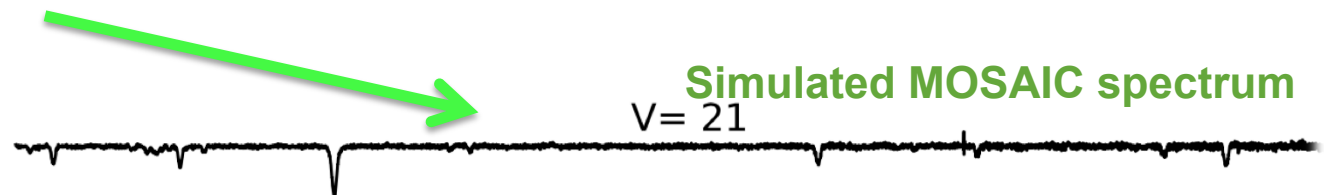
Home Websim Simulation PSF Scientific Targets

Advanced simulations with 'WEBSIM' (developed by Paris Obs.)

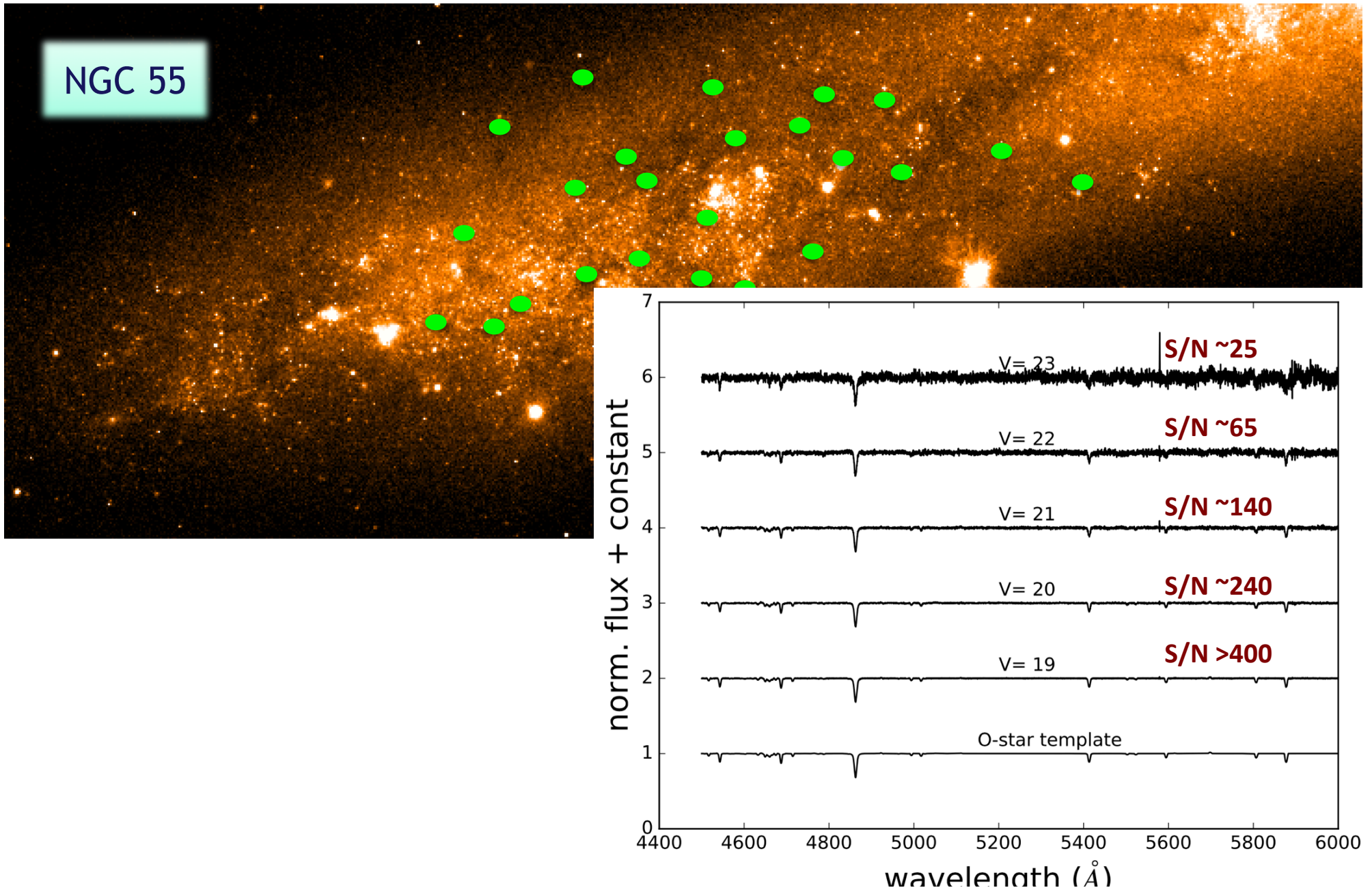
INPUTS:

- Template spectrum
- PSF from AO system
- End-to-end efficiency
- Spectral configuration

& light-gathering power of 39m!



MOSAIC: Performance



Before I conclude...



Thank you!

Summary

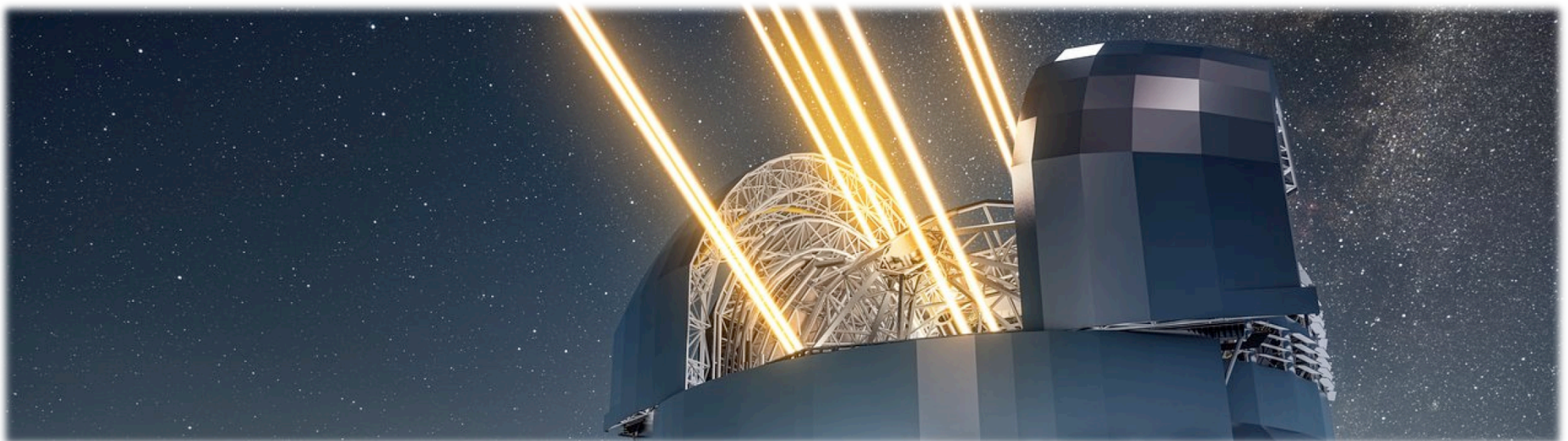


VLT-CUBES:

- Exciting scientific opportunity, incl. builds on Brazil's past investment
- Exploits unique strength of VLT in the ELT era

ELT-MOSAIC:

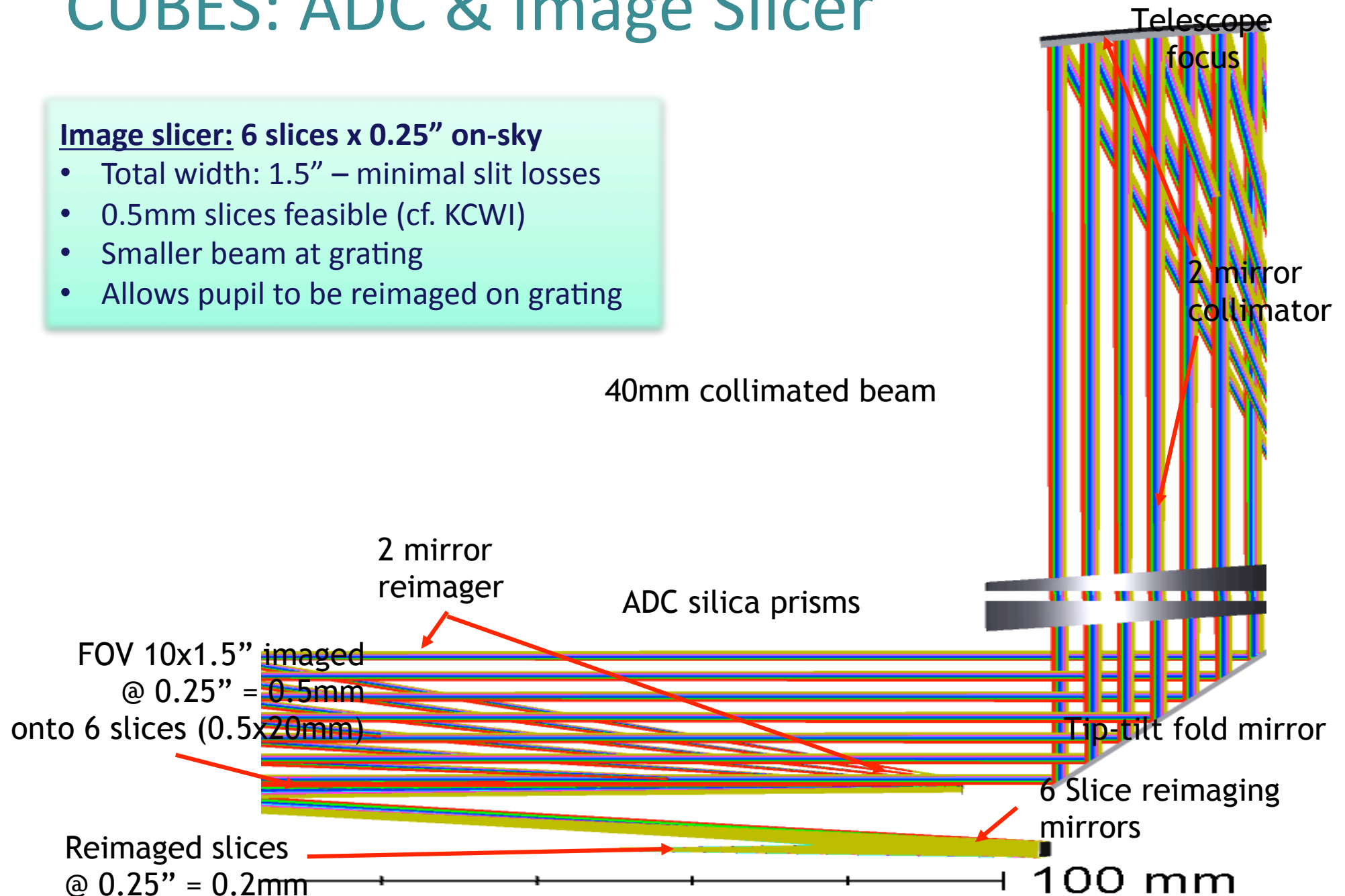
- Advanced planning for visible/near-IR multi-object spectrograph on the world's 'Biggest Eye on the Sky'



CUBES: ADC & Image Slicer

Image slicer: 6 slices x 0.25" on-sky

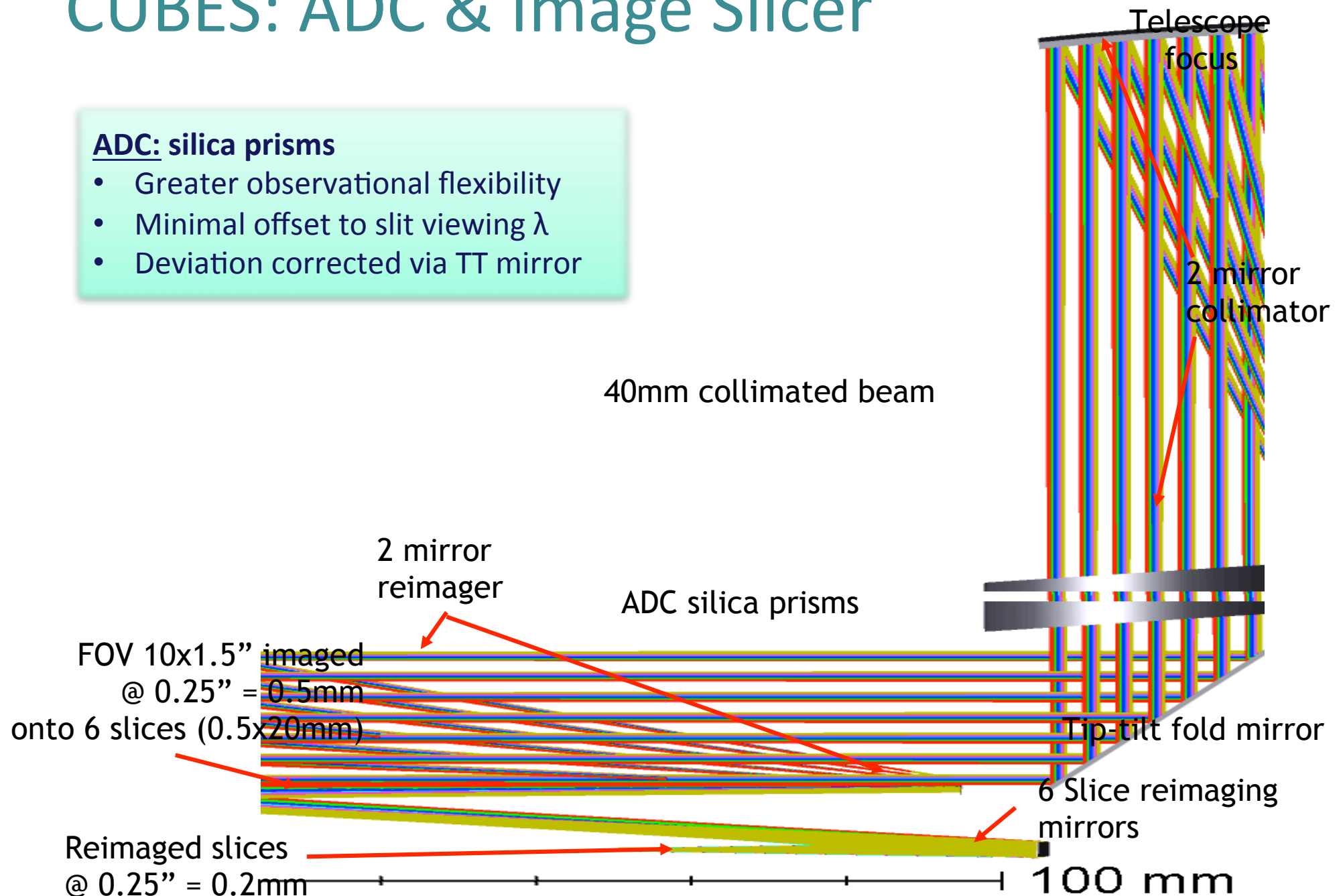
- Total width: 1.5" – minimal slit losses
- 0.5mm slices feasible (cf. KCWI)
- Smaller beam at grating
- Allows pupil to be reimaged on grating



CUBES: ADC & Image Slicer

ADC: silica prisms

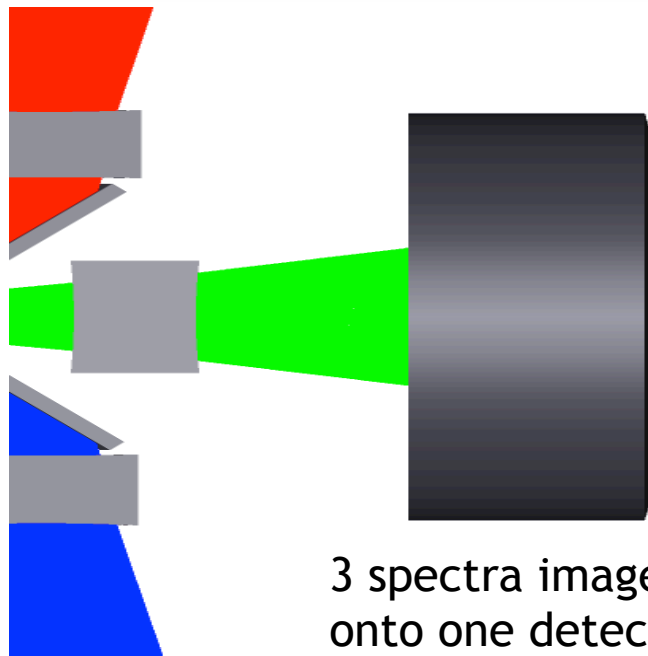
- Greater observational flexibility
- Minimal offset to slit viewing λ
- Deviation corrected via TT mirror



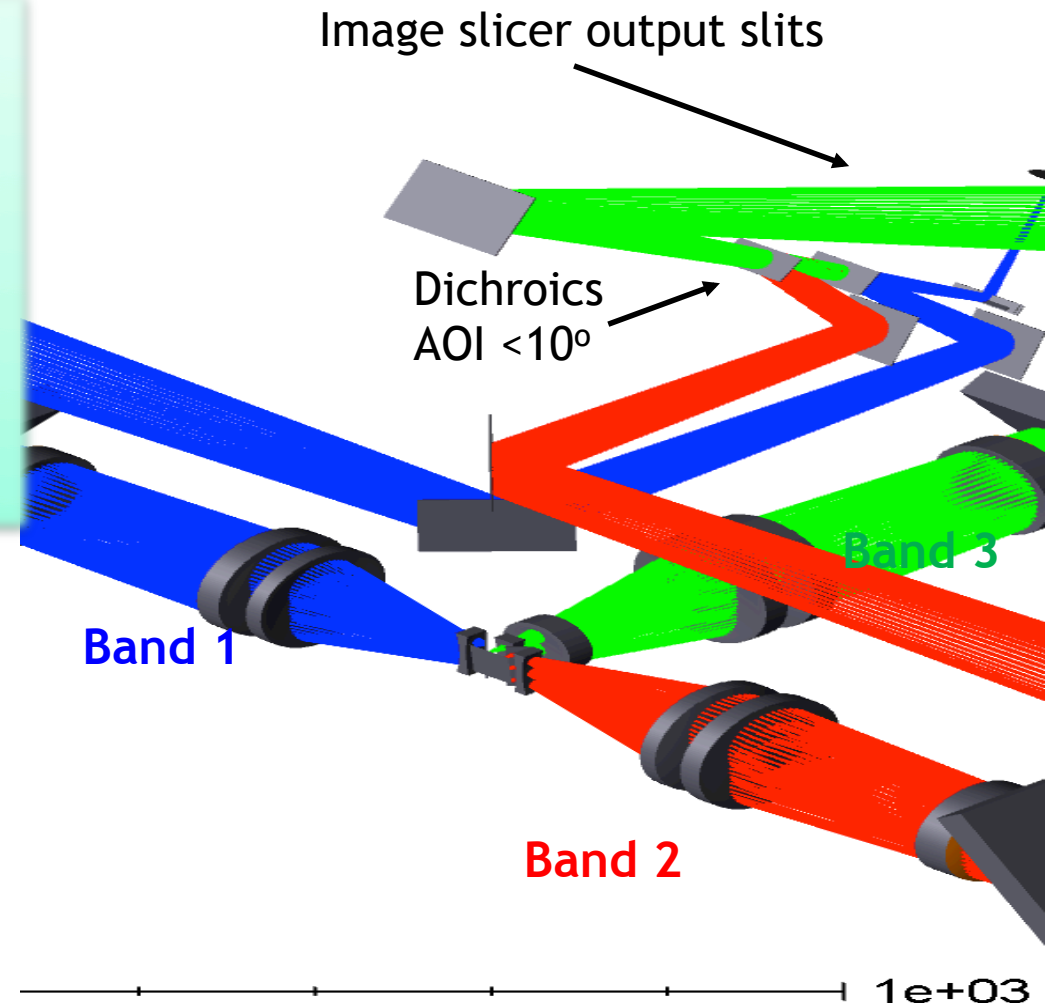
CUBES: Spectrometers

Spectrograph: 3 bands

- Separate collimator, gratings, cameras
→ can optimise each band
- Camera lenses are spherical except for 1st surface (modest conic)
- One detector for all 3 bands
- Bands have 6nm overlap, no gaps



3 spectra imaged
onto one detector

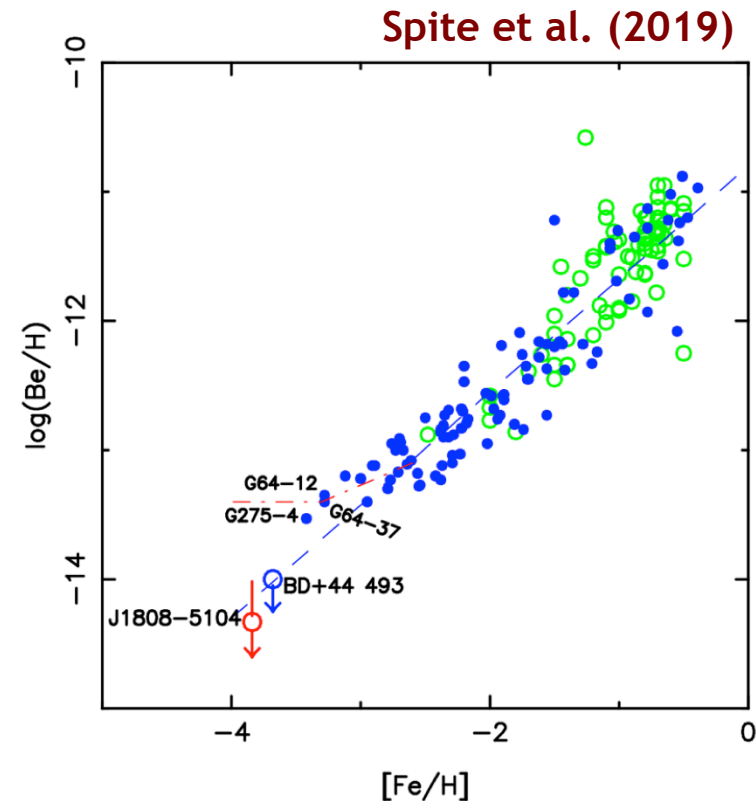


CUBES: Galactic Science

^9Be produced via cosmic-ray spallation in ISM in early Galaxy

- **Direct process:** accelerated p/He^{2+} colliding with CNO in ISM
 - ➔ scales with Z = secondary element
- **Inverse process:** accelerated CNO collides with p/He^{2+} in ISM
 - ➔ primary element

If primary, Be potential chronometer of early star formation



- Be abundances: Limited to 10s of stars with VLT-UVES/Keck-HIRES
- Increased efficiency of ~ 3 magnitudes
 - ➔ samples of 100s in ambitious large programme

Rest-frame UV lines at high-z

Missing baryonic mass in the high-redshift CGM

